

# Estimation Problems in Machine Translation (learning to translate)



John DeNero

Some slides borrowed from Dan Klein and David Chiang

# Parameter Estimation

---

- So far, we've seen formalisms and search techniques for translation
- Now, we need to assign features and scores to translations so that we can pick one
- Machine translation systems typically incorporate multiple estimation problems

# Derivations, Features and Models

## Synchronous Derivation

lo haré de muy buen grado .

## Grammar

$X \rightarrow \langle \text{lo haré } X . ; \text{ I will do it } X . \rangle$

$X \rightarrow \langle \text{de muy buen grado} ; \text{ gladly} \rangle$

# Derivations, Features and Models

## Synchronous Derivation

X  
—  
lo haré de muy buen grado .

X  
|  
gladly

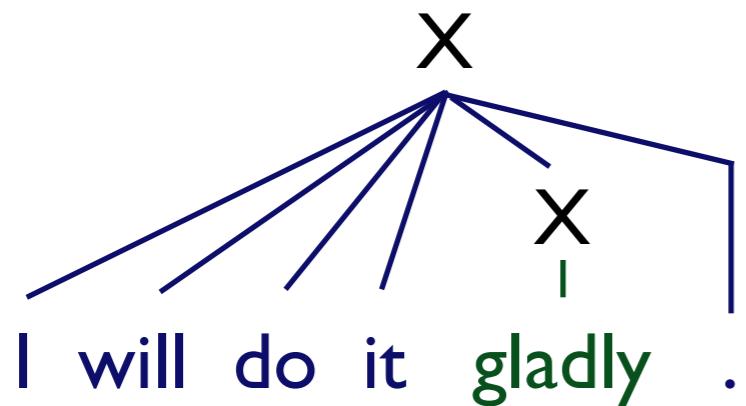
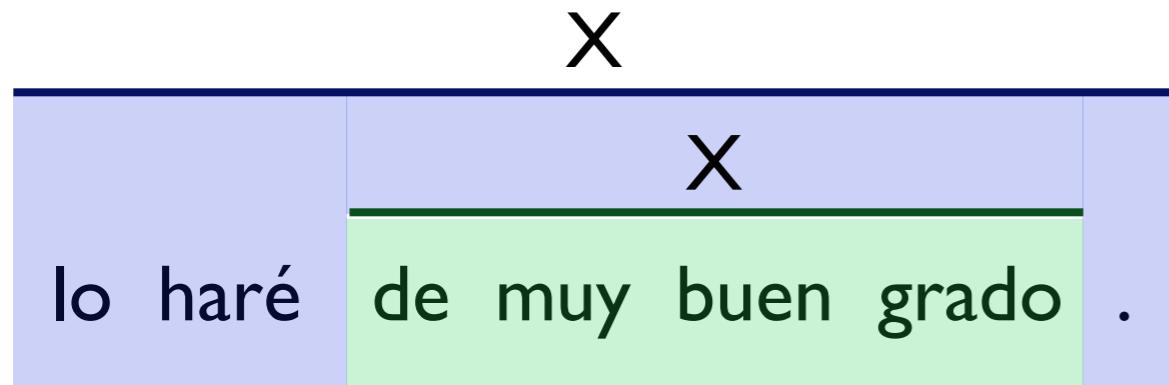
## Grammar

