# IV. PRINCIPLES AND DEFINITION OF DLT'S INTERMEDIATE LANGUAGE (IL).

#### 1. Principles.

In this section, we will focus on the subject of structural or syntactic ambiguity of IL surface representations. A common belief and fear of those first confronted with the idea of using an Esperanto-based language is that such a thing would be much too 'natural' and therefore too ambiguous to serve as IL.

In part this criticism is true, and even the creator of Esperanto, Zamenhof, and other eminent Esperantologists [Waringhien, 1980] have pointed out that, despite all attempts for regularity and explicitness, human language is something else than mathematics, and requires a certain degree of flexibility which tends to raise ambiguity.

But, to the extent that this criticism is justified, it can also be turned in favor of a 'natural' IL: how little successful have not been the experiments with purely 'logic' abstract ILs? Moreover, as we saw in Chapter III, ambiguity is a relative concept in a translation system.

The choice and design of DLT's IL is strongly inspired by considerations of contrastive linguistics. As already pointed out in section III.3.3.3.2, the struggle against ambiguity should not exceed the demands for translation. There's no point in trying to resolve ambiguities which are common to all SL and TL candidates, if not universal, e.g.

#### (1) "The detective and his profession".

For this 'intersectional' semantics (mainly used in titles) of the normal conjunction 'and', which has its parallel in most European languages and Esperanto, one could theoretically introduce a separate conjunction in the IL, but this would only complicate, not facilitate the translation process.

Another example of 'over-disambiguation' would be to reserve one and only one preposition for time point references. Actually, it is not attractive to reduce the existing supply of three such prepositions in Esperanto, because these largely reflect the practice of other languages even where it is ambiguous whether mere time or an event or social habit is referenced (as in the lower line of Table IV-1).

These examples are meant to remind that the concern about ambiguity should never surpass the practical purpose of the exercise: high-quality translation between natural languages.

Esp.	English	French	German	Russian	used for
jе	at on	à	um an	B B. d	hour day, date
en	in	en	in.	В	month, year
Ĉе	at,on	à	bei	за, на	breakfast,wedding,

Table IV-1. Time point reference prepositions in several languages (simplified).

To outline the scope of section IV.1, a few points must be made clear: the topic here is not the process of SL disambiguation itself. This has been dealt with in Chapter III, and includes interleaved basic semantics, separate advanced semantics and interactive dialogues [see also fig. III-10].

The topic of this section is how to <u>record</u> safely the result of the relatively expensive disambiguation process, i.e. how to <u>distinctly represent</u>, within the IL, each potential interpretation of an SL ambiguity (notice however, that only one interpretation will actually be recorded as a result of each completed disambiguation!). In other words, we have to prove that our IL itself does not show any of the ambiguities which under DLT will be judged worth resolving during SL disambiguation. As an introductory example, notice the formal ambiguity of modifier scope in:

(2) Pregnant women and children.

which once was machine-translated into French (by SYSTRAN [Pigott, 1982]) as follows:

(3) Des femmes et des enfants enceintes.

The correct interpretation selection (following the detection of a formal ambiguity during SL analysis) is a matter of knowledge of the world or context, and outside the scope of this paper. What we are interested in here is the alternative representation of each interpretation in the IL:

- (4a) Gravedaj virinoj kaj infanoj.
- (4b) Gravedaj virinoj \_kaj infanoj.
   (Pregnant women and children.)

In 4b, an extra space (denoted here by an underscore) before the conjunction limits the scope of the modifier to the first noun.

Another example is a well-known sentence of Wilks [Wilks, 1979]:

(5) The soldiers fired at the women and they fell on the ground.

where the pronoun 'them' presents a problem. Regardless of how its antecedent is selected (by AI or human interaction), the selection made during SL analysis should be propagated via the IL in such a manner that the problem will not present itself anew at a TL synthesis module. Because of the presence of French among the TL's, the IL should therefore discriminate between two pronouns, analogous to 'ils' and 'elles'. This motivates the inclusion of 'iŝi' in the IL ('iŝi' had been proposed as the 3rd person plural feminine pronoun decades ago in Esperanto circles, but never gained ground there).

After two introductory paragraphs [1.1 and 1.2] we will give [in 1.3] a more systematic overview of the representational power of our IL, i.e. the capability to distinctly represent each alternative sentence hidden behind an SL surface string. The lexical-ambiguity aspects of the IL will be dealt with in section IV.4.

#### 1.1. IL design criteria.

As in any design work, several criteria must be taken into account at the same time. For the grammar of our IL, the following properties of its sentences determine its usefulness:

i. Unambiguity.

This is defined here as: fully automatically, rapidly and unambiguously parsable, primarily by syntactic processing. Because the boundary between syntax and semantics is difficult to draw, a few more words about the IL parser requirements must be added to our definition of unambiguity:

'Deep' semantics or knowledge-of-the-world, e.g. fargoing lexical subcategorization (such as "edible", "mental activity" etc.) and macrocontext-based logic inference algorithms are definitely excluded from the parser. Even lexical subcategories that are quite common in natural language processing systems (and sometimes referred to as 'shallow' semantics), such as "human", will not be relied upon.

On the other hand, the IL-parser will make extensive use of syntactic IL valency information contained in the IL

lexicon (verb valency with regard to specific prepositions, noun and adjective valency with regard to infinitival supplements, etc.).

#### ii. Compactness.

This is the average number of bits required to encode an IL sentence. Each IL morphem, including punctuation, the space and any special sign one might wish to add, has its internal computer code: a bit pattern, varying in length from just a few bits for the most frequent IL elements (closed class of function words) to 16 bits for the open class of lexical elements. Given a set of language elements with their frequency distribution, a statistically based Huffman coding technique as chosen for the IL offers maximum compaction [see also section IV.5]. But as long as a language is still under design, the introduction of new elements (morphems, special signs) should be pondered carefully as to their effects on the overall frequency distribution. This is the case with the IL.

#### iii. Inspectability.

During long-term development and maintenance of the (multilingual) DLT system, the IL will be the main pivot and interface between the various subsystems. The quality of a French SL analysis module cannot reliably be judged on the output of a German TL module. The presence of the intermediate IL stage in between provides an opportunity to measure or verify the translation performance of SL- and TL-modules independently of each other [see III.5.2]. But only an IL which is easily and quickly accessible on a daily-routine basis will make its inspection acceptable and even attractive to linguistic personnel. This requires an IL which is practically 'readable' as natural language, and which also can quickly be 'written down' manually as test input to a TL-module. Whether automatically or manually generated, any IL sentence will be filtered by the IL recognizer [see III-4.1], to ensure error-free IL throughout a DLT network.

The first two criteria of the above trio generally tend to be in conflict with each other. Considering this fundamental fact, our choice of an error-free natural-language type IL seems an adequate compromise. Still, we must be careful not to give away compactness too readily by the addition of disambiguating elements to the language.

The criteria ii. and iii. therefore limit the range of methods for obtaining unambiguity to:

- a. Rearrangement and strict prescription of WORD ORDER.
- b. Use of several ALTERNATIVE function words.
- c. Addition of only a small number of PARTICLES and function words.
- d. A consistent use of punctuation (COMMAs, hyphens, etc.).
- e. Insertion of a few special disambiguating elements (to indicate otherwise ambiguous word group boundaries, modifier scopes etc.), of which the EXTRA SPACE is the most important one.

As can be seen from this list, space-consuming and obtrusive extralingual elements, such as labeled brackets, are forbidden. Instead, 'natural' textual elements are used. Apart from word order prescription, all the above provisions more or less affect compactness, either by adding morphems or by changing the statistical distribution of the existing ones. Regarding provision e, it should be remarked that in the variable-length (Huffman) code scheme adopted for DLT, the space (blank), as the most frequent element, consumes only 1 bit. This makes its choice as major 'separator' optimal from a compactness point-of-view. Whether the same can be said from the readability point-of-view is subject to experimentation, but it should be noted that nothing prevents the mapping (under a simple homomorphism) of these extra spaces onto other signs (e.g. vertical bar, underscore, etc.) for ease of inspection.

1.2. IL composition as a modified Esperanto subset.

To state that we use Esperanto as IL would be, in fact, a loose formulation and gross simplification. What actually happens is that we make careful use of several 'layers' of alternative or additional syntactic elements and constructions, on top of the basic layer of common Esperanto [see fig. IV-1].

This basic layer represents the language as it exists in word and print, and as it is taught and used in practice. This current Esperanto largely overlaps with the official Esperanto, which started with the so-called 'Fundamento' (a concise grammar kernel, unaltered since its publication in 1887), and which has intermittently been supplemented by publications of the Esperanto Academy. Here we find several basic properties, which already make

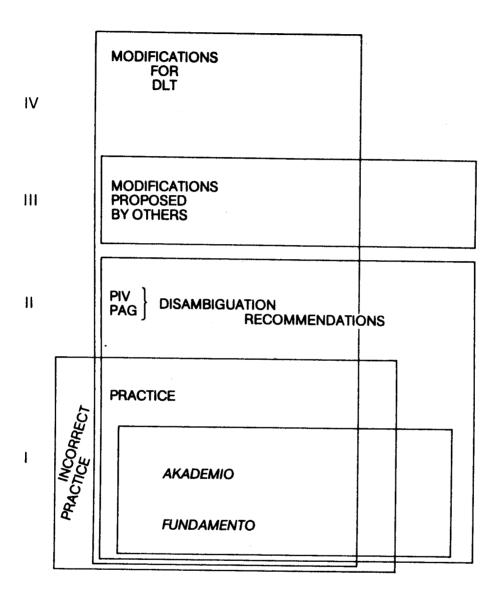


Fig. IV-1. Composition of DLT's IL out of four 'layers' of linguistic material. Layer I consists of the common Esperanto as it is currently used and supported by the Esperanto Academy and the so-called 'Fundamento'. In layer II, PIV and PAG are comprehensive standard reference works (lexicon and grammar), reflecting 8 to 9 decades of experience with the language. Layer III contains contributions from various authors in the area of Esperantology and interlinguistics. The partial overlap of the rectangles indicate that DLT does NOT adopt everything from the layers below it. The relative proportions of the rectangles are very cursory.

Esperanto superior to many other languages regarding structural transparency, e.g.:

- explicit part-of-speech marks, in the form of grammatical word endings (-o, -a, -as, -i, -e), distinguish nouns, adjectives, finite and infinite verbs, as well as derived adverbs;
- the existence of an accusative case (ending -n) helps to distinguish the direct object (from the subject, but also from an object's predicative complement); in connection with locative prepositions, it denotes motion towards;
- more precise demarcation of prepositions, corresponding to semantic functions: instrumental ('per'), sociative ('kun'), tractative ('pri') etc.

The second layer [fig. IV-1] consists of recommendations made in the two comprehensive and authoritative standard works of Esperanto, known by the acronyms PAG (the grammar) and PIV (the dictionary). These contain advice on how to avoid possible ambiguities by more stringent or alternative constructions, addition of particles, etc. Of course, the users of the language do not systematically follow these recommendations (many of which are not even known to them), because potential ambiguities often are unproblematic in human communication. For the It however, these recommendations provide a treasure of useful ingredients, e.g.:

- number agreement scheme for the coordination of premodifiers and nouns (plural ending is -j):
  - (6) Miaj frato kaj fratino.
     (My brother and sister.)
  - (7) La itala kaj la franca lingvoj. (The italian and the french language.)
  - (8) Juna kaj alloga virino. (A young and attractive woman.)

which helps in the analysis of premodifier scope (6) or the number of entities referenced: whereas (7) is an elliptic noun coordination, (8) is a descriptive adjective coordination.

- a special preposition ('far') to denote the agent of the passive;

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- particles to disambiguate between time/space
semantics of certain prepositions ('antau',
'post'):
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(9a) Iam antau...
( Before... Fr.: Avant...)
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- (9b) Ie antau...
  ( Before... Fr.: Devant...)
- other combinations of function words:

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(10a) Krom...
(Except for...)
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(10b) Krom...ankau... (Besides...)

Some of the recommendations for disambiguation cause a slight difference of stylistic content, which makes them less attractive as systematic elements of a translation system. Therefore, layer I and II [fig. IV-1], are not quite sufficient for our IL design. They form however the solid base of legitimate and well-tested language material.

With the third layer, we enter into the realm of mere proposals and suggestions for alternative syntactic constructions. For the IL design, we limit our interest to those alternatives which further contribute to unambiguity. The difference between this and layer II is that things found here have not been legitimated. Also, they have not been used in practice. But in fact, the boundary between II and III is not easy to draw [Lo Jacomo, 1981]. Some of the not (yet) legitimated proposals (including much of the small print of PAG itself) come from prominent authorities, e.g.:

- retaining the accusative (-n) despite the presence of a preposition ('de') for the direct object of a nominalized verb:
  - (11) La manĝado de la anason.
     (The eating of the duck. )
- a synthetic instead of analytic passive:
  - (12) Li edukatas.
     (He is [being] educated.)
- extension of the set of 3rd person pronouns with an explicitly masculine ('hi', 'ihi') and a plu-

ral feminine ('iŝi') pronoun (apart from a contribution to an anti-sexist trend, this helps to reduce anaphoric ambiguity).

Layer IV consists of additional constructions and arrangements worked out by the author and his team in the framework of the DLT project. Some of these have a far-reaching effect on the overall syntactic look of the language; at the other end of the scale we have modifications affecting only one function word. E.g.:

- canonical word group order (basically SVO) for independent and dependent clauses, infinitival clauses, participial clauses etc.;
- use of extra spaces as disambiguating elements throughout the language (in this paper, each such extra space will be denoted by an underscore);
- obligatory use of the preposition 'je' in combination with the "accusative of measure":
  - (13) Li kaptis je duonan metron longan fiŝon. (He caught a half meter long fish.)

whereas 'je' with nominative will be used for time point referencing (table 1) and replace the naked "accusative of time point" of common Esperanto;

- introduction of new function words: the conjunction 'dum-kiel' (whereas), the preposition 'kromau' (besides).

In part, the contents of layer IV are restrictive prescriptions within the framework of layer I and II, i.e. they consist of forbidding certain elements which are legalized in the human use of the language.

The four-layered structure can be most appropriately summarized as "a modified subset of Esperanto": despite significant changes and restrictions, it still is Esperanto in the first place, and will profit from the vast lexical coverage and productivity of that language.

In what follows, we will present the subset as one homogeneous language (which in fact it is), without bothering from which layer a construction or element stems.

1.3. The IL's 'ambiguity-resistance' - contrastive overview of structural ambiguities.

In this section, we will give a characteristic of the IL by presenting an overview of typical ambiguities as they appear in English, German etc. Next to each SL ambiguity, its two (sometimes even more) IL translations will be given. These are not as it were paraphrases, but strict translations of the alternative interpretations of the ambiguous SL expression. In all these cases, there is no single IL equivalent for the SL surface string, i.e. the IL does not share the syntactic ambiguity.

From the theory of formal languages, it is known that the unambiguity of a grammar cannot be proved. In order to empirically check for coverage of an ambiguity—free IL design, we have had to obtain a comprehensive inventory of syntactic ambiguities. To that end, we have relied heavily on Agricola [Agricola, 1968] and on a variety of other sources, partly publications in the MT field, partly general linguistics literature [Waringhien ', 1959; Weinreich 1966; Kooij, 1971; Brandt Corstius, 1978; Pitrat, 1980; Melby, 1981; Pigott, 1982b].

For descriptive purposes, we have divided syntactic ambiguity in 9 groups, which will be shown below [a tenth group, the ambiguity of coordination and modifer scope, will be discussed in section 2.4.5]. The groups overlap each other to some extent.

1.3.1. Part of speech (open-class words).

Apart from the classic and sometimes comical confusions between noun and verb, adverb and adjective, as are so typical of English:

- (14) Army demands change.
- (14a) Armeo postulas ŝanĝon.
- (14b) Armeaj postuloj ŝanĝiĝas.

we also have the frequent ambiguity between an adjectival participle and a finite verb:

- (15) Britain has raised tariffs.
- (15a) Britujo havas altigitajn tarifojn.
- (15b) Britujo altigis tarifojn.
- (16) The door is closed.
- (16a) La pordo estas fermita.
- (16b) La pordo fermajtas.

- (17) His voice is relaxing.
- (17a) Hia voĉo estas trankviliganta.
- (17aa) Hia voĉo estas trankviligema.
- (17b) Hia voĉo trankviliĝas.

By virtue of a rigid system of distinctive grammatical endings, the IL does not have these problems. This system includes the endings '-as' (present tense) and '-is' (past tense) for the active, and '-ajtas' for the passive voice. Adjectival participles can have the ending '-anta' (active) or '-ita' (passive). The verb root suffixes '-ig' and '-ig' denote a transitive and intransitive meaning respectively.

Notice that 16b and 17b describe an event, whereas 16a and 17a are mere qualifications [17aa is a variant formed with the lexical affix '-em', denoting disposition or tendency].

### 1.3.2. Function words.

Among function words, part-of-speech ambiguity also occurs, e.g. between articles (or demonstratives) and relative pronouns:

- (18) All endeavour that man can carry out.
- (18a) Ĉiuj penoj 'kiujn la homo povas plenumi.
- (18b) Čiuj penoj kiujn tiu viro povas plenumi.
- (18c) Tiu viro povas plenumi ĉiujn penojn ĵe.
- (19) Das bedeutet Regeln in Betracht ziehen, die Konstituenten weglassen, ...
- (19a) Tio signifas konsideri regulojn, kiuj forlasas konsistaĵojn, ...
- (19b) Tio signifas konsideri regulojn, forlasi la konsistaĵojn, ...

In the IL, these problems do not occur. This is because of the absence of reduced relative clauses (18b) and the canonical word group order (in 18c, the particle 'je' preserves the emphasis on the object). Other cases involve the distinction between adverbs and prepositions, as in:

- (20) Around fifty trees...
- (20a) Čirkau kvindek arboj...
- (20b) Ie-Cirkau kvindek arboj...

Whereas in 20a, 'Ĉirkau' is an adverb to the numeral, the prefix 'ie-' in 20b indicates that it serves as a locative preposition to the noun phrase there.

Under syntactic ambiguity we also cover semantic category or subcategory differences of function words, to the degree to which these differences are relevant for translation between European languages. This is certainly the case with the meaning of prepositions, the precision of which will largely determine the quality of the IL. An important example is the time/space distinction, for which the IL uses hyphenated prefixes:

- (21) Between the exhibition and the meeting...
- (21a) Iam-inter la ekspozicio kaj la kunveno...
- (21b) le-inter la ekspozicio kaj la kunveno...
- (21c) ...inter la ekspozicio kaj la kunveno...

Of these, 21a refers to time, 21b to a spatial and 21c to an abstract relationship (the latter being verb or noun valency bound). Note that the time/space distinction may not only be necessary for the translation of the function word itself (as in samples 9a and 9b), but also for generating a stylistically correct word group order in the TL.

Beyond a certain degree however, further differentiation does not contribute to the translation process. This is the 'over-disambiguation' against which we have already warned in the Introduction (example 1 and table 1). In his work on ambiguity in natural languages [Kooij, 1971], Kooij points out that in a phrase like

(22) He missed his train. (Hi maltrafis sian trajnon.)

the possessive 'his' expresses "some vague relation", and "any paraphrase here is more awkward and less precise than the expression itself".

On the other hand, where an English genitive may include the meaning of 'agent' (author, publisher, printer etc.), the IL provides for an explicit indication of this meaning category via the preposition 'far':

- (23) Chomsky's new book.
- (23a) La nova libro de 'Chomsky'-o.
- (23b) La nova libro far 'Chomsky'-o.

just as in:

(24) Music by Beethoven. (Muziko far 'Beethoven'-o.)

[Proper names are inserted in the IL as character

strings, to which a grammatical ending must be attached: e.g. -on for accusative, -a for adjective ('Chomsky'-a = Chomskian) etc.]

1.3.3. Subject, object, Spc and Opc.

This group of ambiguities includes the subject, the direct object and their respective predicative complements, denoted as Spc (Subject predicative complement) and Opc (Object predicative complement). Also the indirect object can be involved.

Confusion between subject and object typically arises where the normal word is reversed, as in relative (25) or interrogative (26) clauses:

- (25) Die Frau die Trudeau liebte.
- (25a) La virino kiu amis 'Trudeau'-on.
- (25b) La virino kiun amis 'Trudeau'-o.
- (26) Welche Aufgaben erwarten Sie heute?
- (26a) Kiuj taskoj hodiau atendas vin?
- (26b) Kiujn taskojn hodiau vi atendas?

It also occurs in connection with participles, as in the following English examples:

- (27) Visiting relatives can be tiresome.
- (27a) Vizitantaj parencoj povas esti enuigaj.
- (27b) Viziti parencojn povas esti enuiga.
- (28) A program checking strategy.
- (28a) Programo, kontrolanta strategion.
- (28b) Programon kontrolanta strategio.
- (28c) Program-kontrolanta strategio.

The IL resists the ambiguity by its nominative—accusative contrast, and by the fact that its participles can only function as adjectives (27a). Adjectives may have their own supplements, including an object, and may be placed either after or before the noun (28a and 28b). In case of the former, a comma is obligatory (otherwise an ambiguity would arise if the head noun itself were in the accusative). A lexicon-based variant is suggested by 28c.

In the next example, ambiguity between a participle and a finite verb is mixed with direct-indirect object ambiguity:

- (29) He showed the plan to his colleagues, interested friends and...
- (29a) Li montris al siaj kolegoj, interesitaj amikoj kaj... la planon.
- (29b) Li montris al siaj kolegoj la planon, interesis amikojn kaj...

An important class of ambiguities are those involving predicative complements. In the IL, both the Opc and the Spc are in the nominative. This makes the Opc clearly marked off from the object (30a). However, in wh-questions ('ki'-questions in the IL) about the Spc or Opc, the complement comes in front of the subject (31 through 34).

the Spc or Opc, the complement comes in front of the subject (31 through 34). Unambiguity of the syntactic structure is then assured by insertion of a separator (an extra space, denoted here by the underscore in 31b and 32b), unless the subject has a determiner (33 and 34):

- (30) The witch made the prince a froq.
- (30a) La sorĉistino faris la princon rano.
- (30b) Por la princo la sorĉistino faris ranon.
- (31) They don't know how good meat tastes.
- (31a) Ili ne scias, kiel-do bona viando gustas.
- (31b) Ili ne scias, kiel-do bona \_viando gustas.
- (32) ..., how long new programmers make their programs.
- (32a) ..., kiel-do longaj novaj programistoj faras siajn programojn.
- (32b) ..., kiel-do longaj \_novaj programistoj faras siajn programojn.
- (33) Kia viro hi estas? (What kind of man he is? )
- (34) Kiu rango la kolonelo nomumis sian fraton? (What rank the colonel nominated his brother?)

[The suffix '-do' in dependent questions distinguishes the interrogative meaning of the 'ki'-words from their relative or correlative meaning.]

Another type of ambiguity which we assign to this group is the one between a free adjunct and subject or object:

- (35) Jede dritte Stunde ist eine Pause.
- (35a) Je ĉiu tria horo estas pauzo.
- (35b) Ĉiu tria horo estas pauzo.

- (36) He studied the whole year.
- (36a) Dum la tuta jaro li studis.
- (37b) Li studis la tutan jaron.

In the IL, free adjuncts are put in front of the SVO backbone and can only be adverbs, PP's or subordinate clauses.

[35a is a case of subject inversion (word order VS instead of SV), whereas in 35b the verb is used as a copulative.]

#### 1.3.4. PP-ambiguity.

Prepositional phrase (PP) ambiguity is a notorious phenomenon and has caused much trouble to MT system experimenters [Bruderer, 1977]. To tackle this unruly thing in a systematic way, we distinguish:

- cases where only the grammatical function of the PP at clause level is involved:
- cases where also the subordinate relation of the PP with a noun phrase is involved;
- cases where only the subordinate relation of the PP with noun phrases is involved.

The grammatical function candidates for an ambiguous PP are:

- the <u>free adjunct</u> (FADJ), an adverbial constituent of time, place, manner, instrument etc., determining the clause as a whole;
- the <u>verb valency bound object</u> (Ovv), an indirect object in the form of a PP, the preposition of which is governed by the verb and recorded in its dictionary entry; in the IL, the Ovv-concept is extended to include locative PP's with verbs of situation and motion;
- the <u>agent of the passive</u> (Ag); in the IL, the preposition 'far' has been exclusively reserved for this.