X → ⟨ lo haré X . ; I will do it X . ⟩

X → ⟨ de muy buen grado ; gladly ⟩

# Derivations, Features and Models

## Synchronous Derivation



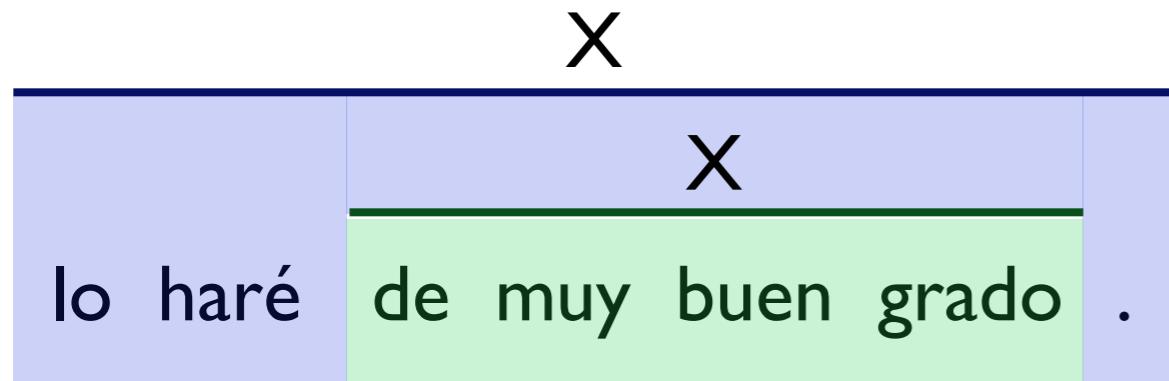
## Grammar

$X \rightarrow \langle \text{lo haré } X . ; \text{ I will do it } X . \rangle$

$X \rightarrow \langle \text{de muy buen grado} ; \text{ gladly } \rangle$

# Derivations, Features and Models

## Synchronous Derivation



## Features

Language model

Translation models

Simple features

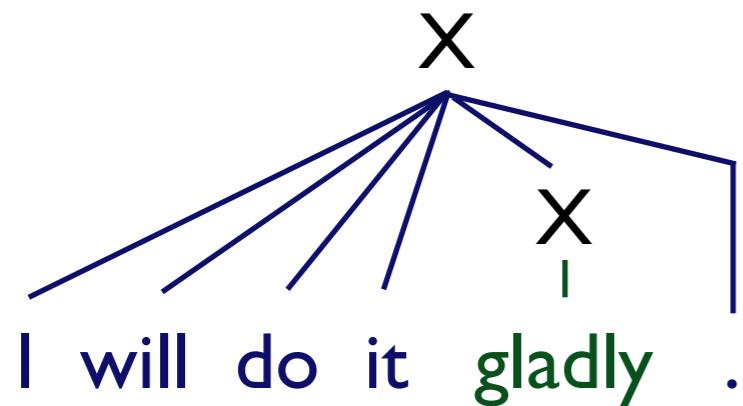
## Score

$$\prod_{i=1}^I P(e_i | e_{i-1}, \dots, e_1)^{\lambda_1} \cdot \\ \prod_r P(e_r | f_r)^{\lambda_2} P(f_r | e_r)^{\lambda_3} \dots$$

## Grammar

$X \rightarrow \langle \text{lo haré } X . ; \text{ I will do it } X . \rangle$

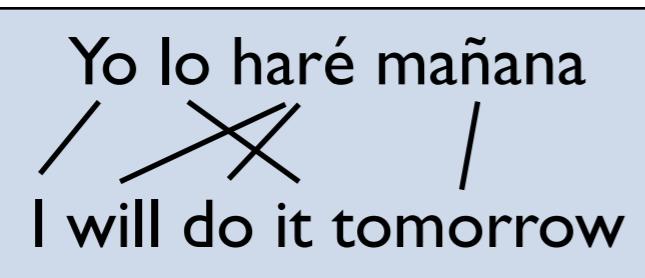
$X \rightarrow \langle \text{de muy buen grado ; gladly } \rangle$



*Learn all these from data*

# Features Match Model Structure

*In 1993, we aligned words*



Yo lo haré mañana  
/ ~~\~~ /  
I will do it tomorrow

# Features Match Model Structure

*In 1993, we aligned words*

Yo lo haré mañana
/ \ \ \ \ \ /
I will do it tomorrow

English (E)	$P(E   \text{mañana})$
tomorrow	0.7
morning	0.3

# Features Match Model Structure

*In 1993, we aligned words*

Yo lo haré mañana
/ \ \ \ \ \ /
I will do it tomorrow

English (E)	$P(E   \text{mañana})$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*

Yo lo haré mañana
I will do it tomorrow

# Features Match Model Structure

*In 1993, we aligned words*

Yo lo haré mañana	
/ \ \ \ \ \ /	
I will do it tomorrow	

English (E)	$P( E   \text{mañana} )$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*

Yo lo haré mañana	
I will do it tomorrow	

English (E)	$P( E   \text{lo haré} )$
will do it	0.8
will do so	0.2

# Features Match Model Structure

*In 1993, we aligned words*

Yo lo haré mañana	
/ \ \ \ \ \ /	
I will do it tomorrow	

English (E)	$P( E   \text{mañana} )$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*

Yo lo haré mañana	
I will do it tomorrow	

English (E)	$P( E   \text{lo haré} )$
will do it	0.8
will do so	0.2

*In 2004, we aligned trees*

Yo lo haré mañana	
I will do it tomorrow	

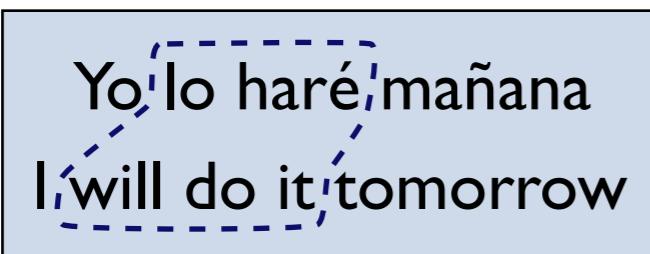
# Features Match Model Structure

*In 1993, we aligned words*



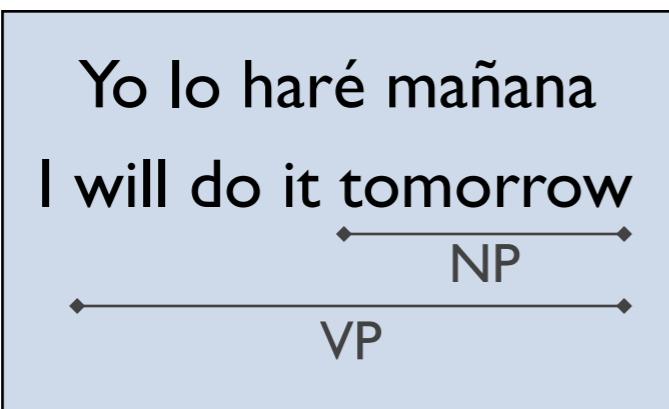
English (E)	$P(E   \text{mañana})$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*