Two dominant IL clause patterns (out of a canonical set of several dozen) help further explanation:

[FADJ] [S] V [Ovv] [O]

and: [FADJ] [S] Vpass [Ovv] [Ag]

(Vpass = passive verb group; square brackets denote optionality, the superscript the allowed repeat factor).

This fixed word group order is an important IL weapon against PP-ambiguity. Of the first type of cases, (38) shows the confusion between FADJ and Ovv. Somewhat different is (39), one interpretation of which involves a discontinuous verb, but discontinuities do not exist in the IL:

- (38) These islands were named after Ascension Day.
- (38a) Post ĉielirotago tiuj-ĉi insuloj nomajtis.
- (38b) Tiuj-Ĉi insuloj nomajtis lau Ĉielirotago.
- (39) She called up the stairs.
- (39a) Suprem ie-lau la ŝtuparo ŝi vokis.
- (39b) Ŝi telefonis al la ŝtuparo.

EThe locative prefix 'ie-' in 39a prevents confusion with the other meaning ("according to") of the preposition 'lau'].

Confusion between FADJ and Ag is, apart from distinctive word group positions, prevented by the exclusive use of the preposition 'far' for Ag:

- (40) The ice was piled high by the river.
- (40a) Apud la rivero la glacio alte amasigajtis.
- (40b) La glacio alte amasigajtis far la rivero.

In infinitival or participial clauses, which have a somewhat different word order than general clauses, 'far' may become the only distinctive element, e.g.:

- (41) The ice, piled high by the river, began to melt.
- (41a) La glacio, alte amasigita apud la rivero, ekfluidiĝis.
- (41b) La glacio, alte amasigita far la rivero, ekfluidiĝis.

lin 'ekfluidiĝis', the prefix 'ek' indicates the ingressive meaningl.

The preposition 'far' also prevents confusion between the Ovv and the Ag (their word order does not provide certainty: Ovv and Ag follow each other, but both are optional):

- (42) In 1633 wurde Konstanz von den Schweden befreit.
- (42a) Iam-en '1633'-o 'Konstanz'-o liberigajtis de la svedoj.
- (42b) Iam-en '1633'-o 'Konstanz'-o liberigajtis far la svedoj.

The second type of cases concerns the distinction between a clausal and a phrasal function of the PP. The former can be a FADJ, an Ovv or an Ag, as shown

above, the latter applies to the PP as a NP supplement:

- (43) He saw the girl with the binoculars.
- (43a) Hi vidis la knabinon kun la binokloj.
- (43b) Per la binokloj hi vidis la knabinon.
- (44) Sie hat sich die Adresse von einem Freund geben lassen.
- (44a) Ŝi lasis la adreson de amiko donajti al ŝi.
- (44b) Ŝi lasis la adreson donajti al ŝi far amiko.

Inotice the use of the infix '-ajt-' to denote a passive
infinitive in 44a and 44bl.

Both the canonical word order and the more precise use of prepositions in the IL contribute to unambiguity of the PP-function here. In the following example (45), the phrasal PP (as supplement to 'talk') becomes an Ovv (45a), as the analytic verbal expression is translated into a synthetic one:

- (45) He gave a talk on the new 'Mistral' express train.
- (45a) Li paroladis pri la nova rapidtrajno 'Mistral'-o.
- (45b) En la nova rapidtrajno 'Mistral'-o li paroladis.

Sometimes, the word order is the only distinction, as in the next example, where 46b contains an Ovv:

- (46) He freed the men from the king of Spain.
- (46a) Hi liberigis la virojn de la reĝo de hispanujo.
- (46b) Hi liberigis de la reĝo de hispanujo la virojn.

Instead of as an Ovv, the PP may function as an adjectival supplement in one of the readings (47b):

- (47) ...dieser Fortschritt der Entwicklung des Landes nützlich zu machen...
- (47a) ...tiun progreson de la evoluado de la lando fari utila...
- (47b) ...tiun progreson fari utila al la evoluado de la lando.

In the third type of cases, different analyses within the same NP are involved:

- (48) The dog of my neighbour with that long tail.
- (48a) La hundo de mia najbaro kun tiu longa vosto.
- (48b) La hundo de mia najbaro \_kun tiu longa vosto.

In 48a, it is the neighbour, not the dog, that has the long tail!

Notice that the IL uses morpho-syntactic means (an extra space, denoted in 48b by the underscore), NOT knowledge-of-the-world, to differentiate between two such interpretations. This is a general property of the IL, not confined to PP-ambiguity alone.

In 48b, even replacement of the demonstrative 'tiu' by the possessive 'ĝia' (a possessive which can only refer to non-human possessors) would not make the disambiguating extra space (before 'kun') unnecessary: without knowledge-of-the-world, one could easily assume that the neighbour bears his dog's tail on his hat.

More about PP-ambiguity can be found in section 2.4.5.

1.3.5. Infinitives and participial constructions.

Uncertainty whether an infinitive functions as a syntactical object or as a free adjunct, sometimes occurring in the SL, does not exist in the IL. In the first place, IL free adjuncts can only be adverbials (adverbs or PP's), not naked infinitives. Secondly, free adjuncts have their own place in the IL canonical word order, clearly separated from objects. For example in:

- (49) He loves to live.
- (49a) Hi Satas vivi.
- (49b) Por vivi hi amas.
- (50) He prays to be saved.
- (50a) Hi petegas savajti.
- (50b) Por savajti hi pređas.

one of the interpretations (49b, 50b) is a free adjunct (of goal).

Also note the following cases, in which a participle is involved:

- (51) She stopped laughing.
- (51a) Ŝi Ĉesis ridi.
- (51b) Ridante ŝi ĉesis.
- (52) These people don't love standing in queues.
- (52a) Tiuj-ĉi homoj ne ŝatas stari en vicoj.
- (52b) Starante en vicoj tiuj-ĉi homoj ne amas.

The IL does not use (51a, 52a) participial objects as in English.

[Notice the effect of the following slight variation of the SL examples 51 and 52:

- (53) She stopped, laughing.
- (53a) Ŝi, ridanta, ĉesis.
- (54) Standing in queues, these people don't love.
- (54a) Tiuj-ĉi homoj, starantaj en vicoj ĵe, ne amas.

with the particle 'je' (in 54a) keeping the emphasis on the subject adjunct.]

Neither does the IL use participial subjects:

- (55) Flying planes can be dangerous.
- (55a) Pove, flugigi aviadilojn estas danĝera.
- (55b) Pove, flugantaj aviadiloj estas danĝeraj.

Nor does the IL allow ACP ('Accusativus cum Participio') constructions:

- (56) The president did not consent to any of the documents leaving the office.
- (56a) La prezidento ne konsentis, ke iuj ajn da la dokumentoj eliru el la oficejo.

[By use of the particle 'da' in 56, 'dokumentoj' remains the head of the NP, and 'iuj ajn da' ("any of") is treated as a complex determiner. The verb ending '-u' in 56a denotes <u>volitional</u> mode, as opposed to the indicative. ]

The ACI ('Accusativus cum Infinitivo') is allowed in the IL, and may be used to translate an English ACP in certain cases (when the IL-verb's lexicon entry indicates ACI-valency).

In some cases, insertion of an extra space as a disambiguating element is needed in order to distinguish an ACI construction from an infinitive functioning as noun supplement, e.g. in:

- (57a) Mi vidas Ŝancon \_veni. (I see a chance come/coming.)
- (57b) Mi vidas Sancon veni. (I see a chance to come.)

where, according to IL dictionary entries, the verb ('vidi') allows an ACI and the noun ('Sanco') an infinitival supplement. This, obviously, is not a case of SL ambiguity but merely prevention of IL ambiguity, whereas in the next example:

- (58) Er hat die Kunst zu lieben vergessen.
- (58a) Hi forgesis ami la arton.
- (58b) Hi forgesis la arton ami.

the SL ambiguity is resolved in the IL by word order and by the absence of an ACI-valency-mark in the dictionary entry of 'forgesi'.

As other examples in this group, we include SL infinitival use for expressing obligation or capacity:

- (59) Das Problem ist zu übersetzen.
- (59a) La problemo estas traduki.
- (59b) La problemo devas tradukajti.
- (59c) La problemo povas tradukajti.
- (60) Sein Zweck ist zu erläutern.
- (60a) Lia celo estas klarigi.
- (60b) Lia celo devas klarigajti.
- (60c) Lia celo povas klarigajti.

For the sake of completeness, we end this group with a remark on the use of participial constructions: As one might expect, the IL does not allow "absolute" adjuncts, such as in French or English:

- (61) The contract having been signed, the company's president gave a big party.
- (61a) Post ke la kontrakto subskribajtis, la prezidento de la kompanio tenis grandan feston.
- (61b) Pro ke la kontrakto subskribajtis, la prezidento de la kompanio tenis grandan feston.

Instead, the IL uses subordinate/clauses as free adjuncts, which (by their subordinate conjunctions) explicitly show their nature (temporal, causal, etc.). Also, SL constructions like 62 are not matched by participles in the IL (except for the phrasal noun postmodifier interpretation of 62b):

- (62) The problem was solved by the employee paying the bill.
- (62a) Per ke la dungito pagis la fakturon, la problemo solvajtis.
- (62aa) Per la pagado de la fakturon far la dungito, la problemo solvajtis.
- (62b) La problemo solvajtis far la dungito, paganta la fakturon.

#### 1.3.6. Verb nominalization.

Verb nominalization in SL often blurs the distinction between the thematic agent and patient that might both be associated

with the nominalized verb:

- (63) The accusation of the broker.
- (63a) La akuzado de la makleriston.
- (63b) La akuzado far la makleristo.
- (64) Das Studium der Frauen.
- (64a) La studado de la virinojn.
- (64b) La studado far la virinoj.
- (65) The eating of the mouse by the cat.
- (65a) La manĝado de la muson far la kato.
- (66) The eating of the mouse.
- (66a) La manĝado de la muson.
- (66b) La manĝado far la muso.

As these examples show, the distinctive morphosyntactic features of the verbal object (the accusative '-on' ending) and the verb's agent (the preposition 'far') are preserved in the IL under verb nominalization.

If an NP is headed by a nominalized verb, its structure still corresponds to that of the underlying verbal clause:

[DET] [ADJ] -ado [PP] [de -on] [far -o] [PP]

[0] [vv0] V [vbA]

[FADJ]

[Ag]

[FADJ is placed out of the canonical word order (for non-infinitival clauses) here, to show its correspondence with the NP's trailing PPs; any PP corresponding to the Ovv (verb valency bound prepositional object) comes immediately after the head noun.]

# 1.3.7. Verb semantics.

In this group, we consider SL ambiguities which the IL solves by a finer differentiation of available verbs in the lexicon:

- (67) He felt a fool.
- (67a) Hi sentis sin frenezulo.
- (67b) Hi palpis frenezulon.
- (68) The shooting of the hunters.
- (68a) La pafado far la ĉasistoj.
- (68b) La mortpafado de la Ĉasistojn.

The superiority of the IL in clearness of verb semantics

is partly due to a rather regular system of word formation, using suffixes with a well-defined meaning (e.g. '-ig' for causative, '-ig' for medial verbs):

- (69) Eine abkühlende Ammoniaklösung.
- (69a) Malvarmiga amoniaksolvaĵo.
- (69b) Malvarmiĝanta amoniaksolvaĵo.
- (70) Boiling champagne is interesting.
- (70a) Boligi ĉampanon estas interesa.
- (70b) Bolanta Ĉampano estas interesa.
- (71) The boy rolled down the slope.
- (71a) La knabo rulis suben ie-lau la taluso sin.
- (71b) La knabo ruliĝis suben ie-lau la taluso.
- (72) He cut himself with a knife.
- (72a) Per tranĉilo hi tranĉis sin.
- (72b) Per tranĉilo hi tranĉiĝis.

Notice the IL differentiation between an intentional, voluntary act of the subject (corresponding to the 'deep agent': 71a, 72a) and a non-voluntary one (corresponding to the 'experiencer' role of the subject: 71b, 72b). Compare also:

- (73a) Per stono mi rompis la fenestron. (With a stone I broke the window.)
- (73b) Stono rompis la fenestron.
  (A stone broke the window.)
- (73c) Per Stono la fenestro rompiĝis.
  (With a stone the window broke. )
- (73d) La fenestro rompajtis far stono. (The window was broken by a stone.)
- (73e) La fenestro estis rompita far stono. (The window was broken-by-a-stone.)

Of these five variants, 73a and 73b show the active voice (with and without instrumental), 73c the medium voice, and 73d the passive. In contrast to 73d, which indicates an act or process, 73e merely describes a state: it has a copula and a predicative complement, composed of an adjective and its supplement.

Special attention deserve the semantics of modal verbs. The IL distinguishes obligation from logical inference, by using a modal verb ('devi') for the former (74a), and an adverb ('deve') for the latter (74b):

- (74) He must go to Paris now.
- (74a) Nun li devas iri ie-al parizo.
- (74b) Deve, nun li iras ie-al parizo.

Also, assumption or rumour (75b), with which obligation could be confused in German surface strings, is represented distinctly in the IL:

- (75) Er soll noch studieren.
- (75a) Hi ankorau devas studi.
- (75b) Onidire, hi ankorau studas.

The leading adverbs of 74b and 75b are considered speaker-oriented adjuncts, which always have their place at the beginning of the IL clause.

Likewise, the IL makes a difference between capacity (75a), possibility or probability (75b), and permission (75c):

- (75) Il peut venir.
- (75a) Hi povas veni.
- (75b) Pove, hi venos.
- (75c) Hi darfas veni.

### 1.3.8. Compound noun strings.

Whereas compound noun strings are abundant in English (especially in technical and scientific texts), they are practically absent in the IL, which only allows concatenation of a noun and a literal ("prezidento 'Reagan'"). Of course, a great deal of trouble in English is caused by the lack of morphological distinction between a word's substantival and adjectival use. As we saw in Group 1, this confusion does not exist in the IL. Besides, the IL has several other differences from English to prevent the latter's typical ambiguity in this group.

The IL never omits prepositions with indirect objects:

- (76) We sent the general reports.
- (76a) Ni sendis la ĝeneralajn raportojn.
- (76b) Ni sendis al la generalo raporto in.

In some cases, the nominative-accusative opposition provides unambiguous representations in the IL:

- (77) Two electrical passenger carrying vehicles are missing.
- (77a) Du elektrajn pasaĝerojn transportantaj veturiloj mankas.

(77b) Du elektraj pasaĝerojn transportantaj veturiloj mankas.

IIf the whole NP of 77 were itself in the accusative, insertion of the dummy article 'le' before 'pasagerojn' would be applied to separate it from 'elektrajn'.]

The problem of 77 could also be solved via the (IL) lexicon, if this could be made to include the composite adjective 'pasagerojn\_transportanta' (note the use of the underscore, here <u>instead</u> of a space, to indicate a lexical unit). Compare:

- (78) The criminal lawyer.
- (78a) La kriminala juristo.
- (78b) La kriminala juristo.

Apart from lexical units, the IL uses the hyphen to indicate a stronger tie (relating to an underlying verb phrase):

- (79) The German students.
- (79a) La germanaj studentoj.
- (79b) La germana-studentoj.

As for partitives, the IL always uses the particle 'da':

- (80) ...dass dieses Fass Bier für die Maurer ist.
- (80a) ...ke tiu-ĉi barelo da biero estas por la masonistoj.
- (80b) ...ke tiu-ĉi barelo estas biero por la masonistoj.

Finally, the IL makes strict use of commas and apostrophes:

- (81) Strebsame junge Künstler.
- (81a) Diligentaj junaj artistoj.
- (81b) Diligentaj, junaj artistoj.

[81a shows a restrictive ("cumulative"), 81b a descriptive ("parallel") modifier chain.

- (82) The term insurance.
- (82a) La periodo-asekuro.
- (82b) La termino 'insurance'.
- (82c) La termino "asekuro".

Ethe apostrophed string of 82c is translated, the one of 82b is not.]

### 1.3.9. Anaphorics.

Unambiguous pronominalization of nouns referring to humans is facilitated by separate pronouns for explicitly masculine or feminine entities, and for entities (functionaries, professions etc.) of which the sex is unknown or irrelevant:

- (83) After the judge had heard the man's story about his ex-wife, he decided that he did not have to pay her alimony.
- (83a) Post ke la juĝisto audis la rakonton far la viro pri sia eksedzino, li decidis, ke hi ne devu pagi al ŝi alimenton.
- (83b) pri lia eksedzino, li decidis, ke li ne devu pagi al ŝi alimenton.

In sample 83, the antecedents of "his" and of "he" (2nd occurrence) are ambiguous: its unclear whether the judge's or the story-teller's ex-wife is involved, and who of the two is exempt from alimony. In the interpretation given by 83a, the story-teller's

In the interpretation given by 83a, the story-teller's divorce is dealt with. Notice the use of the possessive adjective 'sia', which always refers to the nearest grammatical subject, in this case 'viro' (the preposition 'far' indicates that the NP headed by 'rakonton' is a nominalized verb phrase). Further, of the human nouns in the sentence, 'viro' is the only explicitly masculine one, and is therefore referred to unambiguously by 'hi'.

In both 83a and 83b, 'juĝisto' is the only sexless human noun, and 'li' and 'lia' refer to it; 'eksedzino' is explicitly feminine, and referred to by 'ŝi'. [The interpretation of the judge's ex-wife in 83b does not make the word 'juĝisto' masculine: this would imply using knowledge-of-the-world, which is beyond our objective of making the IL unambiguously parsable without 'deep' semantics.]

A further pronoun ('ĝi') exists for non-human entities (institutions, concepts, things, animals).

As for the plural 3rd person, 'ili' refers to "sexless" or "mixed", 'ihi' to explicit masculine and 'iŝi' to explicit feminine entities:

- (84) The town councillors refused a permit to the women, because they advocated revolution.
- (84a) La komunumaj konsilantoj rifuzis al la virinoj permeson, ĉar ili advokatis revolucion.
- (84b) permeson, ĉar iŝi advokatis revolucion.

Though the differentiation of personal pronouns contribute to achieving anaphoric unambiguity, it is not always sufficient. The IL therefore has other means at its disposal, such as a strictly regulated use of demonstrative pronouns to refer to preceding nouns:

- (85) Le père emmena l'enfant chez le docteur Dupont, parce qu'il avait des boutons.
- (85a) La patro alvenigis al la kuracisto 'Dupont' la infanon, ĉar hi havis aknojn.
- (85b) infanon, Car tiu havis aknojn.
- (85c) infanon, ĉar tiu-ĉi havis aknojn.

The pronoun 'tiu' refers to the farther, 'tiu-ĉi' to the nearer of two preceding nouns [notice that 'li' could not be used in the above sample, because there are two "sexless" entities to which it can refer].

The IL anaphoric system also has distinctive pronouns (demonstrative and relative) for entities on the one hand ('tiu', 'kiu') and whole clauses ('tio', 'kio') on the other hand:

- (86) His results are connected with a non-standard model, which was first shown...
- (86a) Liaj rezultoj estas ligitaj kun nenorma modelo, kiu unue montrajtis...
- (86b) kio unue montraitis...

[in 86b, kio refers to the preceding clause as a whole].

For verbs without a logical subject (statements about the weather are a classical example), the IL article 'lo' serves as a grammatical dummy subject. This prevents the following case of ambiguity:

- (87) Schmiede das Eisen, solange es warm ist.
- (87a) Forĝu la feron, dum ke ĝi estas varma.
- (87b) Forĝu la feron, dum ke lo estas varma.

#### 1.4. IL syntactic categories.

In accordance with the IL's design principles, its syntactic categories are the result of a practical compromise between language-contrastive considerations, adherence to existing Esperanto and fast parsability. It should be reminded that the aim of the exercise is language translation (via an Esperanto-based IL), NOT language investigation.

We distinguish between syntagmatic and syntactic function categories:

### Syntagmatic categories:

GEN general clause; INF infinitival clause;

DEP dependent clause;

REL relative clause;

NP noun phrase:

PP prepositional phrase;

ADOC noun phrase formed by a nominalized verb ('-ado' type of noun, the supplement of which shows similarity with a clause complement);

ADJ1 adjectival clause, placed before the head of an NP;

ADJ2 adjectival clause, placed in the tail of an NP, or functioning as Spc or Opc [see below]:

### Syntactic function categories:

S subject:

O (direct) object;

0vv · indirect or prepositional object, according to verb valency;

V finite verb form; Vinf infinitive verb form; Vpass passive verb form;

Ag agent of the passive (always introduced by the preposition 'far'):

Spc subject predicative complement;
Opc object predicative complement;

FADJ free adjunct (place, time, manner, cause, etc.);

LADJ context-linking or speaker-oriented adjunct;

CADJ consecutive adjunct, only occurring at the end of a clause.

As this overview shows, the IL syntactic categories, apart from terminology differences, are roughly a cross-section of syntactic distinctions made in other languages [e.g. Quirk, 1980; Van Dam, 1972; Grevisse, 1964]. More details can be found in section 2.1.2 (Canonical word order) and in the Appendix [p. 7], where also basic word categories are listed (prior to the ATNs in which they occur). For the use of the above categories in the labelling of IL tree nodes, the reader is referred to section 3.3 of this chapter.

#### 2. Descriptive definition.

After the IL design principles have been explained and illustrated (in section IV.1), a systematic description of the IL grammar is the aim of this section. The coverage is not exhaustive: an estimated two third of the IL morphology and syntax, including a number of important and characteristic elements, will be dealt with. Lexical aspects will be discussed in a separate section [IV.4].

The illustrated IL grammar description given below is in accordance with the formal — and therefore more abstract — IL definition (by means of ATNs, tree structures etc.) contained in section IV.3. Whereas the latter will serve mainly computational linguists and MT experts, the former is directed at a less computer-oriented readership of linguists and translators. To suit readers with knowledge of Esperanto, the IL's deviations from it will be summed up first, in a seperate subsection [2.1].

On the following pages we will often refer to the IL by its more specific name BCE (Binary Coded Esperanto). Strictly spoken, this designation only applies to the internal (bit string) representation of the IL, and its external (readable) representation used for human inspection and descriptional purposes is in fact known as CCE (Character Coded Esperanto) in DLT. Frequent references will be made to the PAG (Plena Analiza Gramatiko [Kalocsay, 1980]), the comprehensive standard work on the grammar of Esperanto (in these references, the abbreviation PAG will be followed by the number of the relevant paragraph).

#### 2.1. Deviations from Esperanto.

This subsection lists the differences between BCE and common Esperanto. Though the general character, structure and vocabulary of Esperanto are preserved in BCE, significant limitations and modifications were necessary in order to arrive at a syntactically unambiguous and fast parsable IL.

#### 2.1.1. Deviations from the 'Fundamento'.

The modifications also affect — to some degree — 7 rules out of the so-called 'Fundamento', the original 16-rule grammar proclaimed in 1887 by Zamenhof, the inventor of Esperanto. This 16-rule kernel is still being considered as the foundation of the language. We will sum up these deviations, using the rule numbers of the 'Fundamento' (indicated by 'F1', 'F4', etc.), and illustrate them with BCE examples:

# F1. <u>The article</u>.

- a. Introduction of the dummy article 'le' (for all genders, numbers and cases). This is NOT an indefinite article, but merely a disambiguating element to indicate the beginning ('left bracket') of a noun phrase under certain circumstances, e.g.:
  - (88) La flughaveno posedas ok elektrajn le pasaĝerojn transportantajn veturilojn. (The airport owns eight electrical passengercarrying vehicles.)
  - (89) Kiel bona le dana froma\(\overline{G}\)o gustas? (How good does Danish cheese taste?)