English (E)	$P(E   \text{lo haré})$
will do it	0.8
will do so	0.2

*In 2004, we aligned trees*



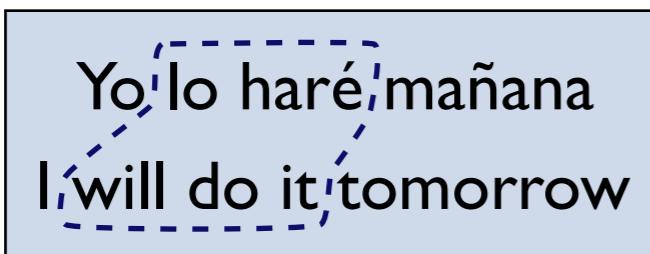
# Features Match Model Structure

*In 1993, we aligned words*



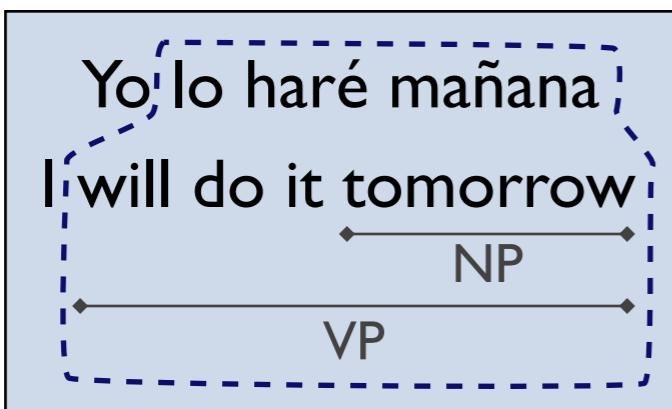
English (E)	$P(E   \text{mañana})$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*



English (E)	$P(E   \text{lo haré})$
will do it	0.8
will do so	0.2

*In 2004, we aligned trees*



# Features Match Model Structure

*In 1993, we aligned words*

Yo lo haré mañana	/ \ / \ /
I will do it tomorrow	

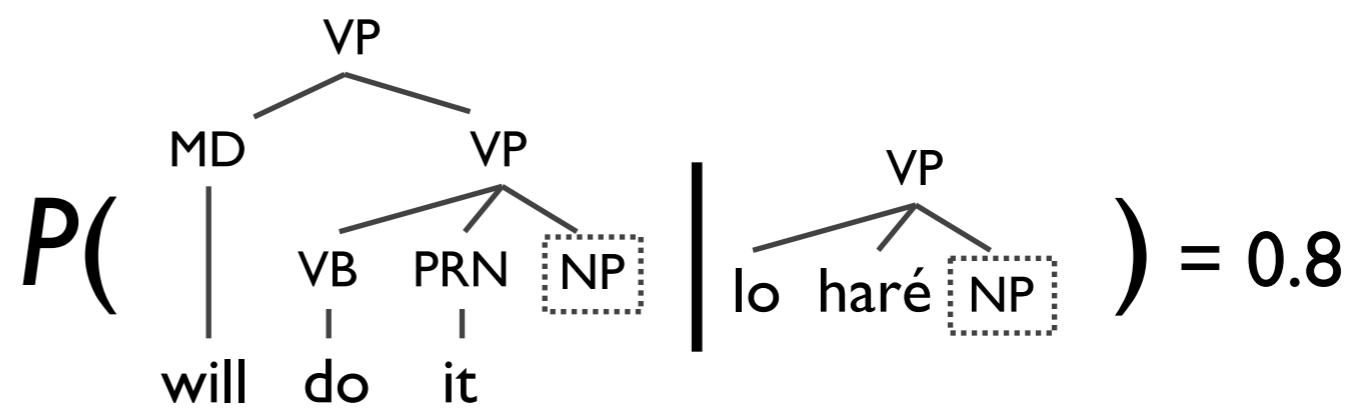
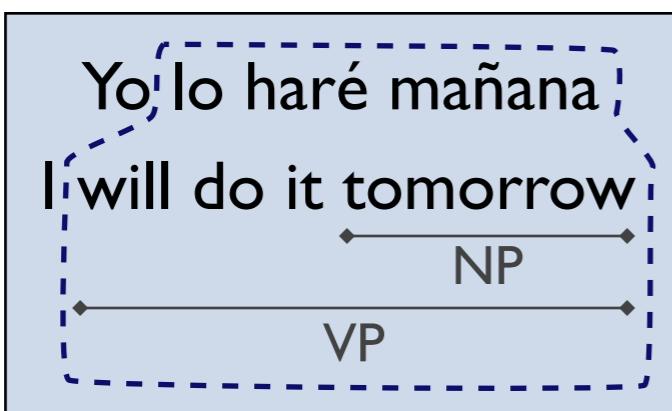
English (E)	$P(E   \text{mañana})$
tomorrow	0.7
morning	0.3

*In 1999, we aligned phrases*

Yo lo haré mañana	will do it
I will do it tomorrow	

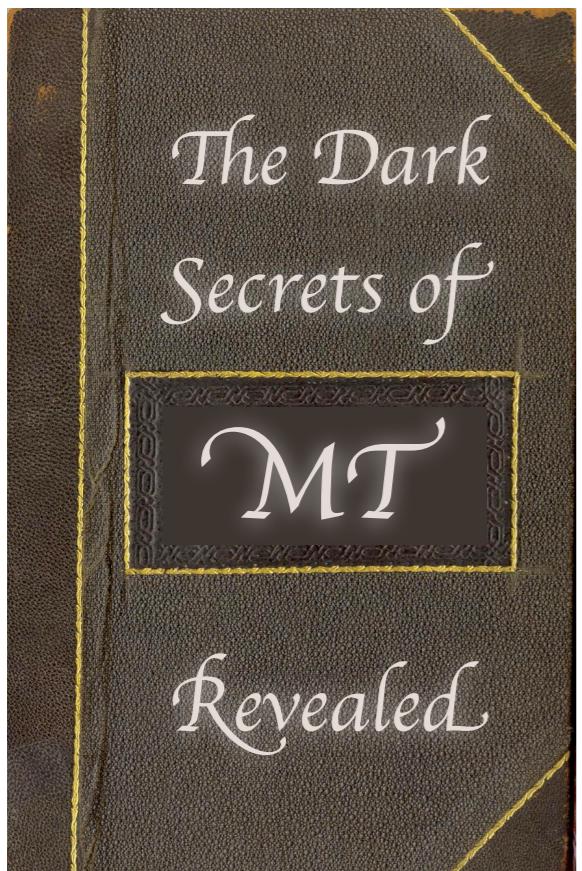
English (E)	$P(E   \text{lo haré})$
will do it	0.8
will do so	0.2