Without this provision, confusion with the following readings would exist [cfr. example 77 in this chapter]:

- (88a) The airport owns eight electrical-passenger carrying vehicles.
- (89a) How does good Danish cheese taste?
- b. Introduction of a second definite article, 'lo', to be used for nominalized adjectives (including superlatives and ordinals) not referring to an individual entity, e.g.:
  - (90) Lo unua, kion ni povas fari, estas esploro. (The first [thing] what we can do is an investigation.)
  - (91) Lo plej bona de tiu-ĉi lando estas, ke ĝi ne konas krimecon. (The best [thing] of this country is, that it does not know crime.)
  - (92) Lo malfacila ĉe tiu-ĉi afero estas eltrovi kiu-do prenis tian decidon. (The difficult [thing] in this affair is to find out who took such a decision.)

This should not be confused with certain elliptic cases allowed in BCE, where the adjective refers to a nearby entity:

- (93) La plej bona el tiuj ŝraubiloj. (The best one of those screw-drivers.)
- (94) Tiu-ĉi kalkulilo estas la plej malgranda, kiun mi iam vidis. (This calculator is the smallest one I ever saw.)

(95) Je la sesa la buso jam alvenis.
(The bus arrived already at six [o'clock].)

#### F4. Numerals.

The cardinal 'unu' is inflected, whether used as premodifier or not:

- (96) La komisiono ricevis nur unun proponon. (The commission received only one proposal.)
- (97) Japanio fabrikis unun el la plej rapidaj
  komputeroj.
  (Japan manufactured one of the fastest
  computers.)

# F5. Personal pronouns.

The distinctive use of pronouns as to the natural gender (actual sex) of the entities to which they refer, is as follows:

- a. For the feminine 3rd person plural, 'isi' will be used.
- b. Explicitly masculine 3rd person pronouns are introduced 'hi' (singular) and 'ihi' (plural). These must be used (by analogy with the feminine pronouns) when referring to implicitly or explicitly masculine entities ('viro', 'tradukistico', 'aktorico').
- c. The pronoun 'li' will be used with humans when their sex does not matter or when it is unknown (e.g. referring to 'persono', 'studento', 'delegito', 'politikisto'); 'ĝi' remains for human institutions, concepts, things and animals; 'ili' serves as plural for both 'ĝi' and 'li'.

# F6. The verb.

The passive voice is formed synthetically instead of analytically:

- (98a) La leĝprojekto aprobajtas. (The bill is approved. )
- (98b) La leĝprojekto aprobajtis. (The bill was approved. )

- (98c) La leĝprojekto aprobajtos. (The bill will be approved.)
- (99) Si igis sian edzon mortigajti. (She had her husband killed.)

No compound verb forms remain. The verb 'esti' only serves as situational or copulative verb:

- (100) La auto estas ĉe la garaĝo. (The car is at the garage.)
- (101) Si estas edukita kaj alloga. (She is educated and attractive.)

The preposition 'far' (instead of 'de') always indicates the agent of the passive:

- (102) Si edukajtis far sia onklo. (She was educated by her uncle.)
- F8. Case government of prepositions.

On the rule that every preposition governs the nominative case, there are two important exceptions:

- a. Accusative following 'de', to designate the deep object of a nominalized verb [PAG 135, Rim.]:
  - (103) La manĝado de la muson. (The eating of the mouse.)
  - (104) La evoluigo de sistemon. (The development of a system.)
- b. Accusative following 'je', to indicate measure (cf. the classic 'Accusativus Mensurae'; in this context, not so much the accusative, but rather its combination with the preposition 'je' is a deviation from the 'Fundamento'):
  - (105) Por tiu raketlancado, du je naudek metrojn altaj muntadoturoj estos necesaj. (For that rocket launch, two ninety meters high assembly towers will be necessary.)
  - (106) Tiu-ci saksofono estas je cent dolarojn pli kosta ol tiu. (This saxophone is hundred dollars more expensive than that one.)

With the nominative, 'je' will be used for time point references of date and hour:

(107) Je la dua de augusto \_je la sesa matene la raketo lanĉajtos.
(On the second of August, at six [o'clock] in the morning, the rocket will be launched.)

# F13. Accusative of direction.

An accusative of direction is only allowed in combination with a preposition. Instead of:

(108) \* Morgau la Ĉefministro iros parizon. (Tomorrow, the premier will go to Paris.)

we must use:

(108a) Morgau la Ĉefministro iros ie-al parizo.

As in common Esperanto, the accusative will only be used with certain prepositions (those that can express location as well as direction).

### F14. The 'default' preposition.

Instead of 'je' (the new use of which is defined under F8.b), the particle 'pe' will be reserved as preposition for those cases where no other preposition appears to be appropriate. It is virtually intended as a last resource: for dictionary-defined valency connections with verbs, nouns and adjectives, other prepositions ('pri', 'por' etc.) must be employed as much as possible:

(109a) Kredi pri iu. (To believe in someone.)

(109b) Aspiro al io.
(Aspiration for something.)

(109c) Plena de eraroj. (Full of errors.)

# 2.1.2. Canonical word order.

One of the features of common Esperanto is the flexibility that it allows in the order of the main functional word groups (subject, object, etc.) within a sentence [Wells, 1978]. In the IL, this freedom of word group order has been reduced to a strictly prescribed set of sentence patterns, mainly variations on the SVO (subject-verb-object) sequence [Table IV-2]. This

Table IV-2. CANONICAL WORD GROUP ORDER IN BCE.

### 1. The GENeral clause:

1.1	[LADJ,][FADJ]	ទេរ	٧	[0//]	[0]	[CADJ]	active
1.2	[LADJ,][FADJ]	ខេរ	٧	Spc		[CADJ]	copulative
1.3	[LADJ,][FADJ]	S	V	0	Орс	[CADJ]	copulative, assigning
1.4	[LADJ,][FADJ]	ខេរ	Vpass	[0//]	[Ag]	[CADJ]	passive
1.5	[LADJ,][FADJ]	S	Vpass	Spc	[Ag]	[CADJ]	copulative, passive
1.6	[LADJ,][FADJ]	٧	S				
1.7	[LADJ,][FADJ]	V	Spc		Ske	[CADJ]	
1.8	[LADJ,][FADJ]	V	[0~~]	[0]	Ske	[CADJ]	subject-verb inversion
1.9	[LADJ,][FADJ]	Vpass	[0^\]	[Ag]	Ske	[CADJ]	J
1.10	[LADJ,][FADJ]	S	٧	[0]	INF	[CADJ]	Accusativus cum Inf.
1.11	[LADJ,][FADJ]	S	V	Ovv	INF	[CADJ]	Dativus cum Inf.

# 2. The INFinitival clause:

2.1	Vinf	[0//]	[0] [INF]	[FADJ][CADJ]	active
2.2	Vinf	Spc		[FADJ][CADJ]	copulative
2.3	Vinf	0	Орс	[FADJ][CADJ]	copulative, assigning
2.4	Vinf-pass	[0//]	[Ag]	[FADJ][CADJ]	passive
2.5	Vinf-pass	Spc	[Ag]	[FADJ][CADJ]	copulative, passive

# 3. The <u>DEP</u>endent clause:

3.1	ke GEN ,	declarative, volitive
3.2	ĉu GEN ,	interrogative

(continuation of table IV-2)

# 4. ADJectival and participial clauses:

### 4a. Placed before the noun (ADJ1):

4a.1	[FADJ]	[Oam]		-A	
4a.2	[FADJ]	0av		-A	
4a.3	[FADJ]	[0]	[0vv]	-ANTA	active
4ā.4	[FADJ]		Spc	-ANTA	copulative
4a.5	[FADJ]	0	Орс	-ANTA	copulative, assigning
4a.6	[FADJ]	[Ag]	[0^\]	-ATA	passive
4a.7	[FADJ]	[Ag]	Spc	-ATA	copulative, passive

## 4b. Placed after the noun (ADJ2):

4b.1	, -A	[Oam]	[FADJ][CADJ] ,	
4b.2	, -A	Oav	[FADJ][CADJ] ,	
4b.3	, -ANTA	נס) ניים	(FADJ)[CADJ),	active
46.4	, -ANTA	Spc	[FADJ][CADJ] ,	copulative
4b.5	, -ANTA	0 Opc	(FADJ)(CADJ),	copulative, assigning
46.6	, -ATA	[0vv] [Ag]	[FADJ][CADJ] ,	passive
4ь.7	, -ATA	Spc [Ag]	[FADJ][CADJ] ,	copulative, passive
4b.8	, -A	INF ,	,	

### Legend [see also IV.1.4]:

-A	adjective, with ending '-a';
-ANTA	active participle functioning as adjective,
	with ending '-anta', '-inta', or 'onta';
-ATA	passive participle functioning as adjective,
	with ending '-ata', '-ita', or '-ota';
Oam	prepositional object, being accusative of measure
	<pre>[cfr. IV.2.1.1: F8.b], with preposition 'je';</pre>
0av	prepositional object, according to adjective
	valency;
Ske	subject in the form of a dependent clause,
	introduced by 'ke'.

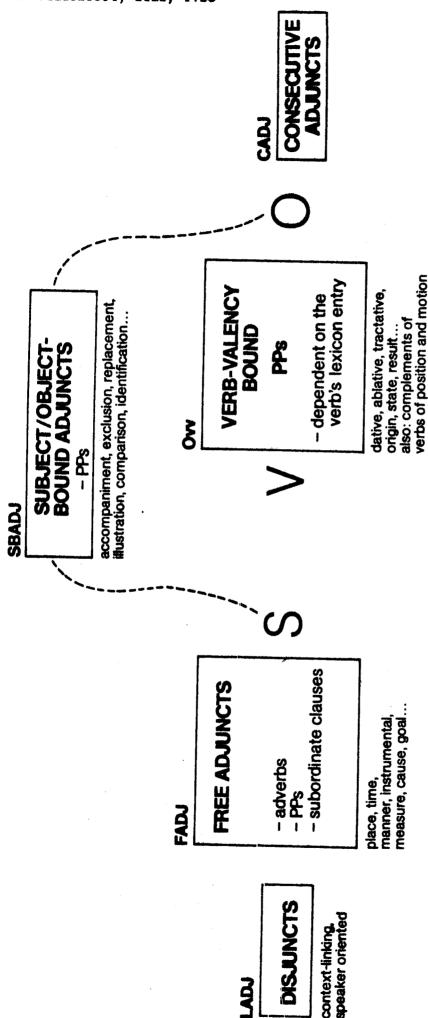


Fig. IV-2. Arrangement of functional groups, illustrated for the SVO pattern 1.1 of Table IV-2. Notice the separation between PFs functioning as free adjunct and FPs bound to the verb by valency (the latter are kept close to the verb). In addition, the subject and object may contain (postmodifying) PFs themselves.

is one of the factors which makes the IL a <u>subset</u> of common Esperanto.

Word order regulation is an effective instrument in the struggle against syntactic ambiguity. Moreover, it is an instrument which does not affect compaction, as other disambiguation provisions (addition of prefixes, particles etc.) do. In line with the IL design principles, the canonical word-group sequences of Table IV-2 assure structural unambiguity and fast parsability, without sacrifying readability.

The canonical patterns have deliberately been chosen in such a way, that they fit the most frequent actual sentence patterns and ambiguities (notably PP-ambiguity [see 1.3.4]). In particular, the resulting IL word-group order largely coincides with the dominant sentence patterns of French and English, in the text type anticipated for DLT [see section V.2.1].

Though the prescription of word order [Table IV-2] has an undeniable 'streamlining' effect on the IL, a rich representational power is maintained (including subject-verb inversion, cleft sentences, participial clauses as pre- or postmodifiers of a noun, etc.).

On the other hand, some concessions had to be made with respect to natural-language similarity. Typically, the size and complexity of a functional constituent (e.g. the direct object) will not influence the IL word order, in contrast to natural languages (where a sequencing in order of increasing complexity can be observed [Dik, 1978: 212]). This conceded lack of flexibility contributes to a somewhat 'mechanical' appearance of BCE.

Only ordering relations at <u>clause</u> level are listed in this section, i.e. sequencing patterns for the functional constituents of a clause. Remarks on <u>phrase</u>-level word-ordering are contained in the subsequent sections on particular categories [e.g. section 2.4 on NPs]. <u>All</u> word ordering is defined by and can be derived from the ATNs [see section 3.2 and Appendix pp. 7-15].

A schematic explanation of the most fundamental sentence pattern (the first pattern listed in Table IV-2) is given in fig. IV-2. Subject and object can take the form of an NP, but also of an INF or DEP clause [see the ATN on p. 8 of the Appendix]. As for the CADJ (consecutive adjunct), we can give the following examples [see also the small ATN in section IV.3.2; the CADJ is not covered in a separate section]:

(110) La politikisto tiel defendis sian proponon, ke li forgesis ĝian celon. (The politician defended his; proposal in such a way, that he forgot the purpose of it.)

- (111) La firmao petos tiom da monon, ke nur kelkiuj povos aĉeti tiun patenton. (The firm will ask so much money, that only a few will be able to buy that patent.)
- 2.1.3. Synopsis of other deviations.

The following is a quick overview of IL deviations from common Esperanto, other than deviations from the 'Fundamento' or word-order differences. Most of the items listed here will be more fully treated in subsequent sections.

- Adjective instead of adverbial ending in case of an Spc (adverbial predicatives as in common Esperanto [PAG 169, Rim. I] do not occur):
  - (112) Viziti parencojn estas enuiga. (Visiting relatives is tiresome.)
  - (113) Estas stranga, ke ...
    (It is strange that ...)
  - (114) Lo estas varma. (It is warm.)
- Accusative of time [PAG 193] is not allowed: prepositions must be used to express time point references or duration:
  - (115) <u>Je</u> la unua de februaro... (On the first of February ...)
  - (116) <u>Dum</u> la tuta nokto ni studis. (We studied the whole night.)
- The particle 'da' is not regarded as a preposition, but as a special determiner [see 2.4.3], after which an accusative as well as a nominative can follow:
  - (117) Malgranda nombro da homoj havas multe da monon. (A small number of people have a lot of money. )
- Introduction of several new function words: 'aunome' [see 2.4.4], 'kau' [see 2.4.5], 'kromau' and 'dum-kiel' [see 1.2, p. IV-9].

- Prefixing of certain prepositions with 'ie-' and 'iam-', corresponding to whether they relate to PLACE or TIME, e.g.:

> ie-antau iam-antau

(in contrast to examples 9a and 9b at the beginning of this chapter, BCE requires the particles to be connected by hyphens, to distinguish their mere disambiguating function from any incidental stylistic use).

- Distinction between the relative and the interrogative pronoun by means of the suffix '-do' [cfr. PAG 230, Rim. II]:
  - (118a) La demando kiu venos ...
    (The question that will come ...).
  - (118b) La demando kiu-do venos ...
    (The question who will come ...).

This regulation removes a structural ambiguity, typical for common Esperanto (and connected with the fact that 'kiu', unlike the English 'who', can refer to inanimate objects as well as to persons).

- A more general form of subordinating conjunctions (based on more systematic derivation from prepositions):

BCE:

Common Esperanto:

dum	ke '	dum	
ĝis	ke	Ĝis	
post	ke	post	kiam
antau	ke	antau	ol

- Use of adverbs instead of (modal) verbs, to express certain modalities [see also 2.2.4 and example 74]:

'deve' (LOGICAL INFERENCE)
'pove' (PROBABILITY)

- Introduction of the particle 'je', to indicate emphasis (special or contrastive focus) on the preceding constituent [see also example 54]:
  - (119) Morgau je la delegitaro alvenos. (The delegation will arrive tomórrow.)

- 2.2. Verbal constructions.
- 2.2.1. Tense and aspect.

Principally, only 3 simple tenses are used:

PAST '-is'
PRESENT '-as'
FUTURE '-os'

Compound tenses are not included in BCE, at least not in its very first development stage. They could be added later, after experience with the pilot project [Chapter VIII has been built up. In that case, any compound tenses in BCE will be realized synthetically instead of analytically, e.g.:

(120) Antau ke ili komencos, ni skribintos la raporton.
(Before they will begin, we will have written the report.)

in which the BCE-form 'skribintos' replaces the common Esperanto construction 'estos skribinta'. Similar replacements would be:

```
mi laborintas (= mi estas laborinta),
mi laborantis (= mi estis laboranta),
mi laborintis (= mi estis laborinta).
```

For expressing 'verbal aspect' (e.g. the English progressive vs. non-progressive, the Slavonic perfective vs. imperfective, etc.), BCE does NOT have any formal variations of its verbal forms. On the syntactic level, adverbs must be used for this purpose (a new adverb 'ante' has been considered to convey the progressive aspect). Lexical units may profit from prefixes such as the ingressive 'ek-':

```
ekbruli (to catch fire)
ekfluidiĝi ([to begin] to melt)
eksidi (to sit down)
ekmoviĝi (to begin to move)
```

but the same prefixes may not be used for syntactic purposes:

```
(121) Hi komencis legi.
(He began to read.)
```

The above limitations result in BCE-to-English divergent mapping patterns as illustrated below. During the DLT translation process, the selection of the proper TL form will be guided by the presence of certain non-verbal elements in the IL sentence, such as preceding subordinating conjunctions (e.g. 'post ke') and adverbs ('jam antaue', 'tuj', etc.) [see also section III.3.3.3.2 and fig. III-21].

mi	parolis	I spoke		
		I was speaking	[mi	parolantisl
		I have spoken	Cmi	parolintasl
		I have been speaking	Emi	parolintasl
		I had spoken	Emi	parolintisl
		I had been speaking	Emi	parolintis]
mi	parolas	I speak		
		I am speaking	Emi	parolantas]
mi	parolos	I will speak		
	•	I will be speaking	[mi	parolantos]
		I will have spoken	Cmi	parolintosl
		I will have been speaking	Emi	parolintosl

[The forms between square brackets denote theoretically possible BCE-extensions, derived from analytical forms in existing Esperantol.

2.2.2. Voice (active/passive).

The active voice includes the reflexive:

- (122a) Mi rulis suben ie-lau la monteto la barelon.
  (I rolled the barrel down the hill.
- (122b) Mi rulis suben ie-lau la monteto min. (I rolled myself down the hill. )
- (123) Mi eraris.
  (Ich irrte mich.)
- (124) Mi ne memoras tion.
  (Je ne me rappelle pas ça.)

The same applies to the 'middle voice' (French: 'construction moyenne'), which we can regard as a lexical variant or derivative of the verb:

- (125) La projekto glate evoluas. (The project develops smoothly).
- (126) Mi ruliĝis suben ie-lau la monteto. (I rolled down the hill.
- (127) Tiuj-Ĉi pomoj tre bone vendiĝas. (These apples sell very well.

The <u>passive</u> is formed synthetically instead of analytically. To avoid the on-going Esperanto discussion on how the verbal

qualities 'dynamic' vs. 'stative' [Quirk, 1980: 46-47] affect the passive, we decided on the choice of a new infix '-ajt-' instead of the possible use of '-at-' or '-it-'.

An example of a dynamic verb:

```
mi vokajtis (= mi estis vokata)
mi vokajtas (= mi estas vokata)
mi vokajtos (= mi estos vokata)
```

## A stative verb:

```
mi amajtis (= mi estis amata)
mi amajtas (= mi estas amata)
mi amajtos (= mi estos amata)
```

As in the active, only the 3 simple tenses (and no verbal aspects) will in principle be realized in the first stage of BCE development, resulting in a mapping pattern such as:

```
mi vokajtis I was called
I was being called
I had been called [mi vokitis]
```

[the form in square brackets indicates a theoretically possible possible BCE-extension].

In this way, the syntax of a verbal act or process can be clearly separated from copulative clauses in which a participle functions as predicative adjective to describe a state:

```
(128a) ACT: La konkurso fermajtas.
(The competition is [being] closed.)
```

(128b) STATE: La konkurso estas fermita. (The competition is closed.)

Notice that the introduction of a synthetic passive also removes the general irregularity in 'number agreement' that exists in common Esperanto between a verbal construction and its subject (the passive voice does, but the active voice does not have number agreement there). In BCE, neither of the two voices has subject-verb number agreement.

The <u>agent of the passive</u> is always formed by the preposition 'far' (instead of 'de'):

(129) La barelo rulajtis suben ie-lau la monteto far mi. (The barrel was rolled down the hill by me.

The place of the agent at the back of the sentence is in

accordance with BCE canonical word order [see Table IV-2 and Appendix p.~9].

Also the <u>infinitive</u> for the passive is formed synthetically, and (unlike in English, German etc.) is strictly applied in BCE [cf. also example 99]:

- (130) Hi lasis la permeson donajti al hi far konato. (Er hat sich die Genehmigung von einem Bekannten geben lassen.)
- (131) Hi lasis la soldatojn mortigajti. (He let the soldiers be killed. )

#### 2.2.3. Auxiliaries.

Because the passive voice and any (possibly later required) compound tenses will be formed synthetically, the verb 'esti' loses its classic role of auxiliary verb.

In BCE, the following uses of 'esti' remain:

#### SITUATIONAL:

- (132) La kongreso estas ie-en parizo. (The congress is in Paris.
- (133) Mi vidis la katon esti sur la tegmento. (I saw the cat [be] on the roof.

#### EXISTENTIAL:

(134) Mi pensas, do mi estas. (I think, therefore I am.)

## COPULATIVE:

- (135) Tio estas necesa.
   (That is necessary.)
- (137) La celo de la ekonomio estas kontentigi la bezonojn de la homoj. (The economy's aim is to satisfy the needs of the people.)

Use of 'esti' as in example 133 [see also 2.3.2] can be regarded as a new auxiliary function. Apart from this, BCE is

marked by the absence of 'primary' auxiliary verbs (comparable to the English 'do' and 'have').

2.2.4. Modals and mode.

Esperanto has three basic modals:

```
'devi' (= must)
'povi' (= can, may)
'voli' (= want)
```

Usage of the first two of these verbs is strictly regulated in BCE, according to the following scheme:

OBLIGATION (internal or external, moral or material):

- (138) Mi devas iri ie-al vieno. (I must go to Vienna.
- (139) Tiu-Ĉi afero devas klarigajti. (This affair must be clarified.)

LOGICAL INFERENCE:

Instead of a modal verb, the adverb 'deve' must be used (as a speaker-oriented or context-linking adjunct, placed at clause beginning):

(140) Deve, nun hi estas ie-en parizo. (He must be in Paris [by] now. )

CAPACITY:

The modal verb 'povi' keeps its function here:

- (141) Bedaurinde, hi ne povas veni. (Unfortunately, he cannot come.)
- (142) Libroj povas legajti ie-en la librejo. (Books can be read in the library.

FOSSIBILITY, PROBABILITY:

Instead of a modal verb, the adverb 'pove' must be used:

(143) Pove, morgau hi venos. (He may come tomorrow.)

PERMISSION:

A different verb ('darfi', 'rajti') must be used for this.

Notice that BCE does not use Esperanto forms such as:

- (144) Tiu-ĉi afero estas klarigenda.
- (145) Libroj estas legeblaj ie-en la librejo.

at least not as verbal auxiliary constructions: 139 and 142 must be used instead. If forms like 144 and 145 appear in BCE, they are understood as copulative clauses with a special kind of predicative adjective.

As for <u>mode</u> (subjunctive, imperative), the verbal ending '-us' will be reserved for <u>irreality</u> and <u>conditionality</u>, as opposed to the reality indicated by '-as', '-is' and '-os':

- (146a) Ili difinos la kvaliton de la produkto tuj kiam estos ebla.
  - (They will define the quality of the product as soon as possible.)
- (146b) Ili difinus la kvaliton de la produkto se tio estus ebla.
  - (They would define the quality of the product if it were possible.)

The verbal ending '-u' is used for commands, either directly (with or without verbal complements):

- (147) Atendu! Inkrementu! Montru la rezulton ... (Wait! Increment! Show the result ... )
- or indirectly, within a dependent clause, when the main clause expresses some form of command:
- (148a) La politikisto diris, ke la registaro diskutas pri tiu afero.
  - (The politician said that the government discussed that matter.)
- (148b) La politikisto diris, ke la registaro diskutu pri tiu afero.
  - (The politician said that the government should discuss this matter.)

### 2.3. Infinitival constructions.

Infinitival constructions form a important element in the syntax of languages, and therefore deserve special attention in BCE. This section of the IL description is concerned primarily with the relation between an infinitival clause (INF) and its syntactic <u>surroundings</u>.

The internal structure of the infinitival clause is shown in Table IV-2 (canonical patterns 2.1 through 2.5) and in the ATN on p. 8 of the Appendix. It can range from a sole infinitive to a long clause with complex objects and adjuncts (and even nested infinitival clauses again).

#### 2.3.1. Infinitival clauses.

First of all, infinitival clauses can be subordinate to a noun, i.e. be embedded within an NP (cf. 57b, 58b):

- (149a) La neceso investi. (The need to invest.)
- (149b) La neceso investi tri milionojn por novaj maŝinoj. (The need to invest three million for new machines.)

Secondly, adjectives — when placed after the noun or when functioning as a predicative complement — can have an infinitival clause as a supplement [cf. pattern 4b.8 of Table IV-2]:

- (150) 'John'-o estas avida plaĉi. (John is eager to please. )
- (151) La robotoj estas pretaj veldi la tubojn. (The robots are ready to weld the tubes.)

[The type of pattern illustrated in 150 should not be confused with the one shown in examples 175-177.]

In all these cases, the valency for an infinitival supplement must be indicated in the lexicon entry of the IL noun or adjective. Clearly, only a limited number of words will have this valency ('preta', 'kapabla', 'libera', 'ema', 'inklina', 'feliĉa', 'avida', ...). This subset will largely coincide with corresponding subsets in English, French, German etc., which demonstrates the pivotal elegance of the IL.

Instead of being embedded within an NP, infinitival clauses can also <u>replace</u> an NP:

(152) Investi estas postvivi. (To invest is to survive.)

- (153) Pove, ce reumatismo movi la brakojn estas dolora. (With rheumatism, moving the arms can be painful.)
- (154) Per senlace labori la esploro povis finajti iam-antau junio. (By working hard, it was possible to end the investigation before June).

As these examples illustrate (and as the ATNs in this report confirm), the NP replaced by an infinitive can be the subject (152, 153), its predicative complement (152), or an NP enclosed within a free adjunct (154). More examples (49-52 and 58-60) can be found in section 1.3.5.

An infinitival clause can also occur in a verb-valency prepositional object (Ovv), or as a direct object (O) itself:

- (155) La direktoro kredas pri investi. (The director believes in investing.)
- (156) Bona politiko konsistas el antauvidi kaj preni decidojn. (A good policy consists of looking ahead and taking decisions.)
- (157) Bone investi postulas antauvidi. (To invest well requires looking ahead.)
- (158) Mia frato instruas programi.
   (My brother teaches programming.)

Care should be taken not to confuse the latter two examples with the sentential infinitive constructions treated below [2.3.2]. Here, the infinitives do not have a subject, neither explicit, nor implicit. Their subject is NOT the subject of the finite verb, and they can be replaced by nominalized verbs (nouns with '-ado' ending) practically without change of meaning:

- (158a) Bone investi postulas antauvidadon. (To invest well requires looking ahead.)
- (159a) Mia frato instruas programadon. (My brother teaches programming.)

However, infinitives remain allowed in this position because not all of them can be replaced by '-ado' nouns without introducing ambiguity (e.g. 'movado' = 1. the moving, 2. the [political] movement).

These infinitives, which replace the direct object and which have no assumed or named subject at all, will be referred to as NSI (Neutral Subject Infinitive), to distinguish them from the infinitives treated in 2.3.2. An IL verb's dictionary entry will include a separate valency mark indicating whether or not the verb can have an NSI. For some verbs, this valency

will be conditional and will depend on the occurrence of an Ovv, e.g. compare 158 with:

(158b) Mia frato instruas al hi programi. (My brother teaches him to program.)

Whereas 158 is an NSI, 158b is a sentential infinitival construction (with 'hi' as implied subject of 'programi'), with which we enter the next subsection.

# 2.3.2. Sentential infinitival constructions.

Though consisting of various types, the main characteristic of this category is: the assumed subject of the infinitival clause is at the same time either the subject (S) or the object (O or Ovv) of the main clause. This particular syntactic connection between a main and a subordinate clause explains the term 'sentential construction'.

In one of the 3 types shown below, the infinitival clause is apparently an additional constituent of the main clause (type 2: S V O INF). In the other types it seems to replace the direct object, but one could also regard these construction types as allowing an object (O) or infinitival clause (INF) as alternative complements. Anyhow, the above-stated main characteristic remains the criterion for inclusion in this category (instead of in 2.3.1).

# Type 1: V INF.

In this type, no direct or indirect object is enclosed between the finite verb (V) and the infinitival clause (INF). Throughout this type, the following rule applies:

Subject INF = Subject V.

First of all, we have the three <u>basic modals</u> ('devi', 'povi', 'voli'), which can occur as the finite verb. In BCE, there is no such thing as a compound verbal constituent. In a sentence like:

(159) Mi devas iri ie-al parizo morgau. (I must go to Paris tomorrow.

the IL constituent structure is not:

(159a) \* (mi) (devas iri) (ie-al parizo) (morgau)
[S] [V] [Ovv] [FADJ]

but rather (in accordance with canonical patterns 1.10 and 2.1 of Table IV-2):

```
(159b) (mi) (devas) ((iri) (ie-al parizo) (morgau))
[S] [V] [Vinf] [Ovv] [FAD.]
```

This derivation, based on the concept of fully equipped infinitival clauses, allows DLT some choice in the placement of free adjuncts (FADJ): these can either be put at the front of the sentence (as main clause constituents) or at the end (as infinitival clause constituents). It also causes a pleasant variation in the otherwise monotonous sentence patterns of BCE.

Further, the way in which the basic modals are treated here does not create any (confusing) opposition with other finite verbs that can be used with an infinitival complement:

```
hi volas iri morgau,
hi deziras iri morgau,
hi esperas iri morgau,
hi preferas iri morgau.
```

But in contrast to these other verbs, the three basic modals cannot have nominal objects in BCE.

Secondly, the finite verb can belong to the following <u>mixed group</u> of verbs which can either have a nominal object or an infinitival complement [PAG 181.2] (it is hard to demarcate this group semantically):

ami	preferi	kutimi
bezoni	rifuzi	lerni
celi	riski	pensi
decidi	sukcesi	projekti
deziri	fini	profi
emi	forgesi	revi
esperi	honti	scii
eviti	imagi	ŝati
intenci	konsenti	timi
komenci	kur <b>a</b> ĝi	

- (160) La komisiono decidos publikigi la raporton. (The commission will decide to publish the report.)
- (161) La ministro sukcesis konvinki la parlamenton. (The minister succeeded in convincing parliament.)

Thirdly, the finite verb can belong to a <u>small group of</u> <u>intransitive verbs</u> [PAG 182.1]:

heziti Çeşi	ĝoji Guri	peni	klopodi
cesi	ĵuri	rapidi	

- (162) La piloto klopodis surterigi sian aviadilon. (The pilot attempted to land his aircraft. )
- (163) Hi rapidis trafi sian trajnon. (He hurried to catch his train.)

## Type 2: Y D INF.

This type is marked by the presence of a direct object (0), which stands in between the finite verb (V) and the infinitival clause (INF). The rule which applies to this type is:

Subject INF = Object V.

Again, different subtypes can be distinguished, the first of which consists of the verbs 'igi' and 'lasi':

- (164) Ili lasis hin telefoni al sia oficejo. (They let him phone his office.
- (165) Hi igis siajn porkojn manĝi. (He made his pigs eat. )

Note that a construction as 165 is obligatory if the factitive is syntactical, i.e. not lexicon-based. Only lexical factitives may be expressed by the suffix '-ig' in a compound form [cf. a similar remark in section 2.2.1]:

- (165a) Hi manĝigis siajn porkojn. (He fed his pigs.
- (166) Tiu procezo purigas la akvon.
  (That process purifies the water.)

Another subtype is formed by the <u>verbs of perception</u> [PAG 172]:

vidi rigardi senti audi auskulti

The infinitival construction with these verbs:

(167) Ni audis hin veni. (We heard him come.)

is an example of the classic 'Accusativus cum Infinitivo' (ACI). An interesting detail of BCE is the use of 'esti' (in the situational sense) with verbs of perception. This enables distinctive representation of an ACI-related 'snapshot' locative PP (133, 168a), a phrasal PP serving as the object's stative epithet (168b) and a PP functioning as free adjunct in the main clause (168c):

- (168a) Ŝi audis la roboton esti ie-en la kuirejo. (She heard the robot [be] in the kitchen.)
- (168b) Si audis la roboton ie-en la kuirejo. (She heard the kitchen robot.
- (168c) Ie-en la kuirejo ŝi audis la roboton. (In the kitchen, she heard the robot.)

Parallel to 168a (in agreement with pattern 2.1 of Table IV-2) we can also have:

(169) Ŝi vidis Ĝin lavi pladojn zorge. (She saw it wash dishes carefully.)

in which the adverb (free adjunct) at the end is part of the infinitival, NOT of the main clause.

<u>Verbs of desire and demand</u> ('deziri', 'postuli', 'atendi' etc. and also the basic modal 'voli') combine with 'esti' in the same way as the verbs of perception:

(170) Mi volas raporton esti sur mia skribotablo morgau. (I want a report on my desk tomorrow.

# Type 3: Y Ovy INF.

Here, a verb-valency prepositional object (Ovv) stands between the finite verb (V) and the infinitival clause (INF). The Ovv must be formed with the preposition 'al' (finite verbs with other prepositional object valencies cannot be used here). For this reason, we call this type of construction DCI ('Dativus cum Infinitivo'). We distinguish two subtypes, depending on the group to which the finite verb belongs:

Subtype 3a: Subject INF = Subject V.

This concerns only a small group of verbs ('promesi', 'garanti', 'komplezi'; 'minaci'). An example is:

(171) Mi promesis al Ŝi veni. (I promised here to come.)

Subtype 3b: Subject INF = Object V.

This concerns a much larger group, including the <u>verbs</u> of <u>command and communication</u>:

komandi	konsili	diri
ordoni	admoni	indiki
devigi	persvadi	<del>-</del>
komisii	instrui	skribi
trudi		telefoni
permesi	helpi	teleksi
-	malhelpi	respondi
cedi	peti	

- (172) La serĝento ordonis al la soldatoj pafi. (The sergeant ordered the soldiers to fire.)
- (173) Ni helpis al ili fini siajn programojn. (We helped them to finish their programs.)

For all the verbs listed under type 3, the DCI (i.e. the use of the preposition 'al') is <u>obliqatory</u> in BCE, also for those verbs that have the mark 'alternaj objektoj' [PAG 179.B] in common Esperanto. So we must use:

- (174) Instrui al iu naĝi. (To teach somebody to swim.)
- (175) Persvadi al iu aĉeti ion. (To persuade somebody to buy something.)

Also note that, <u>instead</u> of an infinitival complement, these verbs may have either a direct object (0) or a second indirect object  $(0 \lor v)$ , e.g.:

- (174a) Instrui al iu ion. (To teach somebody something.)
- (175a) Instrui al iu pri io. (To teach somebody about something.)

But the following is forbidden in BCE:

- (174b) \* Instrui iun pri io.
  (To teach somebody about something.)
- i.e. the thematic recipient or beneficiary of the action must be marked by the preposition 'al', whether an infinitival construction follows or not. This regulation is a typical example of how IL-ambiguity is prevented without the need for a 'deep semantics' IL-parser: the latter will be able to tell the recipient from the topic (of teaching) by means of simple morphological distinctions.

At the end of this section on sentential infinitival constructions, let us briefly show a few specimens of another interesting

## pattern:

- (175) Placi al 'John'-o estas facila. (John is easy to please.
- (176) Solvi tiujn-Ĉi problemojn estas malfacila. (These problems are hard to solve.
- (177) Estas verŝajna, ke hi venos. (He is likely to come.

These examples demonstrate the morphological explicitness with which a direct or indirect object is distinguished from a subject (cf. example 150; also compare 176 with 59-60).

- 2.4. Noun phrases (NPs).
- 2.4.1. General structure.

The Noun Phrase (NP) takes a dominant place in the IL's syntagmatic structure. Its frequency will be high, due to the 'informative' text type to be processed, which is characterized by the intensive use of nominalizations [see Chapter V].

The size of an NP can range from a two-letter function word to several lines of text, including dependent clauses and embedded NPs again (BCE's nesting depth limitations are more or less in accordance with human cognitive bounds). The noun (N) which usually heads an NP will be referred to here as 'head noun'. Its place can be taken by a pronoun or a substantivized adjective. In contrast to English, BCE does not allow compound noun strings, with an exception for proper names or literals, e.g. (see also examples 82b and 82c):

(178) La Ĉefministro 'Thatcher' renkontos la prezidenton 'Reagan'. (Premier Thatcher will meet president Reagan.

[If a sole literal acts as a head noun, it receives a grammatical ending, as in examples 23-25]. The head noun (N) can be preceded by one or more determiners (DET), a numeral (NUM) and adjectives (ADJ), collectively referred to as premodifiers:

[DET] [NUM] [ADJ] N

(179) Iliaj tricent novaj misiloj. (Their three hundred new missiles.)

[notice the plural agreement between noun, adjective and determiner]. After the head noun, quite a variety of constituents can occur as part of the NP. In the first place, we can have: one or more prepositional phrases (PPs), appositions (APPOS) and again adjectives (ADJ), which can all be referred to as postmodifiers:

## N [PP] [APPOS] [ADJ]

Notice that PPs cannot occur in front of the head noun in BCE. The apposition (APPOS) includes forms with the 'equivalence' particles 'aunome', 'nome', 'alinome' and 't.e.' [PAG 131] (the IL-form 'aunome' replaces the equivalence sense of the common-Esperanto 'au'):

(180) Oni signas la azoton aunome nitrogenon per 'N'.
(One indicates azote or nitrogen by 'N'.

[this implies that 'au' in BCE never connects two different names for the same entity].

Adjectives (ADJs) can be simply one-word, but they can also consist of an adjectival clause (with embedded NPs). To distinguish between premodifying and postmodifying ADJs (which obey different grammar rules in BCE), we will denote the former as ADJ1 and the latter as ADJ2 [as in sections 1.4, 2.1.2, and the ATNs on pp.12-13 of the Appendix]. More on preand postmodifying adjectives can be found in section 2.4.4, where also the subject-object bound adjunct (SBADJ), another type of postmodifying NP-constituent, will be discussed.

In addition, a head noun dependent infinitive and various types of dependent clauses can occur in the tail of an NP:

(181) La preteco de la soldatoj batali. (The readiness of the soldiers to fight.)

[Note that a PP may stand in between a noun and its dependent infinitive; the above example is unambiguous because the IL lexicon entry of 'soldato' contains no valency-indication for infinitives, otherwise a separator should have been inserted (cf. example 57) to relate 'batali' to 'preteco'l.

- (182) La opinio de la publiko, nia bazo, ke la ŝtato respondecas pri senlaboreco ... (The opinion of the public, our basis, that the state is responsible for unemployment ...
- (183) La sciigo, ke ili volas vendi sian fabrikon ... (The news that they want to sell their factory ...)
- (184) La demando, ĉu ne estas pli justa ... (The question, whether it is not more right ...

These examples (and also 118b) are characteristic for only a

limited group of nouns (denoting a mental or communication process), labelled with the appropriate valency-indication in the IL lexicon, and largely coinciding with similar groups in English, French, German etc.

Apart from this, every NP can have a relative clause, either restricted (without a comma) or unrestricted (with a comma):

- (185a) La parlamentanoj kiuj neniam legas tiujn dokumentojn ... (The MPs who never read those documents ...
- (185b) La parlamentanoj, kiuj neniam legas tiujn dokumentojn ... (The MPs, who never read those documents ...

[Note that punctuation in the IL follows strict rules (commas occur as input specifications on ATN-arcs)].

Directing our attention back to the head noun, there are three special forms which it can have besides the 'regular' noun on '-o': the substantivized adjective, the nominalized verb and - notably - the pronoun.

The <u>substantivized adjective</u> has the adjectival '-a' ending, but is marked by the article 'lo' [examples 90-92, 186a] instead of 'la'. However, if the adjective refers to an entity in the nearby context, then 'la' must be used [93-94, 186b]:

- (186a) Lo plej bona estas tiu-ĉi ŝraubilo. (Am besten ist dieser Schraubenzieher).
- (186b) La plej bona estas tiu-Ĉi ŝraubilo.
  (Der beste [Schraubenzieher] ist dieser Schraubenzieher.)

If the NP governed by an adjective contains a relative clause, then the use of 'lo' corresponds with 'kio', whereas 'la' corresponds with 'kiu'. The use of 'la' without a noun can be considered as a case of ellipsis, and includes phrases for clock time [example 95] and calendar date:

(187) Je la sesa de januaro.
 (On the sixth [day] of January.)

I'je' with nominative is reserved for time point references; the occurrence of the name of a month disambiguates between clock time and calendar date.]

The <u>nominalized verb</u> is characterized by the '-ado' ending, but has no 1-1 relationship with it (as shown by 189 and 190b):

(188) La daura uzado de tiun-ĉi metodon. (The lasting usage of this method.)

- (189) La uzo de tiun aparaton. (The use of that apparatus.)
- (190a) La movado de la brakojn. (The moving of the arms.)
- (190b) La socialisma movado. (The socialistic movement)

Nominalized verbs form a separate category because of the special rules that apply to the form and order of their postmodifying PPs: these still reflect the underlying verbal clause structure, with verb-valency object (Ovv), direct object (O) etc. [see also section 1.3.6, and the special ATN on p. 15 of the Appendix]:

(191) La pensado µri sia reelektado far la prezidento. (The thinking of the president about his reelection.)

Notice that the Ovv stays close to the (nominalized) verb, as in the clause structure. Another interesting detail is that the reflexive pronoun 'sia' refers to the nominalized verb's deep subject, indicated by the preposition 'far' (Ag).

## 2.4.2. Pronouns.

As in all languages, a variety of pronouns can replace an NP's head noun: personal pronouns, demonstrative pronouns, relative pronouns, interrogative pronouns etc. [see also example 86]:

(192) Nia komputero estas pli rapida ol <u>tiu</u> de la universitato. (Our computer is faster than the one of the university.)

If pronouns govern an NP, there are important restrictions on the occurrence of determiners and other NP constituents, similar to conditions in most other languages. These restrictions have been laid down in the NP-ATN [Appendix, p. 10] and related compatibility matrices. Notice that many pronouns can serve as a determiner as well, without a morphological distinction (in order to decide on this, the IL-parser has to look ahead or to consume subsequent words of the input string). In this section, we will point out a few deviations of the IL with respect to common Esperanto.

Personal pronouns make very concise NPs. For DLT, the 3rd person pronouns are the most interesting ones [cf. saction V.2]. Their significance as unambiguous anaphorics has already been illustrated in 1.3.9 (examples 83-85). Table IV-3 summarizes the IL grammar on this issue, which is in accordance with various proposals, old and recent ('iŝi' [PAG 46 Rim. I]; 'hi', '-iĈ'

'hi'/'ihi' is used 1. with nouns that are implicitly masculine: viro, patro, filo, knabo etc.

- 2. with nouns that are explicitly masculine (see proposal mentioned in [Lo Jacomo, 1981: 195-198]): profesoriĉo, instruistiĉo, stultulico etc. using the suffix '-i2'.
- 3. to refer to the non-mentioned masculine member of a couple, with antecedents like: gepatroj, geezdoj, geknaboj etc., mainly in contrastive alternation with 'Si'.

'\$i'/'i\$i' is used

in referencing nouns that are explicitly feminine, marked by the suffix '-in':

virino, profesorino, instruistino. (implicitly feminine nouns in Esperanto are rare, e.g. 'matrono'); further, it can be used in cases parallel to 3. above.

'li'/'ili' is used 1. when sex does not matter or when it is unknown, including references to names such as:

> juĝisto, policano, prezidanto, infano, homo:

2. when referring to mixed groups or couples in plural.

'qi' is used

- 1. in referencing institutions, concepts, things or animals: registaro, ministerio. sperteco, suno, bovo;
- 2. with collective names in singular: nacio, oficistaro, publiko, grupo; the plural form of 'ĝi' is 'ili'.
- Table IV-3. "The sexual revolution". Rules for the use of <u>3rd-person</u> personal pronouns (singular/plural) in BCE, based upon proposals from Layers II and III [cf. fig. IV-1].

[Lo Jacomo, 1981: 195-198]). Though DLT, by this extension, offers the best prospects for avoiding sexist language (in line with modern trends), the main motivation for it has been the improvement of referential precision and correspondence with certain existing language elements, such as the French 'elles'.

Another IL element based upon a proposal by [Lo Jacomo, 1981: 199-206] is the inclusion of 'aliu' into the set of so-called 'tabelvortoj' [PAG 85]:

(193a) Aliu venos.

(Someone else will come.)

- (193b) Aliu viro venos. (Some other man will come.)
- (193c) Alia viro venos.
  (A different man will come.)
- (193d) Alispeca viro venos.
  (A different kind of man will come.)
- (193e) Alie hi faros tion. (He will do this elsewhere.)
- (193g) Alien hi iros. (He will go elsewhere.)
- (193h) Aliloken hi iros. (He will go to a different place.)
- (193i) Aliel hi laboras. (He works differently.)
- (193j) Aliele hi laboras.
  (He works differently [slightly more emphasis].)
- (193k) Alimaniere hi laboras. (He works in a different way.)
- (1931) Alio okazis. (Something happened.)
- (193m) Alies propono priparolajtos.
  (Somebody else's proposal will be discussed.)

At the same time, these examples demonstrate the IL's ability to express various <u>graduations</u> of emphasis (cf. also the existence of 'tiela' as intensified form of the demonstrative 'tia').

As to the reciprocal pronoun, the IL has a compact, hyphenated form when the direct (O) or indirect object (Ovv) is concerned:

(194a) Ili renkontis unu-aliun. (They met each other.)

- (194b) Ili dependas de unu-aliu. (They depend on each other.)
- (195c) Ili sendas al unu-aliu informojn. (They send each other informations.)
- (195d) Hi metis ie-en unu-aliun la skatolojn. (He put the boxes into each other. )

In subject- or object-bound adjuncts (SBADJ), an analytic as well as a compact form is possible, which can be used to transfer stylistic graduations:

- (196a) Ili, la unu kun la aliu, iris ie-al parizo (They, the one with the other, went to Paris.)
- (196b) Ili, kun unu-aliu, iris ie-al parizo. (They went with each other to Paris. )

When occurring separately, 'unu' as well as 'aliu' are inflected (in the same way as 'tiu' [see also exaples 96-97]).

### 2.4.3. Determiners and numerals.

Various classes of pronouns (demonstrative, interrogative etc.) can act as determiner, or have determiners as their derivatives (by appending the adjectival '-a' ending to a personal pronoun, it becomes a possessive determiner).

As in other languages, an NP can be introduced by a combination of determiners. In BCE, the maximum number of determiners is four, taking into account the fact that quantifiers ('multaj', 'kelkaj' etc.) and words like 'aliu' and 'ambau' are considered as determiners too. But of course, only <u>certain</u> sequences of determiners are allowed [cf. Wells, 1978: 48], again reflecting conditions common to the languages that surround us. For DLT, the mutual compatibility of determiners is laid down in a matrix, to be used in conjunction with the ATN on p. 10 of the Appendix.

As in common Esperanto, there is <u>no indefinite article</u> in BCE. The rules for the definite article largely coincide with those in French (except for the partitive, see below). The introduction of two new articles, 'le' and 'lo' (for formal disambiguation and substantivized adjectives) has been explained in section 2.1, F1 [examples 88-92]. In addition, 'lo' is used for verbs without subject [87b].

Unlike French, BCE does not have the simple partitive, but omits the article in such a case:

(197) Ŝi aĉetos fromaĝon. (Elle achetera du fromage.)

However, BCE does have a partitive construction in combination with adverbs, correlatives and nouns that denote a quantity or subset. This construction uses the particle 'da' as the linking element, and has been inspired by the mentioning of 'multe da', 'tiom da', 'kiom da' etc. in the determiners section of the PAG [PAG 84].