*In 2004, we aligned trees*



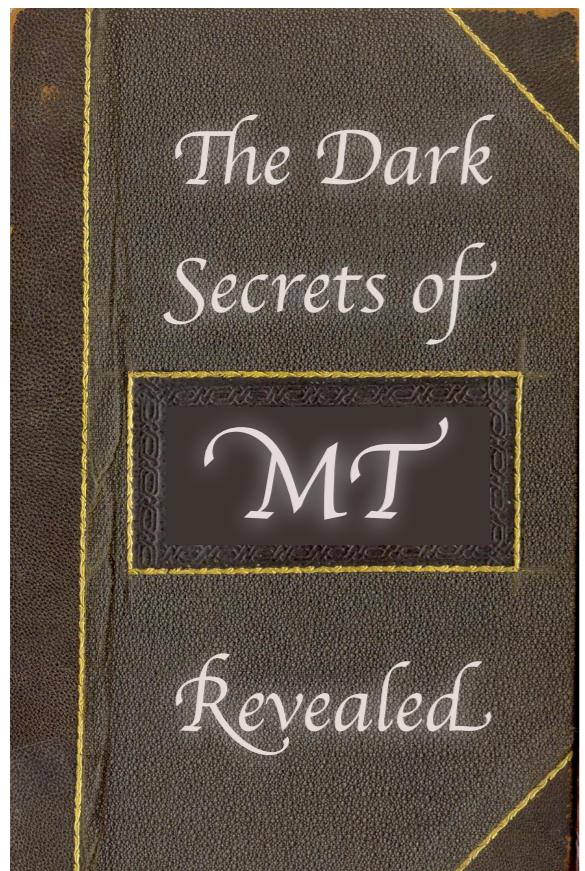
# Aligning Structural Components

*Today, we actually still align words*



# Aligning Structural Components

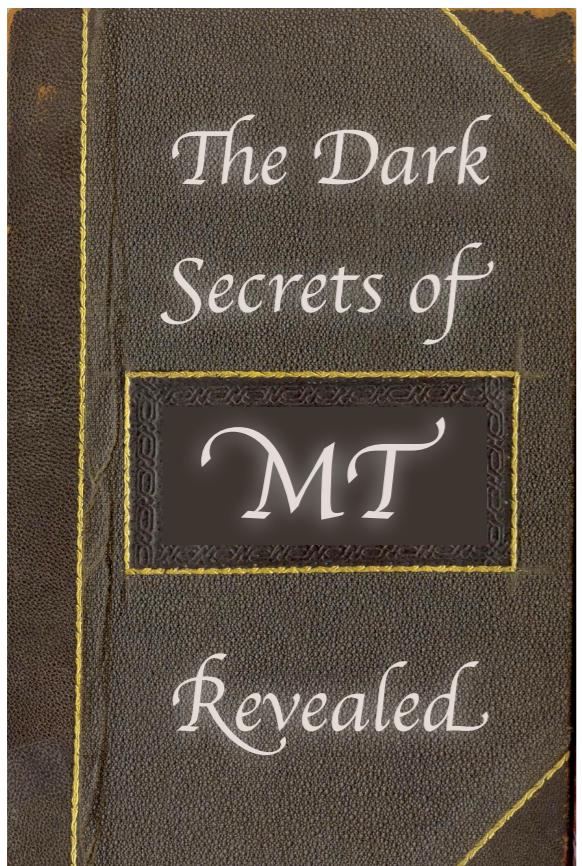
*Today, we actually still align words*



I Align words with a  
probabilistic model

# Aligning Structural Components

*Today, we actually still align words*



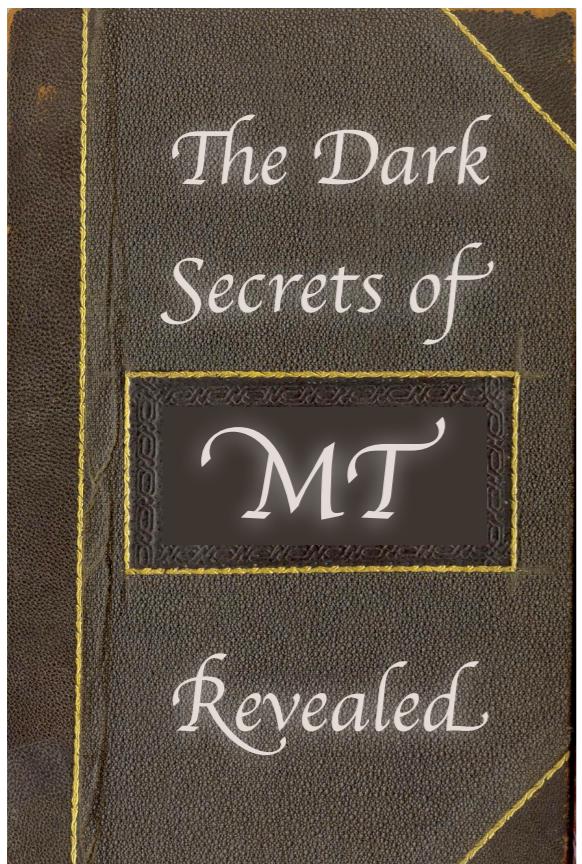
I

*Align words with a probabilistic model*

Yo lo haré mañana  
/ ~~/~~ /  
I will do it tomorrow

# Aligning Structural Components

*Today, we actually still align words*

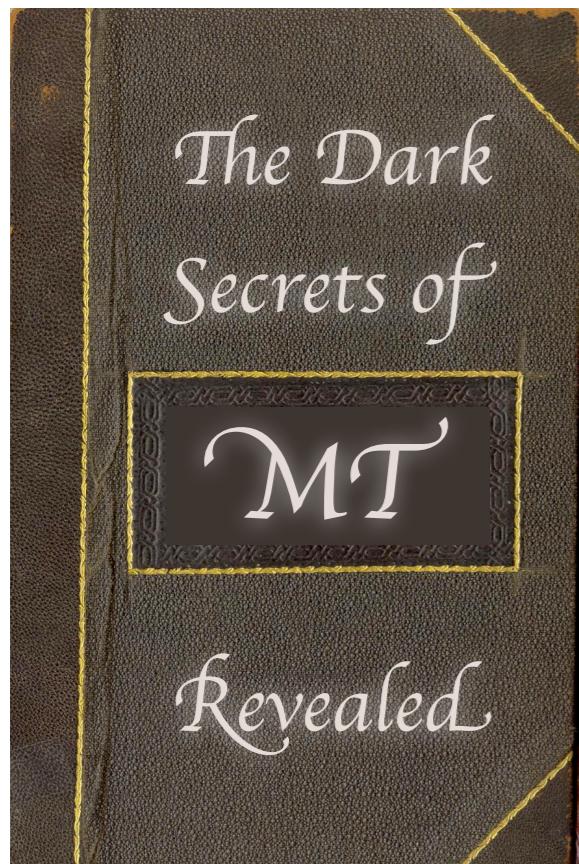


- 1 Align words with a probabilistic model
- 2 Infer presence of larger structures from this alignment

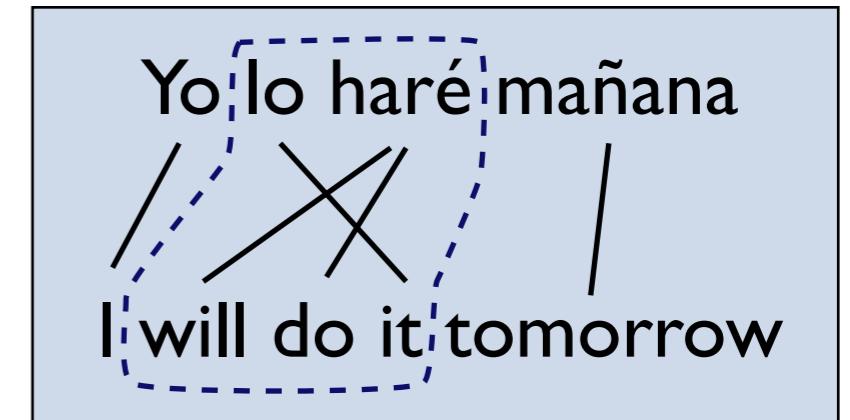
Yo lo haré mañana  
/ ~~X~~ /  
I will do it tomorrow

# Aligning Structural Components

*Today, we actually still align words*

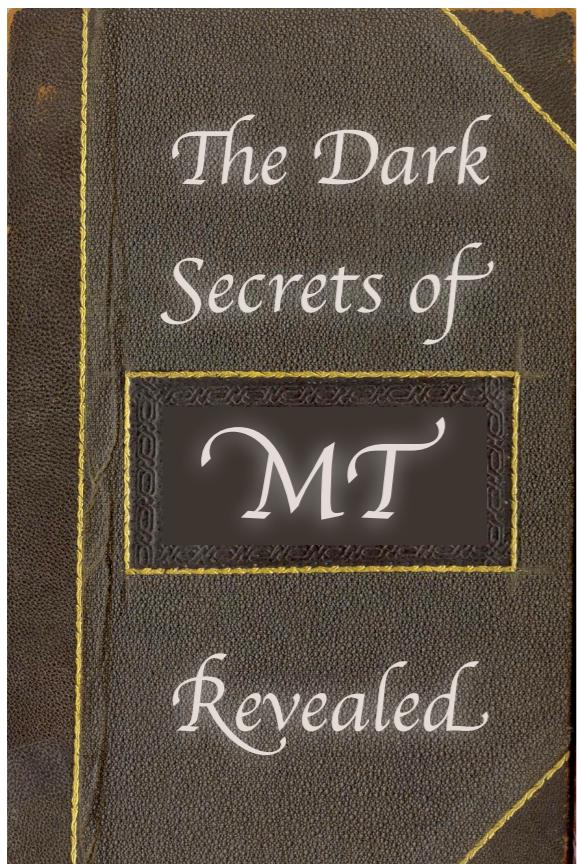


- 1 Align words with a probabilistic model
- 2 Infer presence of larger structures from this alignment