Whereas common Esperanto regards 'da' as a preposition (always followed by the nominative), the IL treats this particle as the concluding element of a composite determiner:

pli da	iom da	litro da
tro da	kiom da	glaso da
multe da	tiom da	barelo da
multo da	Ciom da	skatolo da
kelke da	aliom da	autobuso da
kelko da	neniom da	grupo da
sufiĉe da	Ĉiom-do da	speco da

fin fact an open class, as the third column suggests].
The result of this treatment is that the head noun keeps
its distinctive case ending (nominative or accusative), which
importantly contributes to syntactic unambiguity:

(198a) Kloromicetino entenas pli da kloron ol da klorofilon. (Chloromycetine contains more chlorine than chlorophyll.)
(198b) Kloromicetino entenas pli da kloron ol klorofilo. (Chloromycetine contains more chlorine than chlorophyll [does].

The particle 'da' can also be preceded by a numeral, including the nominal numerals ('miliono' etc.):

- (199a) Tri da tiuj leĝprojektoj pritraktajtis. (Three of the bills were dealt with.)
- (199b) Tiuj-Ĉi tri da tiuj dek nomoj ... (These three out of those ten names ...)
- (199c) Malsatego minacas milionojn da homojn en afriko. (Famine threatens millions of people in Africa. )

[Notice that constructions with 'da' may be followed by other determiners (199a). Also note that that the noun preceding 'da' agrees in case with the head noun of the NP.]

Talking about <u>numerals</u>, BCE uses the following endings when they appear in the text as literal number strings:

- '-b' for basic numerals (cardinals): '250'-b,
  '-a' for ordinals (adjectival numerals): '28'-a,
- '-o' for autonomous use, such as: iam-en '1983'-o.

If a numeral is preceded by a 'floater' (one of the particles 'ankau', 'ne', 'ec' [see also 2.5.4]), the latter's scope is made unambiguous by insertion of a separator, indicated here as an underscore [see p. IV-5, item e]:

- (200a) Ne tri viroj, sed kvar. (Not three men, but four.)
- (200b) Ne \_tri viroj, sed virinoj.

  (Not three men, but women. )
- (201a) Ni povas pagi ankau kvin mil guldenojn. (We can pay álso <u>five</u> thousand guilders.)
- (201b) Ni povas pagi ankau \_kvin mil guldenojn, anstatau frankojn. (We can pay also five thousand guilders, instead of francs.

[The underlined words have emphasis because of their relation with 'ne' and 'ankau'. Notice that the accusative is preserved in the object-bound adjunct at the end of sentence 201b].

Several prepositions and adverbs ('Ĉirkau', 'Ĝis', 'ekzakte', 'almenau' etc.) can precede a numeral. Some of these supplements can again be modified themselves, by certain preceding intensifiers and other particles ('tre', 'eĈ', 'ankorau' etc.), according to a so-called compatibility matrix [see p. 3 of the Appendix]. An example is:

(202) Ciuj tiuj je jam pli ol cent jarojn agaj arboj ...
(All those already more than hundred years old trees ...)

in which 'je' separates the determiners ('Ĉiuj tiuj') of the head noun ('arboj') from the numeral supplement contained in the 'Accusativus Mensurae'. See also the more simple numeral supplement in example 20a.

## 2.4.4. Pre- and post-modifiers.

This section contains remarks about adjectives and adjectival clauses. In addition, subject-object bound adjuncts (SBADJs) will be covered. More on NP modifiers, especially in connection with modifier scope and coordination, can be found in the next section [2.4.5]. PPs are also discussed in section 1.3.4.

Adjectives can be divided according to their origin. On the one hand, we have the 'qualitative' adjectives, which

denote a quality directly. e.g.:

granda

varna

fleksebla

On the other hand, there are the adjectives that have been derived from a noun or adverb. They are called 'relational', because they relate the indicated quality via something else:

japana

hodiaua

subtegmenta

Relational adjectives are incompatible with certain adverbs, especially with intensifiers and comparatives. They include material source adjectives ('stala', 'nilona' etc.). With respect to compound relational adjectives, Esperanto appears to be more productive than other languages [cf. section 4.2], and this will of course have its influence on the IL. An adjectival premodifier in BCE will therefore often correspond with a postmodifying PP in other languages, e.g.:

(203) La subtegmenta konstruo ...
(The construction of the attic ...)

Sometimes, the same adjective can have a qualitative as well as a relational meaning. This is solved by the use of a connecting underscore in case of the latter [example 78, p. IV-24]. The same device can be used for any compound term included in the DLT lexicon:

- (204) Ekscesa\_acetpovo kaj troa\_elspezado. (Excess purchasing power and overspending.)
- (205) Pogranda\_komerco. (Wholesale trade.)

[The <u>connecting</u> underscore here should not be confused with the <u>separating</u> underscore used elsewhere in this report.]

Around the adjective, an <u>adjectival clause</u> can be formed, especially if the adjective is a participial one (of the -ANTA or -ATA type, see p. IV-34, which also shows the canonical word order for ADJ1 and ADJ2 adjectival clauses):

- (206a) La far la profesoro uzataj novaj fakvortoj ... (The new technical words used by the professor ...)
- (206b) La novaj fakvortoj, uzataj far la profesoro, ... (The new technical words used by the professor ...)
- (207a) La jam iam-en '1965'-o ie-en parizo amplekse priparolitaj proponoj ... (Die bereits in 1965 in Paris ausführlich erörterten Vorschläge ...

(207b) La proponoj, priparolitaj iam-en '1965'-o ie-en parizo amplekse, ...
(Die Vorschläge, bereits in 1965 in Paris ausführlich efortert ...

[In most languages, postmodifying clauses (ADJ2s) lend themselves better to long and complex supplements, particularly in technical texts.]

But not only participial adjectives can have a supplement. We have also seen the "accusative of measure" [examples 13, 105, 106, 202], and the adjective's lexicon entry can indicate valency for a prepositional object (Oav). Moreover, a free adjunct (of place, time etc.) can supplement an adjective:

(208a) Tiu al li agrabla fakto ... (That to him enjoyable fact ...)

(208b) La dum la ekzameno trankvila lernanto ... (The during the examination quiet pupil ...)

<u>Subject- or object-bound adjuncts</u> (SBADJs) can occur at the very end of an NP. In contrast to free adjuncts, they specify the subject or object in particular, instead of the clause as a whole (see also fig. IV-2). They are introduced by one of the following simple or compound prepositions:

kun krom escepte de
sen kromau inkluzive de
kiel ekster ekskluzive de
kvazau anstatau
estiel ekzemple
kvankam

[For 'kromau', see p. IV-9. For 'estiel' see PAG 200 Rim. II. also note that 'kvankam' is a preposition in BCE (the corresponding subordinating conjunction is 'kvankam ke'.] In addition, an SBADJ can consist of the reciprocal adjunct 'kun unu-aliu' or 'la unu kun la aliu' [see example 196].

Another type of constituent which can occur at the back of an NP is the <u>comparative supplement</u> correlating with 'pli', 'tro' etc. in an adjectival clause, e.g.:

- (209) Ŝanĝo, tro granda por akcepti ĝin ... (A change too big to accept ...
- (210) Ni havas proponon, multe pli bonan ol la jus prezentitan.
  (We have a proposal, much better than the one just presented.)

An adjectival clause can also have a consecutive adjunct (CADJ) [cf. 2.1.2, examples 110-111].

# 2.4.5. Coordination and modifier scope.

In this section, we will make use of a simplified NP pattern, for sake of the explanation:

#### [DET] [ADJ] N [PP]

For DET (determiners) and ADJ (adjectives) we will use the collective term premodifiers, and PPs (prepositional phrases) will be referred to as postmodifiers.

Case and number <u>agreement</u> between nouns and adjectives is observed in BCE, as it is in common Esperanto. Apart from adjectives, also certain determiners ('tiu', 'gia' etc., but NOT the articles) agree with the noun. The agreement is visible from the grammatical '-j' and '-n' endings, e.g.:

	SINGULAR	PLURAL
NOMINATIVE	kolektiva kontrakto	kolektivaj kontraktoj
ACCUSATIVE	kolektivan kontrakton	kolektivajn kontraktojn :

If one adjective relates to only one noun, the above agreement is often redundant with regard to formal syntactic unambiguity. However, its role becomes much more important in case of coordination. We will first have a look at <u>coordinated nouns</u> in the presence of a premodifier (coordinated premodifiers in the presence of one noun will follow further in this section):

- (211a) Necesa sparado kaj investado.
  (Necessary retrenchment and an investment.)
- (211b) Necesaj Sparado kaj investado.
  (Necessary rentrenchment and investment.)

Because the first of the two nouns is singular, the adjective's plural ending reveals its extended scope. In contrast to common Esperanto IPAG 128 Rim. II, BCE applies this mechanism also to determiners. Unfortunately, the mechanism does not work when the first noun has a plural ending as well.

If two coordinated nouns denote one and the same entity, then

If two coordinated nouns denote one and the same entity, then a special conjunction 'kau' (instead of 'kaj') is used, and any premodifier will have the singular ending (unless both nouns are plural themselves):

- (212) La sperta direktoro kau fondinto. (The experienced director and founder.)
- (213a) Fidela amiko kau kolego. (A faithful friend and colleague.)

(213b) Fidela amiko kaj kolego. (A faithful friend and a colleague.)

Case and number agreement <u>helps</u> to maintain unambiguity of modifier scope in the presence of coordinated nouns, but in order to <u>fully</u> achieve this goal, a supplementary mechanism will be required. This is the insertion of disambiguating elements (EXTRA SPACES, indicated in this report by underscores), as announced in section 1.1 (p. IV-5) of this chapter. Its principles will be explained below.

Turning our attention to <u>postmodifiers</u>, consider the linear string:

N PP PP PP PP

which can be rewritten: N prep N prep N prep N prep N.

The question is how the PPs are logically related to each other. The IL tree of such an NP shows these relations very clearly (fig. IV-3a), making use of different NP levels. In the IL tree-to-string conversion (Step 3 of the DLT process [see section III-4.1]), the following mechanism is applied to preserve the contents of the tree structure in the linear string as well: if a PP does not belong to the N immediately preceding it, but relates to an N which is k levels up in the tree, then k extra spaces are inserted before this PP. We illustrate this with the example:

(214) La decido far la komisiono \_pri la atribuado de grenojn \_al la landoj de la tria mondo \_\_lau la leĝo de '1965'-o...
(The decision by the commission on the assignment of cereals to the countries of the third world according to the law of 1965...).

Without the extra space, the PP 'pri la atribuado...' would relate to 'komisiono' instead of to 'decido'. The PP's 'al la landoj...' and 'lau la leĝo...' both relate to 'atribuado', and have to skip one and two intermediate NP levels respectively.

During the reverse process, the string-to-tree conversion by the IL-parser (Step 5 of the DLT process), each space in front of a postmodifier will cause the ATN to pop out of its current level of NP subnetwork processing (we therefore refer to these spaces as "pop"-spaces). This ensures that the IL-tree used as input for the IL-coder at the transmitter side, is completely reconstructed at the receiver side (except for a difference in word group order: the original SL-dependent word group order has been replaced by the canonical IL order).

Coming back to coordination of nouns, one can imagine noun

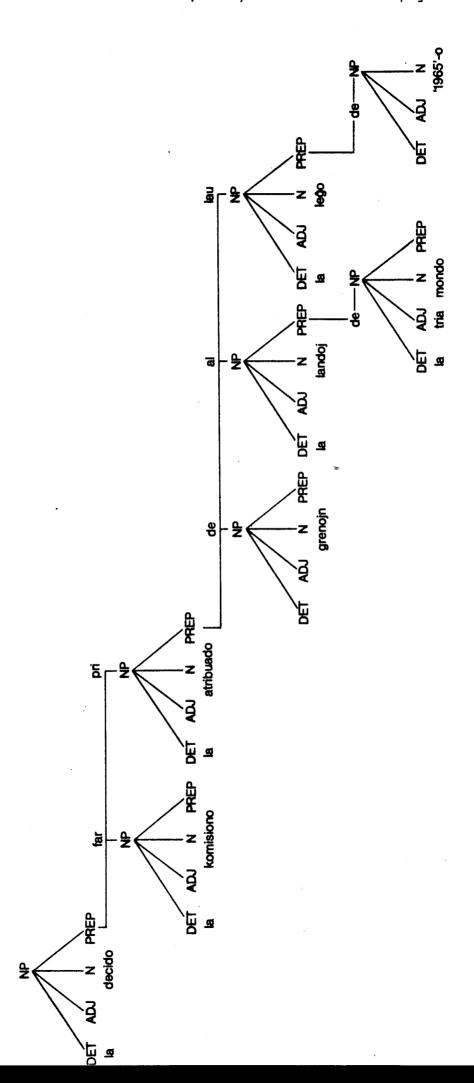
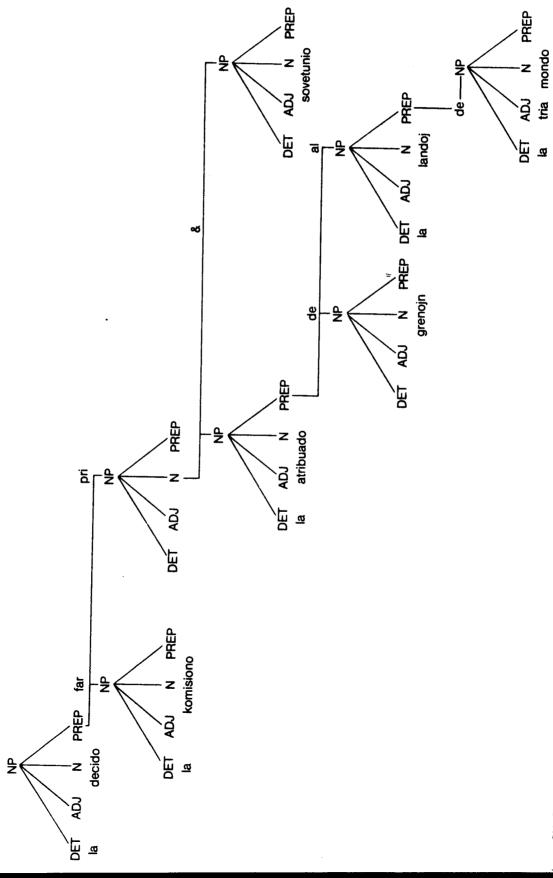


Fig. IV-3a. IL tree structure of an NP complex, showing various levels caused by postmodifying (rorresponding to example 214).



ig. IV-3b. IL tree representation of noun coordination by insertion of an additional tree level (corresponding to example 214b).

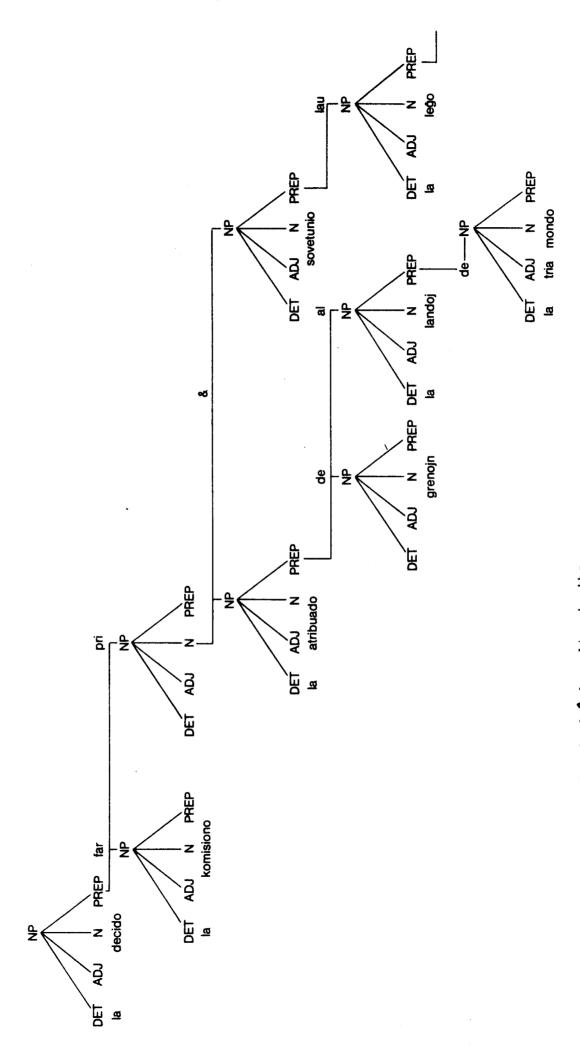


Fig. IV-3c. The postmodifier 'lau la leĝo' applies to the immediately preceding noun.

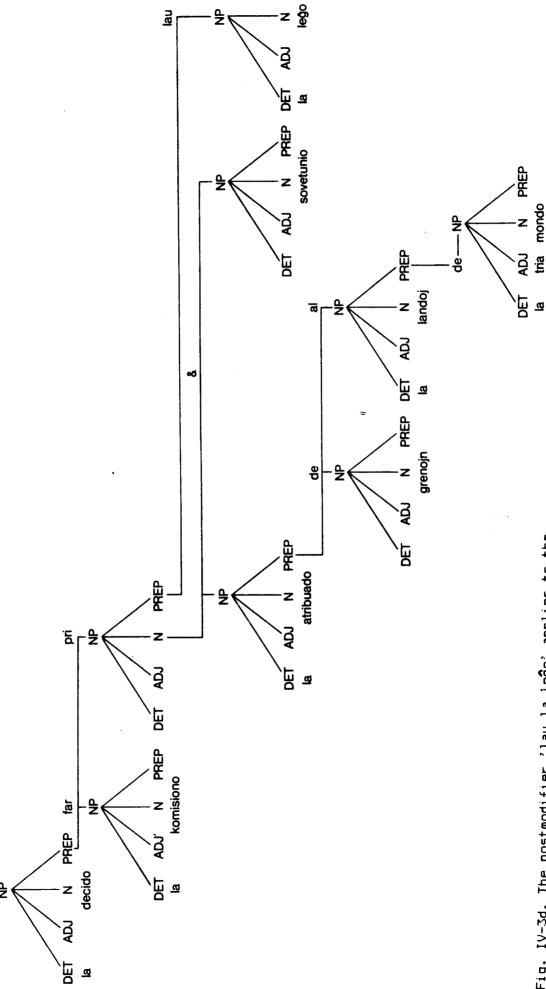


Fig. IV-3d. The postmodifier 'lau la leĝo' applies to the coordination of the NPs 'atribuado' and 'sovetunio'.

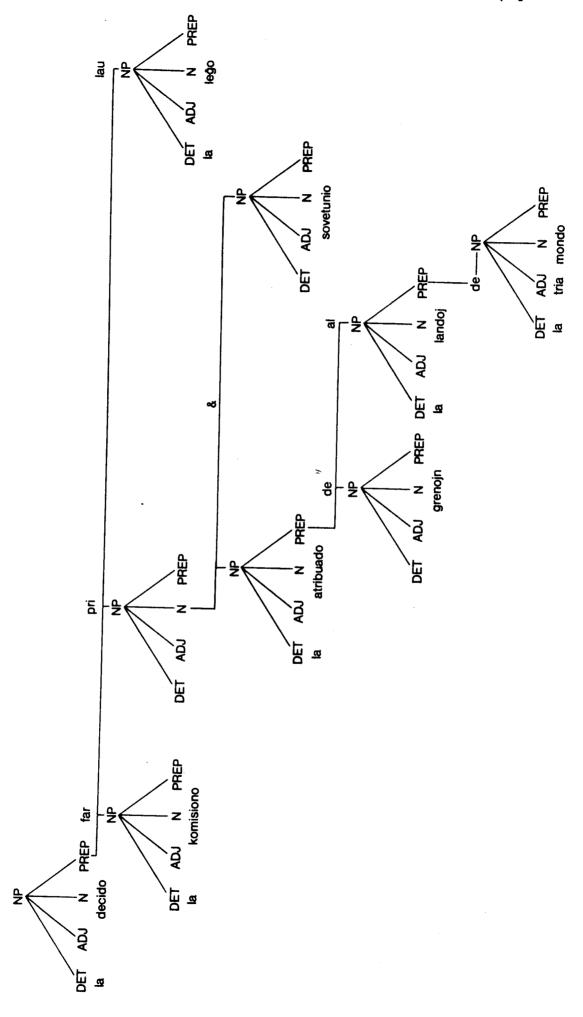


Fig. IV-3e. The postmodifier 'lau la leĝo' applies to the head noun of the whole complex, 'decido'.

conjunction occurring at every NP level. But how do we handle this in a linear string? Again, in the same way as with post-modifiers, we use extra spaces, this time in front of the conjunction ('kaj'), if the coordination applies to a higher NP level than that of the noun immediately preceding it. If, for instance, we change example 214 as follows:

(214a) .....de la tria mondo kaj sovetunio.....

then the coordination is at the lowest level ('mondo'). But if instead we have the linear IL-string:

(214b) .....de la tria mondo \_\_kaj sovetunio.....

then the coordination is two levels higher ('atribuado'), as laid down in fig. ÎV-3b, with the preposition 'pri' relating to both 'la atribuado' and 'sovetunio'.

If, in 214b, the PP 'lau la lego' still follows 'sovetunio', its possibilities of relating to various parts of the NP complex will be affected by the preceding noun coordination: 'lau la lego' then can no longer relate to 'atribuado' alone, from which it has been separated by 'sovetunio'. It can only relate to the latter (no extra space needed, fig. IV-3c), to the conjoin 'la atribuado kaj sovetunio' (1 extra space needed, fig. IV-3d), or to 'decido' (2 extra spaces needed, fig. IV-3e).

Stating this in general terms: noun coordination adds an <a href="mailto:extra\_level">extra\_level</a> to the IL tree structure. This level is counted as an additional NP level when indicating the relation of post-modifiers. In this way, postmodifier scope in the presence of noun conjoins is strictly defined in BCE.

A noun conjoin may have more than two members, but that does not introduce further levels. Once a coordination occurs, one additional level is inserted into the tree, under which all the members of that coordination can be attached (the IL trees are by no means binary trees). This arrangement has been inspired by the fact that any attempts to make hierarchical distinctions in the subgrouping of the conjoined elements appear quite irrelevant in practice [cf. Dik, 1968: 236].

An interesting issue is <u>clause level vs. phrase level</u> coordination. Adopting the principle of separators ("pop"-spaces for an ATN-based IL-parser) in front of conjunctions (as in 214b), what will happen if we pop out of the highest level within an NP complex? We will then simply return to the level from which the parser descended earlier, which will normally be the clause level.

Thus, the simple "pop"-space mechanism provides an excellent

tool for distinguishing between phrasal, clausal and even sentential coordination. This means, for instance, that BCE can meet the requirement [Dik, 1968: 202-215; Smith, 1969] to distinguish between coordination within the subject (215a) and the occurrence of two coordinated subjects (215b):

- (215a) La instruisto kaj mia amiko aĉetis tiun-ĉi libron pri historio.
- (215b) La instruisto \_kaj mia amiko aĉetis tiun-ĉi libron pri historio. (The teacher and my friend bought this book about history.)

This provision is essential, also because BCE does not have any verb flexion according to person and number. The pattern of 215b (S & S V O) must be regarded as a case of clause level ellipsis (despite the objection of [Dik, 1968: 200] against this term) allowed in BCE.

We now conclude this section with an overview of how BCE handles coordinative <u>premodifier structures</u>, i.e. several adjectives or determiners relating to one noun. We distinguish between:

- I. <u>Genuine premodifier coordination</u> (also referred to as adjective coordination in this report), again subdivided into:
  - a. <u>Descriptive chains</u> (in which the members of the coordination, i.e. the adjectives, are separated by <u>commas</u> or conjunctions):
    - (216) La bela, rica, kvieta kaj pura svislando. (Beautiful, rich, quiet, and clean Switzerland.)
    - (217) Tiu juna, Ĉarma, edukita virino. (That young, charming, educated woman.)

Fig. IV-4a shows the IL tree corresponding to 217. As we will see, the descriptive chain is treated as one unit regarding premodifier scope and other operations.

- b. <u>Restrictive chains</u> (in which the coordination members are simply in juxtaposition):
  - (218) La nuna tutmonda terminologia problemo. (The present world-wide terminology problem.)
  - (219) Pezaj rondaj ŝtalaj tuboj. (Heavy round steel tubes.)