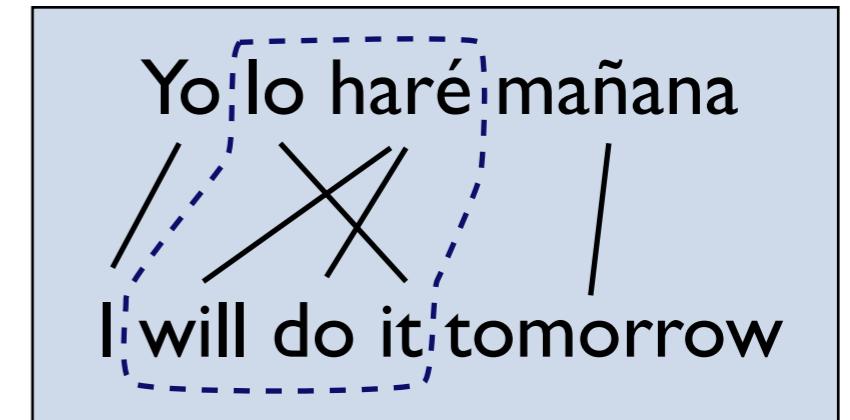


# Aligning Structural Components

*Today, we actually still align words*



- 1 Align words with a probabilistic model
- 2 Infer presence of larger structures from this alignment
- 3 Translate with the larger structures



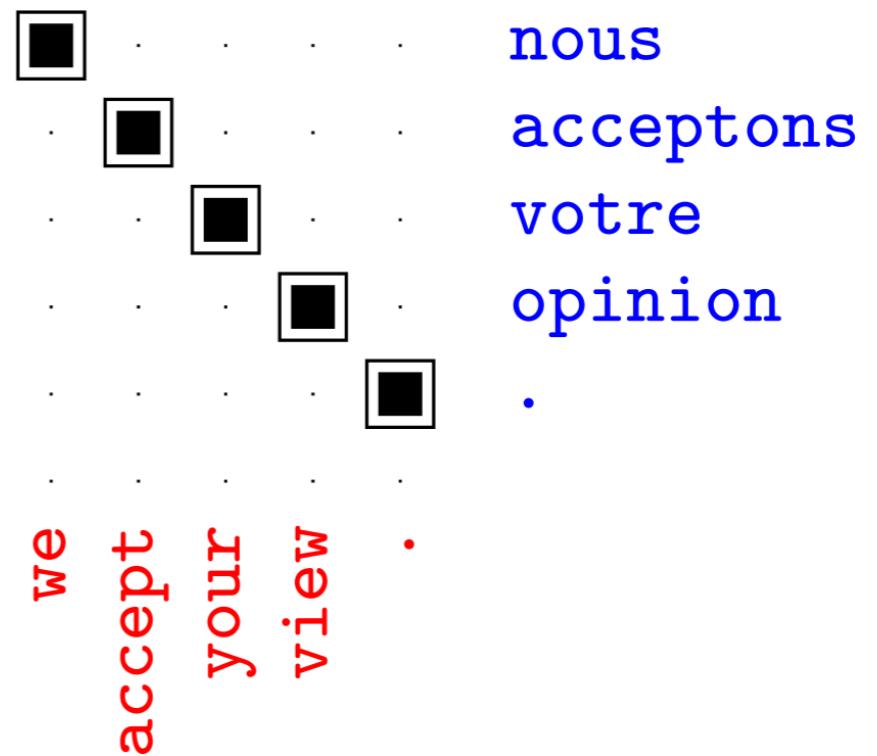
# Unsupervised Word Alignment

---

- **Input:** A large *bitext* of sentences and their translations
- **Approach:** Using what we know about the problem and corpus statistics, align automatically
- **Exciting fact:** Unsupervised methods perform well enough that very few systems use supervised word alignment

# Unsupervised Word Alignment

- **Input:** A large *bitext* of sentences and their translations
- **Approach:** Using what we know about the problem and corpus statistics, align automatically
- **Exciting fact:** Unsupervised methods perform well enough that very few systems use supervised word alignment



# Properties of Word Alignments

I declare resumed the session of the european parliament

*Declaro reanudado el periodo de sesiones del parlamento europeo*

adjourned on Friday 17 December 1999 , ...

*interrumpido el Viernes 17 de Diciembre pasado , ...*

# Properties of Word Alignments

I declare resumed the session of the european parliament



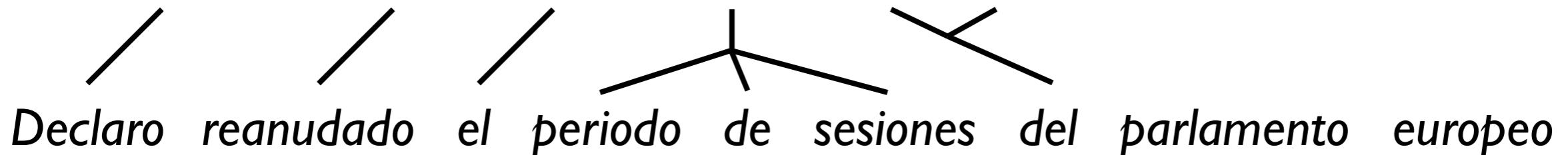
*Declaro reanudado el periodo de sesiones del parlamento europeo*

adjourned on Friday 17 December 1999 , ...

*interrumpido el Viernes 17 de Diciembre pasado , ...*

# Properties of Word Alignments

I declare resumed the session of the european parliament



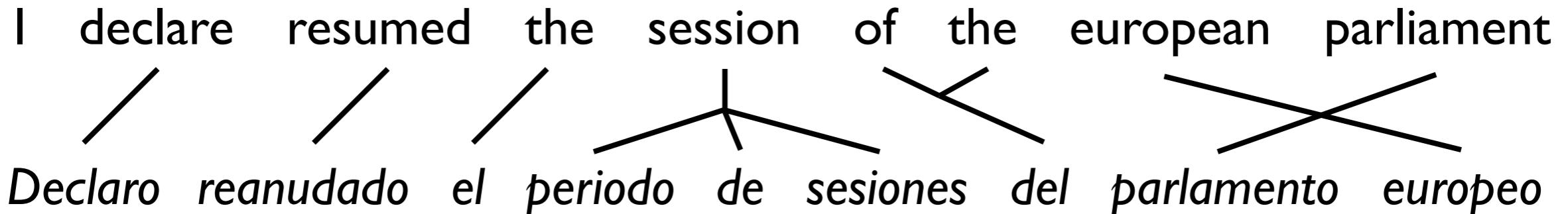
adjourned on Friday 17 December 1999 , ...