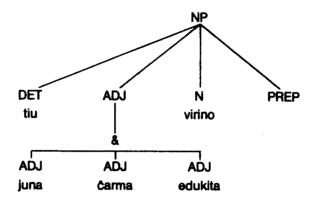


Fig. IV-4a. Descriptive adjective coordination in the IL tree structure.

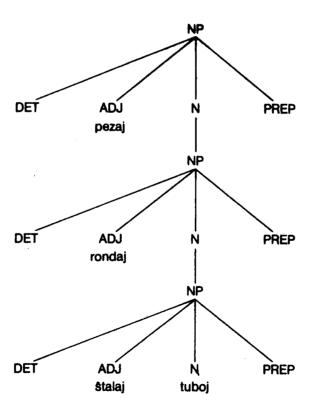


Fig. IV-4b. Restrictive adjective coordination in the IL tree structure

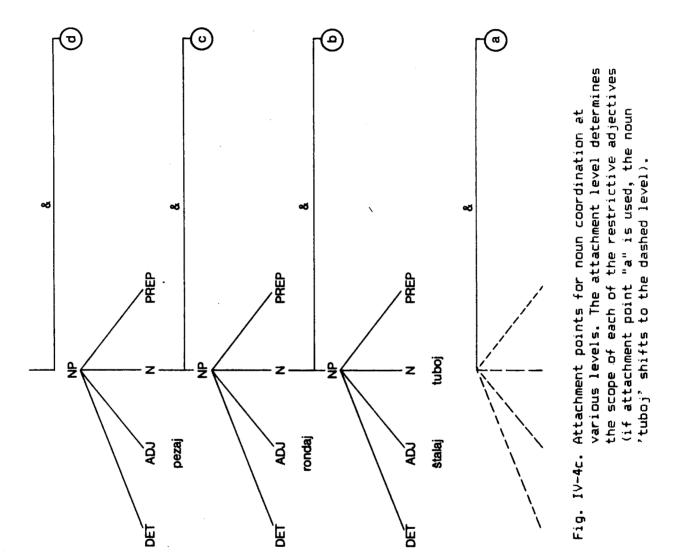


Fig. IV-4b shows the IL tree structure. Note that each restrictive premodifier (apart from the first) adds another NP-level to the tree. Here we act in agreement with the well-known principle that only grammatical constituents may be represented by subtrees. The linear adjective chain of 217 is a grammatical constituent, the one of 219 is not.

As for <u>modifier scope</u>, each member of a restrictive adjective chain has its own, and these separate scopes may or may not coincide. This is illustrated by the IL-handling of a noun coordination following 'tuboj' in 219:

```
(219a) ... tuboj kaj profiloj ...
(219b) ... tuboj _kaj profiloj ...
(219c) ... tuboj _kaj profiloj ...
(219d) ... tuboj __kaj profiloj ...
```

which corresponds to different NP-levels in the tree (attachment points a, b, c and d [fig. IV-4c]), or to:

- (a) Heavy round steel (tubes and profiles),
- (b) Heavy round ((steel tubes) and profiles),
- (c) Heavy ((round steel tubes) and profiles),
- (d) (Heavy round steel tubes) and profiles.

If, instead of noun coordination, a postmodifier were added to 98:

(220) Pezaj rondaj Ŝtalaj tuboj por usono. (Heavy round steel tubes for the USA.)

it would be put in the lowest-level NP-subtree initially (lacking other information). In certain cases [not explained here for brevity], it may move up in the tree upon subsequent information.

In addition, mixed chains are allowed, provided that the descriptive part precedes the restrictive part. All these prescriptions can be derived from the ATN for NP-structure [p. 10 of the Appendix].

# II. Elliptic noun coordination.

This type of coordination is fallacious: though superficially resembling an adjective coordination, it is in fact a coordination of nouns, one of which has been omitted, e.q.:

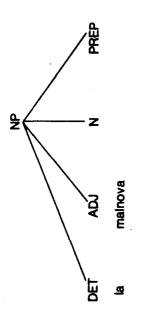


Fig. IV-5a. Initial tree structure, built by IL-parser.

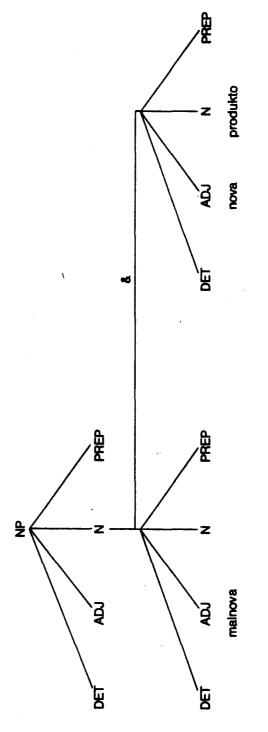


Fig. IV-5b. Final tree structure, built by IL-parser.

Fig. IV-5. IL tree structure in case of elliptic noun coordination.

(221) La malnova \_kaj nova produkto. (The old \_ and new product.)

Such a BCE fragment will be processed by the IL-parser as follows:

Initially, the determiner 'la' and the adjective 'malnova' are put in the same NP-subtree (fig. IV-5a). As soon as the separator (1 extra space) and the conjunction ('kaj') turn up, the parser concludes that there is an elliptic noun coordination [cf. the ATN, Appendix p. 10]. As a consequence, the parser not only opens two new NP-subtrees on a lower level, but also moves the adjective 'malnova' (whose scope is clearly limited to the first of the two nouns) to one of these new subtrees. The determiner 'la', on the other hand, remains at its original level, as jt will apply to the conjoin as a whole, not only to the first noun. The resulting tree structure is shown in fig. IV-5b.

It has been pointed out [section 1.1, item i.1 that the IL-parser works without applying 'deep' or even 'shallow' semantics. Without the formal distinction of a separator (indicated by an underscore) in a fragment such as 221, the IL-parser would assume genuine premodifier coordination [type I.a above, examples 216-2171. The parser would NOT base a decision upon the apparent mutual exclusiveness of the two 'polar' adjectives 'nova' and 'malnova'.

## 2.5. Free adjuncts (FADJs).

## 2.5.1. Typology.

Among the IL syntactic categories, free adjuncts (FADJs) are adverbials of time, place, manner etc. that relate to the clause as a whole (in contrast to adjuncts bound to the subject or object specifically). They are called 'free' primarily to underline the distinction with PPs bound to the clause's verb by valency [cf. fig. IV-2].

Free adjuncts have their place in BCE's canonical word group ordering [section 2.1.2]. In the general clause, they often act as clause introducers and can only be preceded by context-linking or speaker-oriented adjuncts [section 2.6]. In the infinitival clause however, they are placed near the end, an arrangement which contributes to readability as well as structural unambiguity. Also notice their position in adjectival and participial clauses [p. IV-34].

The shape free adjuncts can take ranges from a single adverb to adverbial clauses, PPs and clauses introduced by a subordinating conjunction, e.g.:

- (222) Lastatempe oni faris proponojn pri tiu-Ĉi projekto. (Lately, proposals have been made concerning this project.)
- (223) Legante artikolon pri nukleaj armiloj, ni vidis kelkajn okulfrapajn fotojn. (Reading an article about nuclear weapons, we saw several striking photos.
- (224) Per altigo de la socian rekonon de la senlaborecon ...
  (By increase of the social recognition of unemployment ...)
- (225) Kiel ni konstatis, la pozicio de finlando estas stabila. (As we ascertained, the position of Finnland is stable. )
- (226) Se konstante la sciencistoj insistas pri ĝi, ankaŭ la politikistoj devos sekvi.
  (If the scientists insist on it constantly, the politicians will have to follow too.

As some of these examples suggest, a free adjunct can become quite complex, such as a PP with dependent PPs again [224]. In addition, a clause may have a sequence of several FADJs [up to some practical limit, still to be defined]. Therefore, BCE must show distinction between PPs depending on one FADJ [as in 224], and a series of juxtaposed FADJs. This is done partly by commas, partly by explicit separators [cf. p. IV-5, item e.]. A comma is always used to separate FADJs from a preceding LADJ [section 2.6], as indicated in the ATN on p. 8 of the Appendix.

The semantic coverage of free adjuncts includes the following categories (note the two important classes of exceptions):

TIME
PLACE (excelet: PPs with verbs of position and motion)
MANNER
INSTRUMENT

GOAL
CAUSE
STATE
MEASURE

TRACTATIVE
BENEFICIARY

Ithe term 'tractat:ive' denotes the topic of something (expressed in English by 'about', 'on', 'concerning' etc., in both BCE and Essperanto by 'pri' and 'koncerne'.] The following pair of examples illustrates how the assignment of a PP, to either the FADJ or the Ovv category, depends on

the valency pattern of the IL verb ('logi' does, 'renkonti' does not have explicit valency for a place complement):

(227a) Ie-en parizo he renkontis knabinon. (He met a girl in Paris.

(227b) Hi loĝas ie-en parizo. (He lives in Paris. )

If a FADJ is a PP or subordinate clause, its semantic category can be established unambiguously from the preposition or subordinating conjunction by which the FADJ is introduced. Already in common Esperanto, the system of prepositions is more regular than in other languages, and BCE adds even more regularity to it (see below). In case of adverbs and adverbial clauses, it is much more difficult if not impossible for the IL-parser to assign the FADJ to any of the above-mentioned categories (we remind the reader that the IL-parser does NOT involve 'deep' semantics processing [cf. p. IV-3]). See also examples 61-62 in this Chapter.

If a number of FADJs occur in juxtaposition, a BCE-specific ordering scheme (e.g.: [TIME] [PLACE] [MANNER] ...) might help [the present BCE-design does not include such a scheme].

Fig. IV-6 (in section 3.2) shows the formal definition of the FADJ structure, in the form of an ATN. An enumeration of FADJ introducers can be found on pp. 5-6 of the Appendix. The subsections below give separate overviews of several important classes of elements, used in FADJs but also elsewhere.

### 2.5.2. Prepositions.

A number of Esperanto prepositions can have a <u>temporal</u> as well as a <u>local</u> meaning. In BCE, these two different senses are distinguished by means of the hyphenated prefixes '<u>iam</u>-' and '<u>ie</u>-' respectively.

The unprefixed form of these prepositions remains available for meanings other than temporal or local:

TIME	PLACE (	other meanings)
iam-en iam-tra iam-inter iam-Cirkau iam-de iam-gis iam-antau iam-post iam-ekster	ie-en ie-tra ie-inter ie-Cirkau ie-de ie-ĝis ie-antau ie-post ie-ekster	en tra inter Ĉirkau de ĝis antau post ekster
iam-Ĉe	ie-ĉe	Ĉe

Besides, there is a small number of prepositions that have a local and a non-local, but not a temporal meaning:

 ie-al
 al

 ie-el
 el

 ie-lau
 lau

 ie-apud
 apud

Borderline cases will always turn up, mainly between the local and the 'other' meaning of a preposition, and particularly if one thinks of metaphor. However, these cases will overlap with those to be covered by detailed information in the DLT lexicons (SL-IL as well as IL-TL), ranging from valency translation to the translation of idioms, collocations etc., a comprehensiveness that is required anyway [Knowles, 1982: 152]. Apart from that, the above prefixing scheme provides an effective disambiguation instrument to the non-deep IL-parser:

- (228a) Iam-en la dua mondmilito. (In the second world war.)
- (228b) Ie-en usono. (In the USA.
- (228c) En certa senso. (In a certain sense.)
- (229a) Ie-lau la rivero. (Along the river.)
- (229b) Lau la prezidento.
  (According to the president.)
- (230a) Iam-de la militista reĝimo ie-en polando usono limigis la eksporton de teknologio \_ie-al sovetunio. (Since military rule in Poland, the USA limited the export of technology to the Soviet Union.)

IIn 230a, two locative PPs depend on an NP; in 230b, a verbvalency bound prepositional object occurs.] Prepositions denoting PLACE indicate POSITION if they are followed by the nominative, DIRECTION (motion towards) if they are followed by the accusative case:

(231a) Ie-en amsterdamo. (In Amsterdam.

<u> </u>			
TIME:	je dum iam-Ĉe iam-de iam-en iam-ĝis	iam-post iam-tra iam-antau iam-inter iam-ekster iam-ĉirkau	dume de fine de daure de komence de
PLACE:	cis sub sur trans super preter ie-al ie-Ce ie-de ie-el ie-en	ie-ĝis ie-lau ie-tra ie-apud ie-post ie-antau ie-Ĉirkau ie-inter ie-ekster ie-kontrau sube de	funde de meze de flanke de fronte al centre de kerne de direkte al ekstere de interne de supraĵe de
INSTRUMENT:	per		
AGENT:	far		
GOAL:	por	cele al	
CAUSE:	pro	kauze de	
ACCOMPANIMENT:	kun	sen	
MISCELLANEOUS:	al Ce de el en je po Gis lau pri tra apud krom post antau inter Cirkau estiel kvazau kvankam ekzemple	ekster kromau kontrau malgrau anstatau kaze de nome de helpe de manke de sekve de spite de favore al memore al okaze de rilate al komisie de	prepare al rezerve de escepte de foreste de kompense al konforme al renkonte al responde al kontraue al inkluzive de konsidere de vidalvide al ekskluzive de konsekvence de

Table IV-4. Enumeration of IL prepositions.

(231b) Ie-en amsterdamon. (Into Amsterdam.)

In the present BCE design, the preposition 'ie-al' (which always indicates direction) forms an exception in this respect. In accordance with the use of 'al' in common Esperanto, it can only be followed by the nominative:

(231c) Ie-al amsterdamo. (To Amsterdam.)

Table IV-4 gives a general overview of prepositions in BCE. Note that 'far' can never occur in a FADJ, as it is reserved for the agent of the passive (Ag), a separate syntactic function category.

The table shows simple, prefixed and compound prepositions. Simple prepositions play also an important role as elements in the formation of subordinating conjunctions [see the next section].

A few prepositions ('per', 'por') can introduce infinitival clauses (INF), which is another realization of FADJs [cf. the ATN, fig. IV-6]:

(232) Por vendi siajn produktojn oni bezonas merkatesploron. (To sell one's products one needs a market investigation.)

The compound prepositions listed in table IV-4 are all formed with 'al' and 'de'. Their enumeration is not exhaustive. Besides, there exist compounds formed with other prepositions ('kompare kun', 'meze ie-inter'). Also, compounds with adverbialized prepositions ('kune kun', 'sube sur'), combinations ('de ce') and coordinated prepositions ('kun kaj sen', 'por kaj kontrau') have been investigated as part of the feasibility study.

## 2.5.3. Subordinating conjunctions.

As announced in section 2.1.3 [p. IV-38], BCE has adopted a regular scheme for the formation of subordinating conjunctions. On the basis of this scheme, the following subclassification applies [Note: 'ke' corresponds to the English 'that']:

### a. <u>Preposition</u> + 'ke':

dum ke gis ke lau ke	pro ke sen ke krom ke	antau ke kromau ke kvankam ke
per ke por ke	post ke	malgrau ke
hou ve		anstatau ke

This model replaces common-Esperanto expressions such as 'post kiam', 'antau ol' etc. Note that the combinations are

obligatory, e.g. 'dum' without 'ke' can only act as a BCE-preposition.

b. Adverb + 'ke' :

apenau ke tiel ke danke ke escepte ke kvazau ke tial ke helpe ke kondiĉe ke spite ke supoze ke

Without 'ke', these words only have their adverbial meaning.

c. The conjunction 'car':

In BCE, 'Car ke' is the subordinating, 'Car' the coordinating conjunction.

d. Subordinating conjunctions, which have an explicit or implicit <u>correlative</u> antecedent:

In case of an interrogative subordination, the suffix '-do' is attached [Note: 'ki'-forms correspond to the English Wh-forms].

e. The subordinating conjunctions 'ke' (used in isolation) and 'se' (English: 'if'), which retain their function as in common Esperanto. Note however, that a bare 'ke' can only introduce so-called nominal clauses (dependent clauses that replace a subject, direct object or subject predicative complement), NOT adverbial clauses (FADJs).

Combination with an adverb or preposition may alter the meaning of a subordinating conjunction in a way that contributes to the translation precision of DLT, e.g.:

de kiam since nun kiam now that tuj kiam as soon as

In addition, the Esperanto-based IL offers several shades of emphasis [cf. the list of FADJ introducers on pp. 5-6 of the Appendix]:

pro ke because pro tio ke for the reason that

2.5.4. Adverbs, intensifiers and 'floaters'.

As to their form, we can divide adverbs into the following groups:

- a. Regular adverbs, ending on '-e'.

  This is an open class, the members of which can be derived from adjectives ('rapide') but also from other word classes ('antaue', 'tiurilate'). This class also includes participial adverbs ('legante').
- b. <u>Correlative</u> adverbs. The term 'correlative' in this context originates from Esperanto. It denotes a <u>matrix</u> of function words, the initial characters of which correlate with (roughly) <u>syntactic</u>, the final characters with <u>semantic</u> functions:

	PLACE	TIME	CAUSE	MANNER	MEASURE
INDEFINITE	ie	iam	ial	iel	iom
DEMONSTRATIVE	tie	tiam	tial	tiel	tiom
RELATIVE	kie	kiam	kial	kiel	kiom
COLLECTIVE	Ĉie	2iam	Ĉial	Ĉiel	ĉiom
ALTERNATIVE	alie	aliam	alial	aliel	aliom
NEGATIVE	nenie	neniam	nenial	neniel	neniom

By appending an '-n' ending to the elements of the PLACE column, one obtains DIRECTION. Further, the row of RELATIVE adverbs can be turned into INTERROGATIVE by suffixing with '-do' (this applies to all Wh-questions, independent as well as dependent). The addition of the ALTERNATIVE row is a deviation from common Esperanto. Note that the <u>full</u> Esperanto matrix of correlatives also includes pronouns and determiners ('kiu', 'kia', 'kies' etc.).

c. Adverbs ending in '-au'. This is a small, closed group (the Esperanto 'kvazau', when functioning as an adverb, is replaced by 'kvazaue' in BCE):

ankau	almenau	hierau
ankorau	apenau	hodi au
baldau	preskau	morgau

d. Other monomorphemic (simple) adverbs:

ĉu	ne	jus	plu	
do	ajn	mem	tre	
eĉ	for	ոսո	tro	judes
ja	jam	nur	tuj	_
ĵe	jen	pli	plej	

As to the syntactic and semantic <u>functions</u> of adverbs, we can distinguish:

- i. Adverbs serving as <u>context-linking</u> or <u>speaker-oriented</u> adjunct [LADJ, section see 2.6]. In the IL as well as in Esperanto, there are many one-word adverbs that correspond with collocational phrases in other languages [see the list on p. 2 of the Appendix].

  Also the 'modal' adverbs ('deve','pove' [cf. p. IV-43]) are reckoned to this category in BCE.
- ii. Adverbs serving as <u>free adjunct</u> (FADJ) of time, manner, state etc. ('frue', 'rapide', 'timide'). According to BCE syntax, this category includes adverbs that modify a (participial) adjective.
- iii. <u>Intensifiers</u>, modifying an adjective, verb or again an adverb:

tre	ρli	tiel
ege	plej	tiom
multe	preskau	parte
treege	sufi <b>ĉe</b>	tute
ekstreme	tro	
eksterordinare		

The matrix on p. 4 of the Appendix gives an impression of the extent to which BCE intensifiers (and other adverbs) can modify each other.

In addition, adverbs can be used to modify prepositions ('meze ie-en', 'ekzakte sur'), numerals and numeral supplements [2.4.3]. They can also modify a PP as a whole (functioning as FADJ or Spc):

- (233) Prefere per trajno hi vojaĝas. (He travels preferably by train.)
- (234) La parlamento estis malkaŝe kontrau tiuj planoj. (The parliament was openly against those plans. )

Finally, similar again to English, French, German etc., the IL has a subclass of words for negation and emphasis, which can occur practically everywhere in the sentence, and therefore are called 'floaters':

ankau	eĈ	ne
îе	mem	nur

The scope of these function words is determined by their position [the floaters have not been included in the ATNs presented in this report; formalization of their use and their restrictions, will of course be required]. The emphasizer '?e' is a BCE addition.

## 2.6. Context-linking adjuncts (LADJs).

In the IL grammar, the syntactic category of context—linking adjuncts (LADJs) meets the need for those adjuncts that are more related with the surrounding context or the opinion of its author than with the clause in which they are actually placed [Lehrer, 1974; Quirk, 1980]. An LADJ can only occur at the very beginning of a general clause [cf. p. IV-33], and must be followed by a comma. The BCE grammar permits the occurrence of more than one LADJ [see Appendix, p. 8].

<u>Context-linking</u> adjuncts (in the narrow sense), also called 'conjuncts', indicate the relation between the particular sentence or paragraph which they introduce and other - preceding or succeeding - parts of the text, e.g.:

aliflanke	konklude	same
cetere	resume	tamen

In the IL (as well as in common Esperanto), many of these LADJs are one-word adverbs [see the list on p. 2 of the Appendix], but also multi-word expressions ('kiel dirite') exist.

<u>Speaker-oriented</u> (or rather, for written texts, 'author-oriented') adjuncts, also called 'disjuncts', express an opinion or judgment as to the assertion contained in the clause. The BCE modal adverbs 'deve' and 'pove' [cf. p. IV-43], and also adverbs indicating doubt, rumour or reservation ('laudire', 'onidire') belong to this group:

- (235) Deve, nun la aviadilo estas ie-en lisbono. (The plane must be in Lisbon [by] now.)
- (236) Onidire, la usona intertraktanto sukcesis. (The American negotiator is said to have succeeded.)

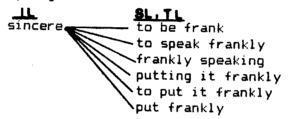
Other adverbs that can serve as LADJ are:

	honeste	saĝe
eble	konfidence	serioze
certe	kompreneble	sincere
evidente	miaopinie	supozeble
	niaopinie	teorie
	prave	ve <b>rŝ</b> ajne

Note however, that some of these adverbs can as well be integrated in the clause proper, functioning as a free adjunct of manner, state etc. [cf. Lehrer, 1974]. In BCE, the comma may happen to be the only formal distinction then:

- (238a) Serioze, la rusoj ne volas intertrakti.
  (Seriously [speaking], the Russians do not want to negotiate.
- (238b) Serioze la rusoj ne volas intertrakti. (The Russians do not seriously want to negotiate.)
- (238c) La rusoj ne volas intertrakti serioze. (The Russians do not want to negotiate seriously. )

[The adverb is an FADJ of the main clause in 238b, of the infinitival clause in 238c (cf. canonical order, p. IV-33]. As the translation of 238a suggests, an IL's LADJ will often have several translation variants in English or other languages, e.g.:



Of course, this situation contributes to the compactness of the IL. If, on the other hand, an IL main clause is introduced by a PP or subordinate clause expressing opinion or judgment ('lau nia nuna opinio', 'kvankam ke ni dubas pri tio') such a constituent is treated as an FADJ, not as an LADJ, in BCE.

## 3. Formal definition.

This section shows how the IL is defined formally. A formal definition of its grammar is required in order to process the IL by computer-implemented algorithms, of which the IL-recognizer and the IL-parser (Step 4 and 5 of the DLT translation sequence [see section III-4.1]) are the most prominent. Besides, the handling of the IL tree structures and their conversion to linear strings (Step 2 and 3) will be looked at. For actual DLT operation, these formalisms are the only effective representation of the IL grammar. The descriptive definition [as given in section IV.2] must be regarded as a derivative, to be used for system documentation and explanation.

### 3.1. Motivation of ATN-choice.

The grammar formalism chosen for the IL (the IL-recognizer as well as the IL-parser) is the Augmented Transition Network (ATN), to be used with a certain degree of look-ahead. This choice is motivated as follows:

The Esperanto-based IL is a structurally highly transparent language, having a limited set of canonical word order patterns and a much more stringent and clear morphology than English or other natural languages: this characteristic lends itself well to the use of an ATN parser.

With the IL, such a parser generally knows very well what to expect, and the need to defer decisions is small. A chart parser will flourish when the language to be processed shows a lot of ambiguity at word or at word group level: e.g. English, where the same word can function as noun, adjective or finite verb, and where the same NP can serve as subject, object or adjunct. The contrary is the case for the IL, where the part of speech of a word follows explicitly from its grammatical ending, and where the provision of an accusative and a more precise system of prepositions safeguards against uncertainty of word group function.

To compare the IL with English in terms of look-ahead requirement, we have translated 5 typical pairs of sample sentences from [Marcus, 1980]. One of these (243) is what Marcus calls a 'garden path' sentence, considered the most tricky type. But also the others (239-242), most of which require a 3-constituent look-ahead buffer in the Marcus parser, can be processed immediately (without deferring decisions, without quasi-parallel processing or later back-tracking) in the IL version:

(239) Is the block sitting in the box?
Is the block sitting in the box red?

Cu la bloko sidas ie-en la skatolo? Cu la bloko, sidanta ie-en la skatolo, estas ruga?

(240) Have the students who missed the exam take the makeup today. Have the students who missed the exam taken the makeup today?

Igu la studentojn kiuj maltrafis la ekzamenon, fari la kompensan ekzamenon hodiau. Cu hodiau la studentoj kiuj maltrafis la ekzamenon, faris la kompensan ekzamenon?

(241) John had left yesterday. John had a book.

> Hierau 'John'-o foriris. 'John'-o havis libron.

(242) Who do you want to give a book to tomorrow? Who do you want to give a book to Sue?

Al kiu-do vi volas doni libron morgau? De kiu-do vi volas, ke tiu donu al 'Sue'-o libron?

(243) That deer ate everything in my garden surprised me.

Ke cervoj mangis cion ie-en mia gardeno, surprizis min.

IThe particle 'cu' in 239 and 240 is an obligatory introducer and marker for yes/no questions. The suffix '-do' in 242 serves to distinguish a wh-question from a relative clause.]

It should be noted that the design of the IL, apart from guaranteeing structurally unambiguous sentences, also deliberately includes provisions for limiting look-ahead needs. An example of this is the elliptic noun coordination explained in 2.4.5 [p. 74-75]. Instead of:

(244a) La malnova \_kaj nova produkto.

one could have adopted a different model (similar to what is sometimes used in common Esperanto [see also PAG 128.I.2]), namely a variant of the adjective-noun number disagreement:

(244b) La malnova kaj nova produktoj.

but that would have increased the IL-parser's look-ahead load. Another example is the use of subordinate conjunctions that have been made distinct from their corresponding preposi-

tions, by combining them with the dependent clause introducer 'ke' [cf. 2.5.3]:

Preposition:		Subjunct	ion:
dum gis	(during) (until)	dum ke qis ke	(while)
post	(after)	nost ke	(after)

Without this distinction, parsing of a constituent would have to proceed until either a right PP parenthesis (e.g. a comma) or a finite verb (of the subjunct clause) was found, in order to determine the nature of the constituent:

(245) Dum la kunveno pri la decido de la komisiono pri
agrikulturo....
(During the meeting on the decision of the committee
on agriculture...)

In parsing the IL, a modest amount of look-ahead remains required, for scanning over adverbs and for determining the right bracket of a constituent in several cases (e.g. NPs not followed by a special element such as an extra space, serving as an explicit separator).

Also, notorious backtracking causers as:

- PP-ambiguity
- reduced relative clauses
- compound noun strings (noun-noun modification)
- conjunction scope ambiguity
- modifier scope ambiguity

are absent in the IL (as has been demonstrated in the previous sections of this chapter). This means that the backtracking as well as the look-ahead load on the IL-parser is relatively small. Moreover, DLT uses quasi-parallel processing instead of backtracking: after each IL-word received by it (at a transmission rate which is significantly slow compared to processor speed), the parser will utilize the relative abundance of available processing time till the arrival of the next word [cf. III-4.2.1, parsing "on the fly']. This is the IL-parser's deterministic realization of a non-deterministic system (the ATN), and prevents a processing peak near the end of the sentence. It makes the IL-parsing speed no point of concern.

An argument against the use of ATNs would be their lack of ability to process incorrect sentences. But the IL-recognizer only has to reject these (not to recover them),

and the IL-parser will never receive them [cf. III-4.1].

The above arguments for chosing ATN all underline that it is good enough for the job. One might question whether it is not too heavy a tool in this particular case (the IL). Rather than by theoretical interest, our work at this point has primarily been guided by the practical goal of building a multilingual MT system. Out of practical considerations, we prefer to stay on the safe side and adopt a powerful method as ATN [Bates, 1978], with which ample experience has been gained now, and which keeps having good software support prospects in the form of advanced programming languages [see also III-4.2.3.5a].

# 3.2. The ATNs making up the IL grammar.

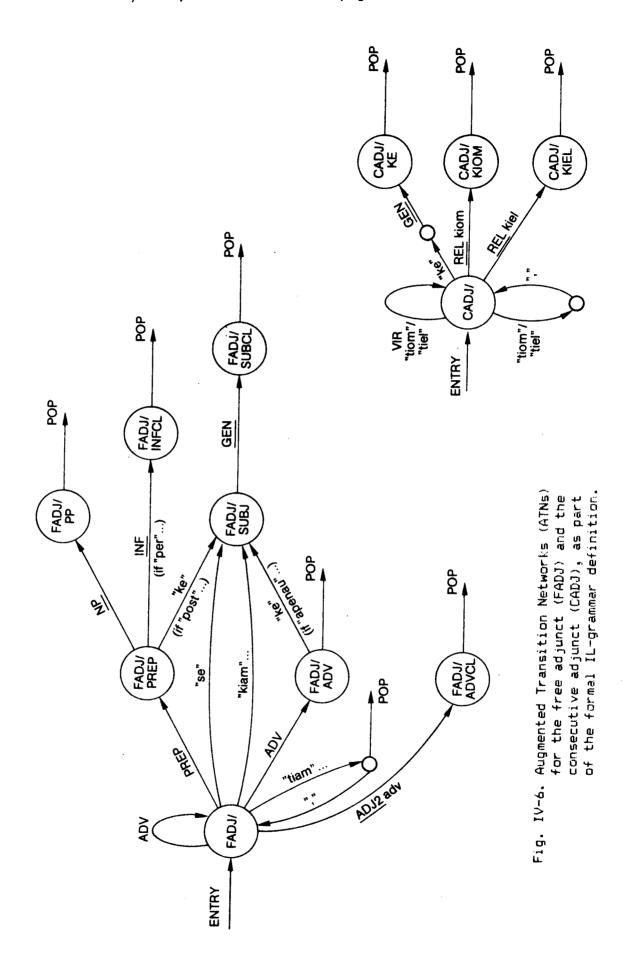
As part of the feasibility study, ten ATNs have been drawn to define the IL grammar, eight of them corresponding to IL syntactic categories [IV-1.4], the other two (COM and ADO) being mere subnetworks which resulted from practical ATN design considerations. The set of functions of the ATN formalism and the state labelling convention are those described in [Bates, 1978]. The arc annotation used is largely DLT-specific and has been defined on p. 7 of the Appendix.

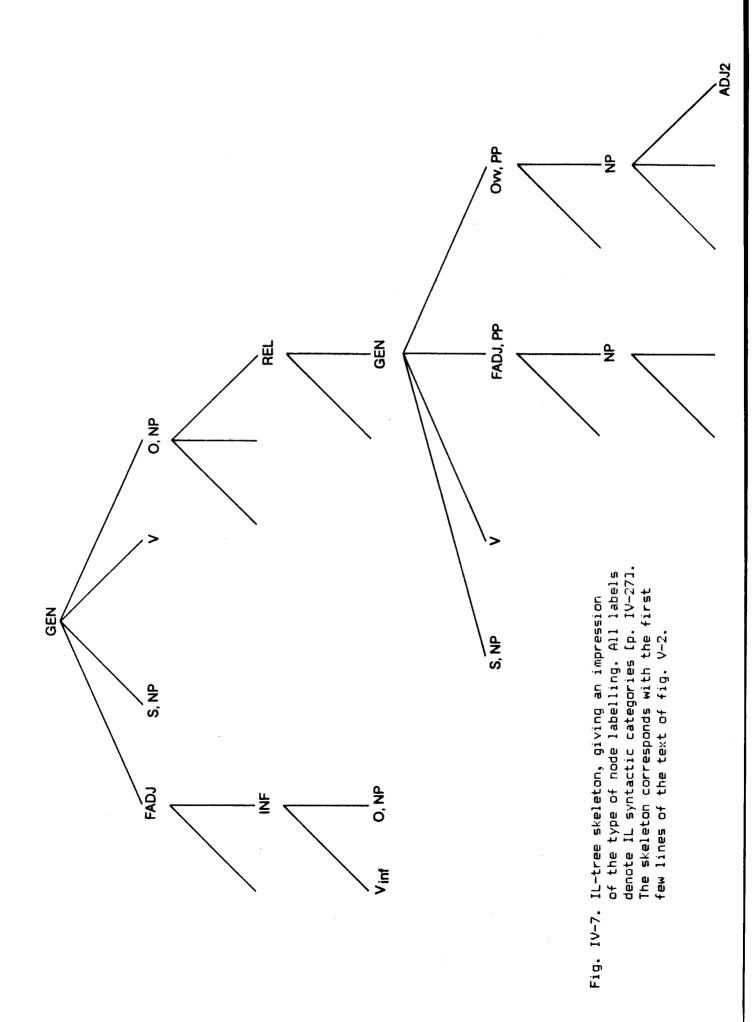
Fig. IV-6 shows the ATNs for FADJ and CADJ. All other ATNs (for GEN, INF, COM, NP, ADJ1, ADJ2, REL and ADOC) can be found in the Appendix [pp. 8-15]. Note that some syntactic categories (LADJ, DEP, PP) do not correspond with a separate ATN.

The ATNs presented in this report have not yet been tested out by computer implementation. Moreover, they are not complete in every detail [section VII-2.b lists the required completion activities]. Conditions on the arcs have been indicated very concisely, often referring to a specific list of function words. Tree-building actions along the arcs still fail. Coordination and ellipsis have been covered for the NP, but not in the other ATNs.

The agreement between the set of ATNs and the descriptive definition of the IL grammar [as given in section IV-2] has carefully been checked. Nevertheless, further desk-checking will be fruitful [readers are encouraged to report any inconsistencies or deficiencies they might detect].

As for the DLT process steps in which these ATNs will be employed, it should be noted that they have primarily been drawn with the IL-parser in mind. The IL-parser will for instance NOT apply any checks on the nesting depth limitations, as the IL-recognizer does. Only in one case (the ATN for ADJ1), restrictions on embedded NPs have been indicated [by means of an apostrophe, p. 12 of the Appendix].





## 3.3. Structure and labelling of trees.

IL-trees result from Step 1 and Step 5 of the DLT process [see fig. III-9]. They form an intermediate stage and act as interface between the SL- and TL-oriented steps on the one, and the extended IL-kernel on the other hand. As they are gradually being built during Step 1, they will carry SL as well as IL words at their leaves [see Chapter III, figs. 11-14].

The <u>structure</u> of these trees reflects the IL syntactic categories [defined in IV-1.4] and the IL canonical patterns [pp. IV-33,34], which in turn can be derived from the ATNs. Note that IL-trees resulting from Step 1 are unordered trees; they conform to the IL canonical patterns <u>except</u> for the order of constituents. The output of Step 5 consists of ordered trees, fully in line with the canonical patterns and ATNs.

As an example of an <u>unordered</u> IL-tree, fig. IV-7 shows an active general clause (corresponding to pattern 1.1 of Table IV-2) at the top. The FADJ consists of an infinitival clause (preceded by a preposition), in agreement with one of the paths through the ATN of fig. IV-6. On its turn, the INF constituent is realized in accordance with pattern 2.1 of Table IV-2, and so on. Each subtrep corresponds to a syntactic constituent, and often to the call (or 'PUSH') of an ATN subnetwork.

Apart from ordering of constituents, there is another issue which marks the difference between actual IL-trees and canonical patterns: in an IL-tree, whether ordered or unordered, the subtrees will only contain those <u>optional</u> constituents that are actually present. This may seem trivial, but we aim at the need for an effective and economic representation: for certain categories, such as the NP, the number of optional constituents is so large, that an entirely slot- or frame-oriented solution (as is often applied in AI research experiments) seems unattractive. In DLT, the IL-trees — as mentioned above — are intermediate stations on the way to and from a compact linear string (BCE), which makes the relevance of economic tree structures even more pronounced.

A partly slot-oriented solution (suggested by the NP-subtrees of figs. IV-3,4,5), based at the most frequent actual realization patterns of a constituent, could however be optimal.

As for the <u>labelling</u> of the tree nodes, we distinguish two types of labels: one for the <u>syntagmatic</u> categories (GEN, INF, NP etc.) and one for the <u>syntactic function</u> categories (S, O, Ovv, FADJ etc.) [see also p. IV-27].

As with the ATNs, the IL-tree structure and node labelling have not yet been worked out in every detail [for planned finalization see VII-2.c].

The handling of modifier scope and coordination in the IL tree structure has been illustrated for NPs [IV-2.4.5, figs. IV-3,4,5; the branches with "%" will technically be realized by an extra set of pointers, i.e. an additional tree dimension. As shown, coordination as well as multiple premodifying cause levels to be added to the trees there. This mechanism is likely to be extended for coordination outside NPs.

## 3.4. Insertion of disambiguating elements.

According to the process sequence displayed in fig. III-9, the unordered IL trees produced by Step 1 must subsequently be ordered (Step 2) and converted to IL proper, i.e. linear strings (Step 3).

Syntactic unambiguity in the IL tree structure is one thing, in the linearized BCE strings it is another. The trees are by nature an extra-linguistic device, relying on artifacts such as tree structure and node labels. The strings must be kept as readable as Esperanto, a requirement which is one of the keystones of DLT.

As far as extralingual elements are brought into BCE, they must therefore be modest and unobtrusive. This section deals with the EXTRA SPACE, announced at the beginning of this chapter [p. IV-5] and used in various examples (indicated by an underscore).

Throughout the IL, a number of <u>linguistic</u> devices contribute to ambiguity prevention (as illustrated in section IV-1, and systematically covered in IV-2), e.g.:

- explicit grammatical endings
- accusative
- precise prepositions
- canonical word order

However, these linguistic devices are not watertight. In particular, they do NOT cover the structural ambiguity connected with coordination and modifier scope. Also, one should be aware of the additional necessity to prevent excessive <a href="mailto:look-ahead">look-ahead</a> requirements [section 3.1 specifies the use of ATNs with <a href="limited">limited</a> look-ahead].

We therefore have to take recourse to a more formal device, which we can characterize as SEPARATOR insertion. Before we discuss the formal separators, let us briefly mention two particles that can be considered as 'pseudo-linguistic' separators: 'le' [example 88,89] and 'je' [examples 105,106],

which on the one hand have only been included into the IL to serve as 'left brackets', on the other hand resemble existing particles in Esperanto and other languages, in such a way that they function as mnemonics for the human IL inspector.

As a <u>formal separator</u>, the space or blank has been chosen. From the point of view of IL-parsing, it is fully arbitrary by which particular sign the separator is realized, as long as the choice does not introduce new ambiguities. From the compactness viewpoint however, the choice <u>is</u> important. The space is the most compact sign in the BCE coding scheme [cf. p. IV-5 and section IV.5], and EXTRA SPACES serving as formal separators are at the same time unobtrusive extralingual additions to BCE. The mapping of these extra spaces onto other devices (underscore, indentation) as a display option is again another matter [see III-5.2].

Important to notice is that one formal separator suffices in BCE. This one separator, the EXTRA SPACE, is used to perform three distinct separating functions:

- I. Level popping ('POP SPACE').
  The separator enforces the IL-parser to pop out of the current ATN [see for instance the bottom left arc of on p. 11, Appendix], i.e. to climb back to a higher level in the IL-tree. This includes coordination and modifier scope, because of the way these are implemented in the IL tree structure.
  Enforced popping out of an ATN is required in case of Absent Trailing Optionals (ATROPs) in the actual realization of an IL constituent. The separator can be considered as a right bracket.
- II. <u>Skipping embedded optionals</u> ('SKIP SPACE').

  The separator serves as a skip mark, somewhere in the middle of a constituent, to guide the IL-parser.
- III. <u>Ellipsis</u> ('ELLIPSIS SPACE').

  This takes place with elliptic noun coordination, as shown in example 221 [p. IV-76]. Here, a separator is needed to prevent a coordinated-adjectives interpretation, and is placed immediately before the conjunction. Similarly, an undue coordinated-PPs interpretation can be prevented by an ellipsis space immediately after the conjunction.

The above gives an impression of the significance of separators during IL-parsing, i.e. string-to-tree conversion (Step 5). What remains to be answered is how separators are inserted at the SL-side of the extended IL-kernel [fig. III-9]. We will briefly indicate one of the algorithms for automatic separator insertion

fin which 'Step 2' and 'Step 3' always refer to the overall
translation process sequence defined in III-4.1 (fig. III-9)]:

## Automatic POP SPACE insertion:

- during Step 2, for each IL subtree, the corresponding ATN is traversed, as a check of the actual subtree composition against the IL-grammar; at the same time, the following operations are performed:
  - \* assignment of sequence numbers to the subtree's constituents (as part of tree ordering);
  - \* the generation of an ATROP-list, to be appended
     (in the form of a compact bit map) to the
     subtree's closing bracket;
- during Step 3, the IL tree is processed LR depth-first; whenever climbing upwards, i.e. after each (set of) closing brackets, the sequence of operations is:
  - \* match the type (syntactic category) of the next constituent - or any of its actual descendants, down to and including its leftmost terminal - to the ATROP-list of the closing bracket;
  - \* if match is positive, then insert POP SPACE;
  - \* if more than 1 closing bracket, then repeat matching procedure for each bracket (i.e. each ATROP-list) [Notice that sequences of two or more POP SPACES can occur, as shown for instance in example 214.].

In contrast to pop spaces,  $\underline{skip}$   $\underline{spaces}$  are automatically inserted into the IL tree itself, along with tree ordering (Step 2), using a device similar to the ATROP-lists.

Thus, the insertion of extralingual disambiguating elements is arranged NOT as a collection of ad hoc measures for those cases of syntactic ambiguity that happen to be known, but as a systematic safeguard and watertight formalism inside the IL-kernel.

## 4. The lexical component.

One of the arguments for adopting an Esperanto-based IL has been the availability of a lexical as well as a syntactic component [cf. III-1.3]. This section gives an impression and overview of lexical aspects of existing Esperanto, as well as related IL-design issues. The DLT strategy for lexicographic consolidation and extension is outlined in the final subsection.

- 4.1. Morphemic structure and word formation.
- 4.1.1. Esperanto as an isolating language.

The origin of its words roots (approx. 60% from Romance, 30% from Germanic and 10% from Slavonic languages) makes Esperanto a <u>semi</u>-artificial language, resembling European languages. Also its sentential syntax generally follows European (often Slavonic) models.

However, at a less superficial level of structure, Esperanto has been shown [Piron, 1979; Pennacchietti,1982] to be more similar to the so-called isolating type of languages, in particular Chinese.

The terms 'inflected', 'agglutinative' and 'isolating' are used to classify languages in a rather traditional way. A structural criterion for classification is the degree to which morphemes can change their form. Morphemes are the 'atomic' elements of a language, and include lexical roots, affixes (prefixes, infixes, suffixes) and grammatical endings.

The highest amount of variable morphemes is found in <a href="inflected">inflected</a> languages, because these may alter their roots both in case of derivation and when their grammatical function changes. This is true of Indo-European languages as a whole, e.q.:

sell	denken	bref	хожу
sold	dachte	brièveté	ходить
sale	Gedanke		хождение

Examples of <u>agglutinative</u> languages are Hungarian and Turkish. Here the root is invariable, only prefixes and suffixes alter their forms to some extent; e.g. the Hungarian suffix '-sag' alternates with '-sag' according to vocal harmony:

szabad (free) Üde (fresh) szabadság (freedom) üdeség (freshness) Chinese is a well-known example of an <u>isolating</u> language: not only its roots, but also its prefixes and suffixes remain unaltered. All morphemes have an invariable form:

gongye (industry) jiandan (simple) gongyehua (industrialization) jiandanhua (simplification)

The term 'isolating' refers to the possibility of independent use of affixes. In Chinese however, there is often a meaning difference between the independent (isolated) occurrence of a morphem and its use as an affix, e.g.:

jia (family)

zhengzhijia (politician) -

The semi-artificial language Esperanto is characterized by <a href="mailto:complete invariance">complete invariance</a> of its morphems [Wells, 1978], with respect to morphem meaning as well as morphem form, and regardless whether a morphem occurs as affix or in isolation. Thus, Esperanto appears to be even more consistently isolating than Chinese.

On the other hand, Esperanto is marked by the obligatory, explicit and systematic expression of grammatical function, which is an important difference with Chinese (where for instance no formal active-passive distinction is made when the context is clear) as well as with other

The absolute invariance of morphems and the regularity of grammatical structure in Esperanto dominate word-formation principles in this language (besides being the basis for a compaction scheme [see section IV-5]).

languages (in Turkish, a plural noun ending is considered

superfluous in the presence of a numeral).

4.1.2. Advantages and limitations of Esperanto word-formation.

The best way to discuss Esperanto word-formation is obviously to make a comparison with other languages in a systematic way. To that end we will use some notions from information theory.

Lyons [1969] points out that the basic principle of this theory lies in the fact that information value is inversely proportional to probability of occurrence. This means that the information value of e.g. a word which is fully predictable ('I want .. help you') is zero. This word is then fully redundant.

Redundancy plays a very important role in information and communication: every medium that is used for information transfer, regardless of what kind, has some unpredictable physical 'noise' in its channel, which partly deforms the message and thus leads to information loss ('channel' and

'noise' are used, as will be clear, in a wide sense, also referring to written texts).

The optimal system for a certain channel is the one in which there is exactly sufficient redundancy to enable the receiver to restore the information that got lost by the channel-noise. We will refer to this as the 'redundancy principle'.

On the other hand, there is the 'principle of language convenience' [Wüster 1966; 1978] also named 'the principle of the least effort' [Lyons, 1979], as it allows the language user to express himself with as little effort as possible.

These two principles are mutually exclusive and complementary. Wüster mentions both principles in the context of Esperanto. Blanke [1982] too refers to them. It is interesting how Blanke relates Esperanto word-formation to these principles. He refers to an investigation on redundancy on the graphematic level of Dressler [1972] who points out that Esperanto has sufficient redundancy on this level (e = 0,1151). This appears to be a little less than the redundancy of German graphemes (e = 0,15). Blanke mentions the shortening of Esperanto words that are (too) well explicitized as to their meaning, e.g.:

ordigi : to put in order. ordi : to put in order.

pasinteco: past.
pasinto : past.

estonteco: future. estonto : future.

Lyons [1969] refers in this connection to Zipf's law, which states that language is more efficient (in the sense of information theory) when the length of its elements is inversely proportional to their predictability. The validity of this principle for natural languages can be observed in daily life. In Esperanto, however, this law is applied even more generally and systematically, as the various examples in this report illustrate. Also, the adherence to this principle is reinforced by the language user's will to be understood, a will that is, according to Blanke, strongly developed among the users of Esperanto.

Blanke shows that both principles (the redundancy principle and the principle of language convenience) are very pronounced in the word-formation opposition:

verbal stem + '-o' / '-aĵo' vs.
verbal stem + '-o' / '-ado';

('-o' is the substantivizing suffix; '-ado' is the verb nominalizing suffix; '-aĵo' indicates 'something concrete'). On the one hand these three forms can function as synonyms, on the other hand there are certain contextual differences in meaning shades, e.g.:

manĝi (to eat) : manĝo, manĝado, manĝaĵo. skribi (to write) : skribo, skribado, skribaĵo.

Blanke compares these forms to their German equivalents 'Essen'; 'Schrift', to which one cannot simply attach a suffix to explicitize the meaning more precisely. In Esperanto this is readily possible and usual.

Although the Esperanto word-formation represents a system that is regular in principle, it has of course its "gaps": e.g. with 'trinki' (to drink), 'trinko' cannot be the synonym of 'trinkaĵo', only of 'trinkado', whereas with 'organizi' (to organize) 'organizo' is only synonymous to 'organizaĵo'.

Some theoretically possible formations never became a lexeme in practice, e.g. \*'liberajo', probably because 'libero' and 'libereco' both represent the meaning 'freedom' sufficiently. This is what one could call - analogous to phonetics - an accidental gap.