*interrumpido el Viernes 17 de Diciembre pasado , ...*

# Properties of Word Alignments

I declare resumed the session of the european parliament

*Declaro reanudado el periodo de sesiones del parlamento europeo*

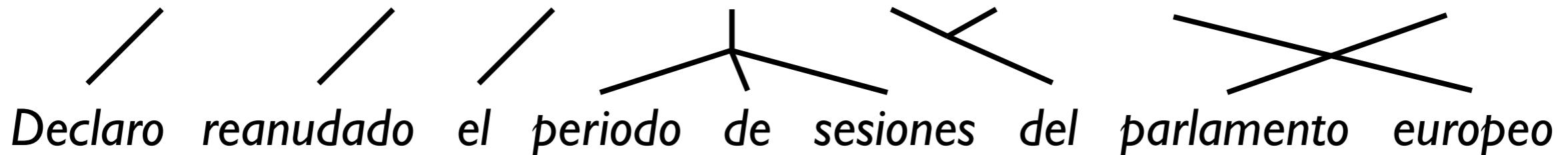


adjourned on Friday 17 December 1999 , ...

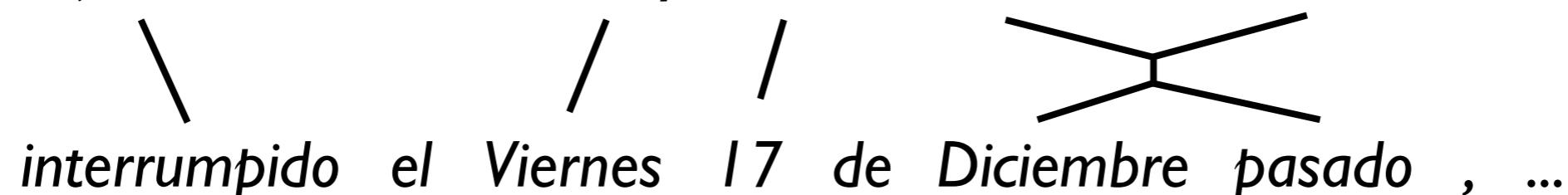
*interrumpido el Viernes 17 de Diciembre pasado , ...*

# Properties of Word Alignments

I declare resumed the session of the european parliament

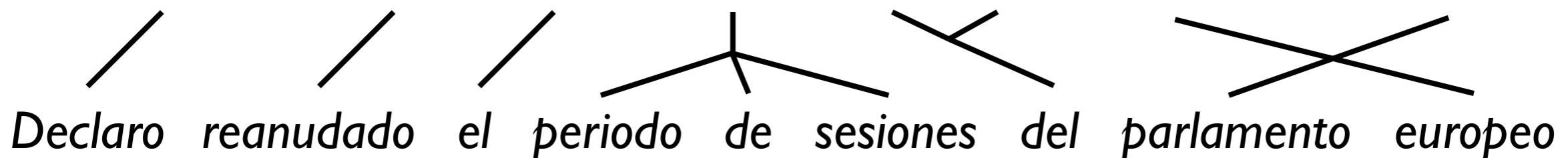


adjourned on Friday 17 December 1999 , ...

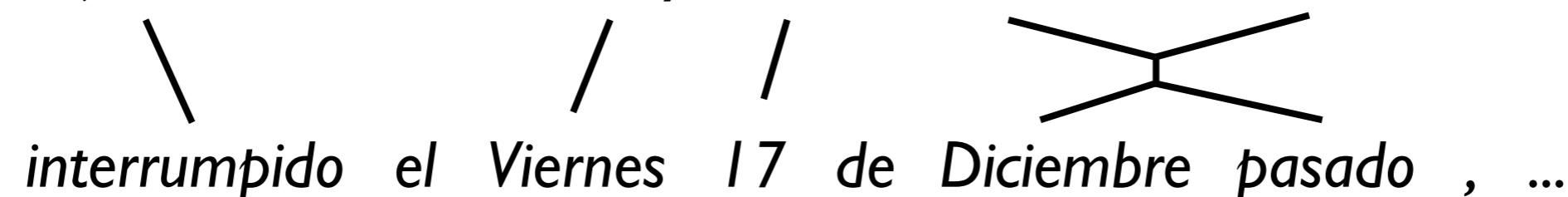


# Properties of Word Alignments

I declare resumed the session of the european parliament



adjourned on Friday 17 December 1999 , ...