In other cases there are semantic constraints to form theoretically possible words. The suffix '-ino' for instance indicates a female: 'profesorino' (woman professor), 'posedantino' (woman possessor); there are, however, one or two words that have this feature inherently: 'amazono' (amazon), 'matrono' (matron). The same applies to the indication of transitivity by means of the suffix '-igi': 'vaporigi' (to vaporize), 'formigi' (to take shape), whereas verbs such as 'tesi' (to cease), 'eksplodi' (to explode) are intransitive by themselves already. These kinds of irregularities are (according to Blanke) caused by the influence of other languages and analogy with current word-formation models.

In a number of cases, the functioning of the principles of redundancy and language convenience appears to be exactly the explanation for accidental gaps in Esperanto. The Esperanto Academy recognized the working of these principles as typical of Esperanto word-formation [Aktoj, 1968]; we quote: "In the word-syntagm must be infixed all morphems that are necessary, but no more than are sufficient, to motify as clearly as possible and with sufficient redundancy the meaning to be represented by the word.".

As shown, Esperanto word-formation is based on the use of invariable morphemes. To give an idea of the combinations of affixes with a root ('bor-': 'drill-'), we present an

## example from [Wüster, 1978]:

```
'-ebl-': borebla : what can be drilled (through).
'-ind-': borinda : what is worth to be drilled.
'-em-': borema : what is inclined to drill.
'-ig-': borigi : to have drilled.
'-ig-': borigi : to be drilled.
'-ist-': boristo : who drills professionally.
'-ul-': borulo : who often drills.
'-il-': borilo : drilling-instrument.
'-ej-': borejo : place where one drills.
'-uj-': borilujo : object containing a number of drills.
'-uj-': borilujo : drill-chuck.
```

The following two examples show the Esperanto wordformation for causative or factitive verbs, as opposed to some natural languages [Wüster 1978]:

Esp.	German:	English:	French:	Russian:
egali	gleichen	to equal	égaler	равняться
egaligi	angleichen	to equal(ise)	égal(is)er	уравн <b>ивать</b>
trinki	trinken	to drink	boire	пить
trinkigi	tränken	to make drink	faire boire	пои <b>т</b> ь

The capacity to systematically combine morphemes appears very clearly from the next example, in which various endings and suffixes are appended to the prepositions 'per' (by means of, with, through), 'anstatau', 'super', 'kontrau' and 'kun':

```
perado : mediation
peri : to mediate
pera : indirect
perilo : means
```

peristo : mediator by profession perulo : mediator who often mediates

la anstatauado : the substituting
la superado : the exceeding
la kontrauulo : the adversary
la kunulo : the companion

A very important feature is the discussed independent use of morphemes, again typical of isolating languages, e.g.:

aĵo : thing eco : quality

ujo : container; receptacle

ulo : fellow

The principles of language convenience and redundancy that are so closely related to the functioning of Esperanto word-formation are complementary, and therefore the fact that a rather strong accent lies on the convenience, must lead to certain limitations in redundancy. Blanke [1982] points out that in comparison with German some meaning shades can indeed not be expressed:

schiessen	Schuss	Schiesserei	Geschiesse	das Schiessen
pafi	pafo	pafado	pafado	pafado
suchen	Suche	Sucherei	Gesuche	das Suchen
serci	serĉo	sercado	serĉado	sercado
fahren	Fahrt	Fahrerei	Gefahre	das Fahren
veturi	veturo	veturado	veturado	veturado
lachen	Lachen	Lacherei	Gelache	das Lachen
ridi	rido	ridado	ridado	ridado

As a conclusion, one may state that Esperanto has proved to be an ingenious compromise of regularity and expressiveness, as witness nearly a hundred years of successful experience. This is also confirmed by the following table, concerning the word-formation productivity in a number of languages, compared by Verloren van Themaat [see Blanke, 1979]. For each of 5 languages, the table gives the percentages of word formations that can be analyzed transparantly as to the meanings of their composing elements:

French : 0.16% English : 0.45% Russian : 0.61% German : 2.2 % Esperanto : 5.2 %

#### 4.2. Word compounding.

Word compounding involves the combining of two or more word roots. In general, word compounds may orthographically exists of one word (typically in German), or of several words separated by spaces (typically in English). Word compounding can be regarded as a special case of word formation. This is especially true of Esperanto: Wüster [1978] points out that in general, derivation and flexion can be understood as a combination of subordinate and superordinate terms, just as in the case of

word compounding: the rules are the same. In Esperanto, this is shown very clearly by the fact that some suffixes are even used regularly as roots (typical of isolating languages [see 4.1]).

Wdster mentions five kinds of relations between the terms:

disjunction: hindoeuropeano / Indo-European
multiplication: vatsekundo / watt-second
conjunction: ingeniero-komercisto / engineer-merchant
determination: postmarko / (postage) stamp
conversion: - / -

[Examples of the fifth type in English and German: 'underground' instead of 'underground line', 'Ober' instead of 'Oberkellner'. In Esperanto, such relations are avoided because of their bad 'transparency'].

Of course, Esperanto too has untransparent compounds: as more than once stressed by leading Esperantologists, including Zamenhof himself, language is simply not such a thing as mathematics: in human language, semantics always causes a lot of unevitable irregularities. The particular advantage of Esperanto lies in the avoidance of irregularities wherever it is possible [Waringhien 1980].

Semantic irregularities exist in Esperanto as they do in other languages. Wüster [1968, 1978] indicates that the meaning of a compound cannot be concluded from the elementary meanings of its composing elements. E.g. 'paperskatolo' is analyzed as 'skatolo el papero' (box out of paper'), whereas 'paperkorbo', formally having exactly the same structure, means 'korbo por papero' (basket for paper). As another example of a compound with two ways of analyzing, Blanke [1979] mentions 'urbodomo', being either 'domo de urbo' (cf. Dutch: stadhuis) or 'domo en urbo' (cf. Dutch: stadshuis).

The composing elements of a compound can be nominal, but also verbal or adjectival. Esperanto uses the synthetic way of compounding as in German and English, and as opposed to French. In the case of synthetic compounding, the superordinate term is the one on the right; the left one functions as its determiner. Semantics again may traverse this general rule [Goninaz 1975].

Blanke [1982] points out that word-formation devices in German do not prescribe how to analyze compounds. In comparison with this, analysis of Esperanto compounds follows certain rules. In Esperanto nominal-compounds, the superordinate nominal term substantivizes the subordinate one, and their relation can be expressed by 'de'. This preposition can in turn be replaced by other, more exact prepositions ('el';'pri';'por') or by 'kaj' in the case of a copulative compound. Some examples:

homkoro : koro de homo (human heart).
\$tonponto : ponto el \$tono (stone bridge).
skribmaŝino : maŝino por skribi (typewriter).
scienculpedagogo : scienculo kaj pedagogo (scientistpedagogue).

The use of connecting elements tends to be somewhat inconsistent in some languages. In Esperanto, their use is strict: the connecting element, always one of the very limited set of grammatical endings, can only be left out if its use is pleonastic, e.g. 'rapidmezurilo' (tachymeter) vs. 'rapidomezurilo' (tachometer) [Wüster 1978]. In German, these elements have rather different forms ('-s', '-es', '-en', '-en', '-e', etc.).

An underiable advantage of Esperanto word-compounding is that its isolating character [see 4.11 offers sufficient combining possibilities to match other languages; e.g. 'ĝis' and 'nun' can easily be combined to 'ĝisnuna', corresponding to the German 'bisherig'. Esperantists often point to the fact that the formally unrestricted morphem combining possibilities yield words and even concepts, unknown in other languages. Though certainly interesting, this seems less important in the context of a system primarily aimed at translation.

The isolating character of Esperanto also leaves the elements of a compound absolutely unchanged [Blanke 1982]:

Esp.: blua + okulo + a --> bluokula German: blaues Auge + " --> blauäugig

As Blanke [1979] states, in German, on the contrary, a lot of formally possible compounding devices are highly defective, whereas Esperanto has much wider possibilities to fill in such formal devices.

Whater [1978] gives an example of an alternative construction instead of a compound: 'karbona dioksido' is preferred to 'karbacido' (carbonic acid), because the latter is not transparent. This is a nice example to show that in a lot of cases combinations of adjectives and nouns are equivalent to compounds; the same is true of combinations of a noun, a preposition and a noun: 'Ligo de Popoloj' vs. 'Popolara Ligo' (League of Nations) [Wüster 1978].

In fact, this lexical equivalence goes through language boundaries and represents an important area of contrastive linguistics: a one-word compound in one language is often a combination of the type "adjective + noun" or "noun + noun" in another language, e.g.:

reciprokeca\_transakcio: transaction réciproque.
: Gegenseitigkeitsgeschäft.

: reprocity transaction.

kursorestari**ĝo** 

- : relevement des cours.
- : Kurserholung / Erholung der Kurse.

: recovery in prices.

salajro pro disponeblo: salaire d'attente.

: Wartegeld. : retaining wage.

In a lot of cases, often involving relational adjectives [see 2.4.4], combinations of the type "adjective + noun" will appear to be coined terms, included as such in the lexicon and indicated in the IL by an underscore. All the three above types of compounding are very productive and frequent, especially in the type of text envisaged for DLT [see chapter V].

The importance but also the difficulty of analyzing compounds has been recognized already in an early stage of MT history ("... not even analyzable, let alone mechanizable" [Rhodes, 1967]) and keeps being confirmed [Maas, 1983].

DLT will therefore have to rely on the inclusion of as much as possible compounds in its lexicon entries [cf. III-3.2.5], where they can be related to their various (compound or non-compound) equivalents in other languages. This will prevent that the operator at the SL-terminal were overloaded with lexical disambiguation requests [III-4.2.4] for compounds that cannot be analyzed automatically.

Of course, it will never be possible to have an exhaustive coverage of compounds and their equivalents in the dictionary: the continuing productivity of the compounding device prevents this in the first place. Therefore, compounds that are not included in the dictionary should be interpreted according to the most frequent structures known from compounds analysis research (e.g. Reifler's algorithm [Reifler, 1955; Brandt Corstius, 1978: 128-134]). These dominating structures will thus serve as "default" interpretations for compounds unknown to the DLT system. Its interactive disambiguation dialogue will then enable the human attendant to "override" the default choice, and select a different interpretation.

Beside this apparently required pragmatic strategy for the immediate future, strongly based on lexicon comprehensiveness and the presence of human intelligence as a last resource, the fact that the structure of compounds is more tansparent in Esperanto than in other languages offers a good starting-point to gradually improve and extend automatic-analysis algorithms as part of a long-term strategy.

### 4.3. Idiom.

Before we comment on the properties of Esperanto and the IL with regard to this subject, let us first make several remarks on its significance to MT in general and DLT in particular.

An MT system's ability to handle idiom first of all means an increase in the size of its dictionaries. As with compounds, collocations and technical terms, idiom too can be provided for in the translation system, at the cost of a heavier and more expensive lexicon.

Of course, there is a difference: unlike collocations and technical terms (which are strings of contiguous and unvariable words), the elements of idioms may be interspersed over the sentence, and one of them (usually a verb or pronoun) may be variable [Hundt, 1982].

A complication arises when the idiom is a metaphor, which could have a literal interpretation as well [Bar-Hillel, 1965], such as 'to ring a bell' [Hundt, 1982] or 'to be sent to Coventry' [Knowles, 1982]. In DLT, these idioms will presumably be marked in the dictionary in such a way, that their occurrence induces an interactive disambiguation dialogue. The difficult choice between a literal and a figurative sense can then be made by human intelligence and understanding. Unfortunately, this comes down to an increased work-load on the operator at the DLT SL-terminal.

Next to the interpretation problem at the SL side, there is the problem of properly <u>rendering</u> an idiom at the TL side. This does not only require the presence of a corresponding expression in the TL, but also in the IL.

The text type aimed at for DLT [see Chapter V] is, among other things, characterized by a low content of idioms. Even if idioms occur in the source text, envisaged DLT applications make a correct, non-idiomatic translation certainly acceptable, as in the following historical example (taken from [Bar-Hillel, 1955], apart - of course - from the IL version):

- (248a) Truman declared that the whole affair was a red herring.
- (248b) 'Truman'-o deklaris ke la tuta okazajo estas erarigilo.
- (248c) Truman erklärte dass die ganze Geschichte eine Finte war.

So in DLT's English-IL dictionary, the idiom 'red herring' will correspond with the single-word IL-translation 'erarigilo'. There will be nothing idiomatical on the other side: the IL-German lexicon entry will give the German "Finte" as translation for 'erarigilo.

A recent account on the translation of <u>metaphor</u> is given by Newmark [1981: 84-96]. It contains a warning for metaphors that are nearly universal, but not quite, and therefore remain potential traps, e.g.:

- (249) To the letter. Au pied de la lettre.
- (250) Water depth. Hauteur de l'eau.

In his article on MT dictionaries, Knowles [1982] mentions the following categories, which all have a high degree of <u>bonding</u> or predictability (once commenced):

proverbs:

idioms:

phraseological concretions:

units such as:

technical terms:

"live and learn",

"to hit below the belt",

"to win one's spurs",

"once and for all",

"small intestine".

[The last category shows an English multi-word compound, which corresponds with a one-word compound in German ("Dünndarm"), a phenomenon discussed in section 4.21.

As for the representational power of the IL and the underlying Esperanto, one must make certain reservations with regard to idiom. The practical problem is whether the available stock of existing Esperanto idioms is adequate to match the unceasing supply of modern idioms in French, German, English and other languages. This is largely a codification problem. Published materials covering Esperanto idioms appear to be old-fashioned (such as [Minor, 1975]) or scattered and intermingled with general vocabularies (such as [Woolf, 1969]). Compact IL constructions could be designed for those cases, where common Esperanto does not have a proper idiomatic expression. But there is another problem: The theoretical problem is that any idiomatic IL constructions must be so unambiguously, and that an incidental literal interpretation of the same SL original should give rise to a different IL version. A solution for this could possibly be found in the utilization of the underscore for another ILrelated purpose: the indication of idiomatic bonding between

words (even if not all the words of an idiom are contiguous).

# 4.4. Homonymy and polysemy.

In Esperanto, homonymy is confined to a remarkably limited number of words. Givoje [1979] asserts that e.g. under the 'A' in the 'Plena Vortaro' only 13 real homonyms are found. As one of the reasons for this, Wüster [1978] gives the fact that Esperanto derivation mechanisms are ambiguity-free by principle. However, a homonym can be the result of a transfer of meaning, e.g.:

'bobeno': 1.bobbin.

2.spark coil.

'etero' : 1.elastic fluid permeating space.

2. airless volatile liquid.

A proposal by defenders of unambiguity, to introduce alternative technical terminology in such case's, remained without success. Wdster points out that unambiguity has its limits and is not necessary for every word (cf. the relativity of the ambiguity concept, as repeatedly underlined in Chapter III, and the comments on polysemy, later in this section). Nevertheless, Esperanto has much less homonyms than other languages. Esperanto often distinguishes two meanings by means of orthography, e.g.:

intereso: interest (German: Interesse)

interezo: interest (German: Zins)

bank (German: Bank (pl. Bänke))
bench (German: Bank (pl. Banken))
weigh (find the weight of) banko : benko :

pesi : pezi : weigh (have the weight of)

proceso: lawsuit procezo: process

[The difference in orthography signal the use of different morphemes.]

In the IL, remaining cases of common-Esperanto homonymy can be solved by creating two different forms with a strict 1-to-1 relation to the alternative meanings, e.g.:

Esp.: kubo : 1. cube IL: kubo : cube

2. die : ludkubo : die

: pendoli: 1. to swing : pendoli : to swing 2. to commute : pendolveturi: to commute

: trepano: 1. trepan : kranitrepano: trepan

2. drill : mintrepano : drill

: marko : 1. brand : fabrikmarko : brand

2. stamp : poŝtmarko : stamp In some other cases two forms exist, but the two meanings are not neatly divided over these forms:

Esp.: talio: 1. waist. IL: talio: waist.

2. thallium.

: taliumo: thallium. : taliumo: thallium.

A similar solution can be applied in case of incorrect or colloquial use:

Esp.: absolvi: 1. exonerate. IL: absolvi: exonerate.

2.\*finish : fini: finish (studies). (studies).

: celo: 1. cell. : celo: cell. 2.\*cello. : violoncelo: cello.

An important principle and part of the DLT philosophy illustrated by the above examples, is the deliberate use of linguistic means and the conscious avoidance of extralinguistic devices [Witkam, 1981c]. The latter would mean gradually abandoning the use of a streamlined but natural language (with an equally natural word provision) as pivot of DLT, in favor of a highly arbitrary and much less accessible system of word numbering by indices, superscripts and the like. The former exploits the unique morphemic structure and word-formation possibilities of existing Esperanto [see 4.1] and is more promising at the long term [cf. III-6].

As regards <u>polysemy</u>, Blanke [1979] points out that this belongs to the basic facts of language, and that it is one of the signs of language economy: it is not necessary and not even possible that the words of an artificial language are unambiguous, as wrongly required by some interlinguists [the term 'interlinguists' refers to the field of Interlinguistics, which has artificial languages (especially Esperanto) as main research object; Blanke can be counted as one of the most quoted researchers in this field].

In his thesis (on a comparison of German and Esperanto) Blanke [1982] explains that this economy is the result of two principles that exclude each other and at the same time supplement each other: the 'principle of language convenience' and the 'principle of redundancy' [see 4.1.2.].

These principles can, however, get a different importance, as shown by Blanke's remark that German words are generally more polysemous than Esperanto words. Comparing a dictionary of Agricola on German linguistic usage with the PIV, Blanke found the following numbers of different meanings:

veni	7	kommen	18
pensi	4	denken	5
opinii	1	meinen	4
pafi	1	schiessen	4
Ŝipo	2	Schiff	4
danĝero	2	Gefahr	2
tero	13	Erde	5
pacienco	3	Gedul d	1

At the same time, this results in a higher number of dictionary entries for Esperanto: the 'Maschinentechnisches Esperanto-Wörterbuch der Grundbegriffe' of Wüster contains 3363 German and 3598 Esperanto entries, i.e. 7% more Esperanto entries [Wüster 1978].

Solutions as with homonymy (shown above) are not applicable to polysemy. In contrast to homonymy, cases of polysemy can hardly be split up into a number of discrete meanings. In DLT, they therefore cannot be solved by 1-to-1 mapping onto alternative IL-forms. Any attempt to achieve this would unavoidably result in a so-called explosive IL [cf. III.3.3.3.2].

By rejecting an explosive IL as a solution, DLT's IL will preserve its identity as an autonomous (be it Esperantobased) language. With its attractively transparent lexical structure, it remains fully open to future developments in the field of semantics and AI. Ultimately this approach will appear to be a more fruitful one than creating an IL-word for every possible meaning shade.

### 4.5. Lexicographic strategy and consolidation.

As stressed in Chapter III of this report as well as in recent MT literature, the provision of a comprehensive lexicon is of crucial importance for the functioning of a computerized translation system. As Knowles [1982] formulates it: "However powerful and sophisticated a particular set of parsing algorithms may be, the successful analysis of a text into a correct meaning representation is only possible if a commensurately 'powerful' and sophisticated lexical data base is wedded to the dynamic modules of the MT system." .

In the 'double' translation process of DLT, the IL dictionary columns at both sides of the main interface [see figs. III-3 and III-4] form the bridge on which the distributed SL-to-TL conversion relies. It has been stated in chapter III that for DLT, the various development teams dedicated to specific SL or TL modules are supposed to familiarize themselves with the IL (instead of with a more abstract interface specification in other MT systems). The IL, as has been underlined in this section of the report, does not only consist of a grammar, but also of a lexical part.

Being part of the interface between cooperative development teams (probably in different countries), a high degree of lexicographic consolidation and standardization is obviously required.

For DLT's Esperanto-based IL, the existing Esperanto standard-works such as the PIV [Waringhien, 1977] provide an attractive starting point, but require considerable further extension. As for the many existing bilingual Esperanto dictionaries, they do not excell in the coverage of compounds. The freedom and relative ease with which the human users of Esperanto can form or understand word compositions out of a remarkably limited stock of morphemes [Auld, 1978: 90] seems to have prevented the need for such coverage.

As for the availability of special terminology, impressive efforts have been made by a small number of specialists in the Esperanto community, resulting in terminologic dictionaries for various fields of application (botany, chemistry, mathematics). However, the financial support for these activities has always been very weak, and publications of standing such as the "International Business Dictionary in 9 Languages" [Munniksma, 1975] (with Esperanto as the editorial pivot language) have been rare [see also section V-3].

When one looks for general bilingual Esperanto dictionaries with comprehensive lexical entries, enumerating the valencies, compounds, idioms, collocations etc. in which the entry word takes part, one notices the relatively low degree of lexical codification in Esperanto, compared to languages with a long literary tradition.

Besides, there appears to be no prominent body with both the means and the authority to take the lead in the continuously required updating of Esperanto's lexeme supply (settling controversies such as 'komputilo' vs. 'komputero'), let alone the approval or recommendation of new compounds.

Therefore, DLT will require a lot of additional work in the area of Esperanto lexicography. This work will perhaps partly, but certainly not entirely, find consensus among the Esperanto-speaking community. The nature of the MT purpose will no doubt demand a more exact approach than would be required for human users.

The appropriate strategy, in line with the overall DLT philosophy, is to make good use of what is already there. In a number of cases, only a choice will have to be made between two already existing alternative forms. In other cases, the available word-formation and compounding mechanisms [4.1.2, 4.2] can be utilized.

As for the creation of new terminology, the 'naturalistic' line will be followed [see V-3.1].

### 5. Compaction.

As remarked elsewhere in this report, the IL has an external and an internal representation. The former, though often referred to loosely as BCE, is in fact CCE (Character Coded Esperanto): an Esperanto-based IL made visible (for system developers) by an appropriate character set [see p. 1 of the Appendix]. Of course, the external and internal IL representations are so strongly coupled (a straightforward 1-to-1 mapping of displayable elements to bit strings) that the confusion of the terms BCE and CCE does not matter in a linguistic discussion of the IL. In this section, however, we will look a bit deeper, at the way the IL is actually coded in a binary computer.

We are all familiar with character codes like ASCII, widely used for the coding of texts in computer systems, including modern videotex and teletex systems.

The entering of text into computers via keyboards and its display on terminal screens is effectuated character-wise and traditionally relies character codes. This also applies to DLT: sentences of IL displayed on a screen (or, in a test situation, entered over the keyboard) must be coded character by character

as well. We state that for sake of IL-<u>presentation</u> [cf. level VI of the OSI reference model, see fig. II-6], CCE is needed. The particular character-code used for CCE is the extended ASCII (ISO/2022) character set.

The IL is however, in the first place, an <u>internal</u> instrument in the terminals and networks on which DLT will run. This imposes requirements, completely different from presentation on screens and the like. One principal requirement, evolving from network transmission costs, is compactness; another requirement is speed of string-matching operations, in the intensive parsing and dictionary search activities which make up the translation process.

An obvious decision inspired by the complete invariance of Esperanto morphemes [section 4.1.1], is the utilization of morphemes (instead of characters) as code units.

This decision was taken at an early stage of DLT conceptualization [Witkam, 1981a, 1981b].

BCE proper is therefore the internal morphem-based coding adopted for the IL. The cost of the (microprocessor-based) conversion BCE-CCE vice versa for presentation and test entry purposes is small and fully justifiable. The big gain lies in compact transmission and fast manipulating of meaningful language elements, not to speak of future AI extensions.

The BCE morphem coding scheme is a combination of variable length (Huffman-type) coding for a few hundreds of grammatical morphemes (grammatical endings, suffixes, function words), and fixed-length coding for several ten thousands of lexical (open-class) morphemes. The space is the most frequent element and is coded with 1 bit only. This explains the choice of extra spaces as disambiguating elements: the insertion of these separators hardly affects compaction.

Frovisional computations, based upon available frequency statistics of common Esperanto [Blaas, 1951], gave a mean number of 18.7 bits per Esperanto word. Comparing this with conventional ASCII character coding for English SL, the BCE translation will roughly consume only half the number of bits.