- Often one-to-one or many-to-one (usually over contiguous phrases)
- Occasionally many-to-many, driven by non-literal translations

# Heuristic Estimation

---

- Two words that co-occur regularly are translations

$c(e, f)$     *The number of times e and f appear together*

# Heuristic Estimation

- Two words that co-occur regularly are translations

$c(e, f)$     *The number of times e and f appear together*

- Normalize by the word frequencies

$c(f)$     *Count of word f*                       $c(e)$     *Count of word f*

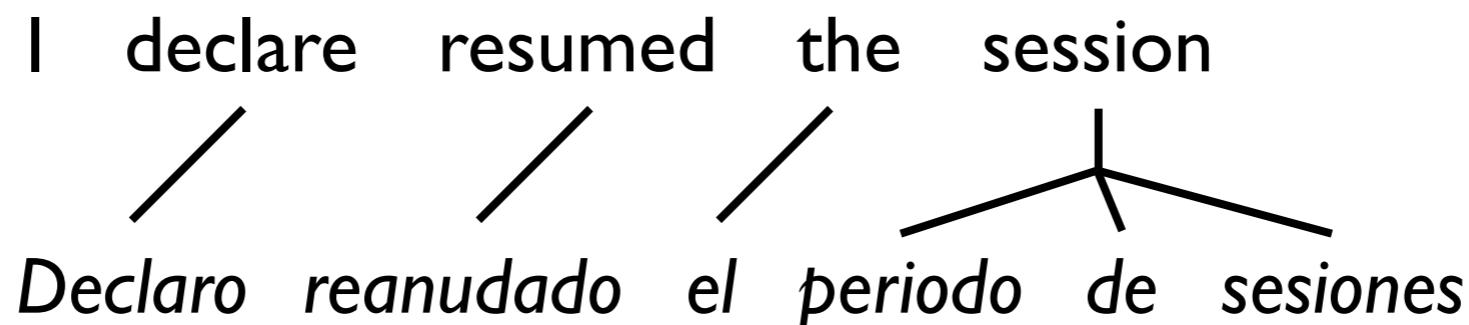
$$\frac{2 \cdot c(e, f)}{c(e) + c(f)}$$

*Dice coefficient*

- Enforcing competition across words (e.g., finding a one-to-one or many-to-one mapping) is a good idea

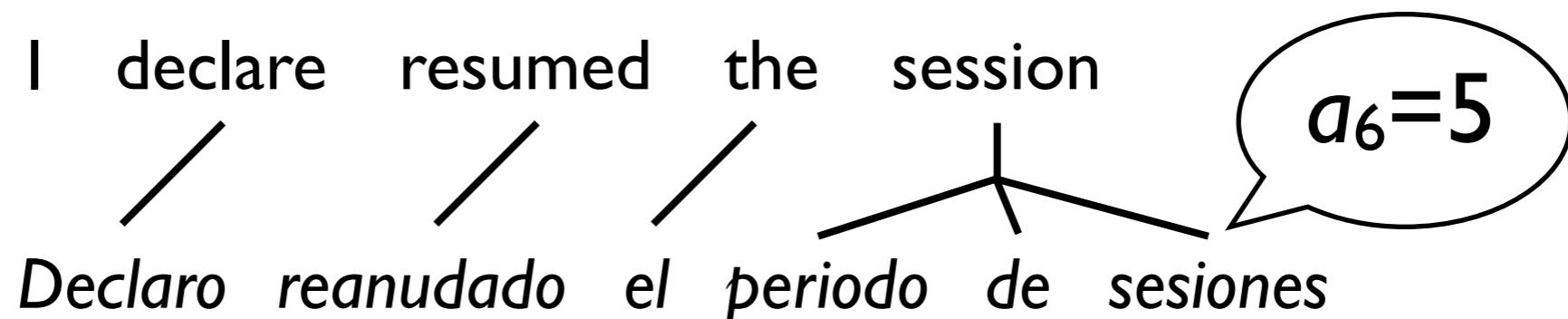
# IBM Model I (Brown '93)

- Probabilistic models naturally impose competition
- Assume that foreign words are generated independently
- Assume a hidden alignment vector  $a$  encoding which English word generates each foreign word



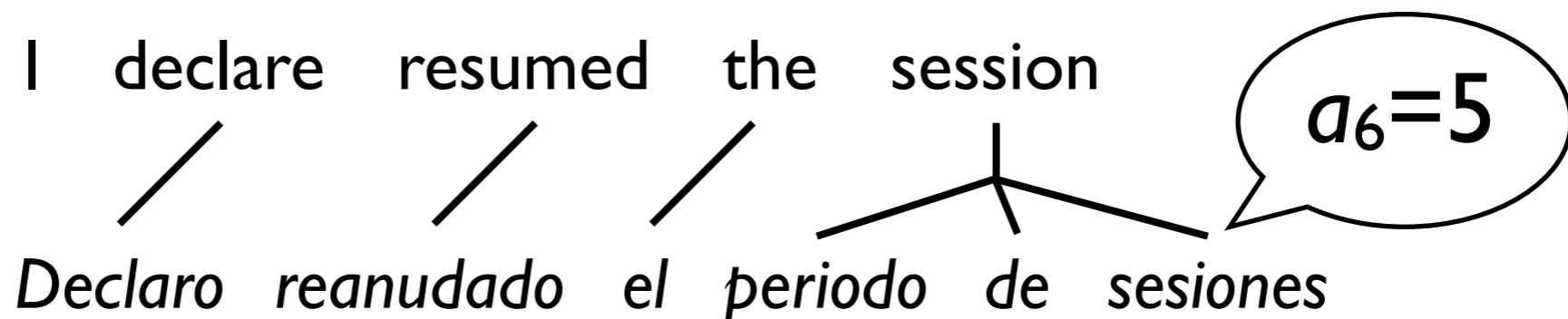
# IBM Model I (Brown '93)

- Probabilistic models naturally impose competition
- Assume that foreign words are generated independently
- Assume a hidden alignment vector  $a$  encoding which English word generates each foreign word



# IBM Model I (Brown '93)

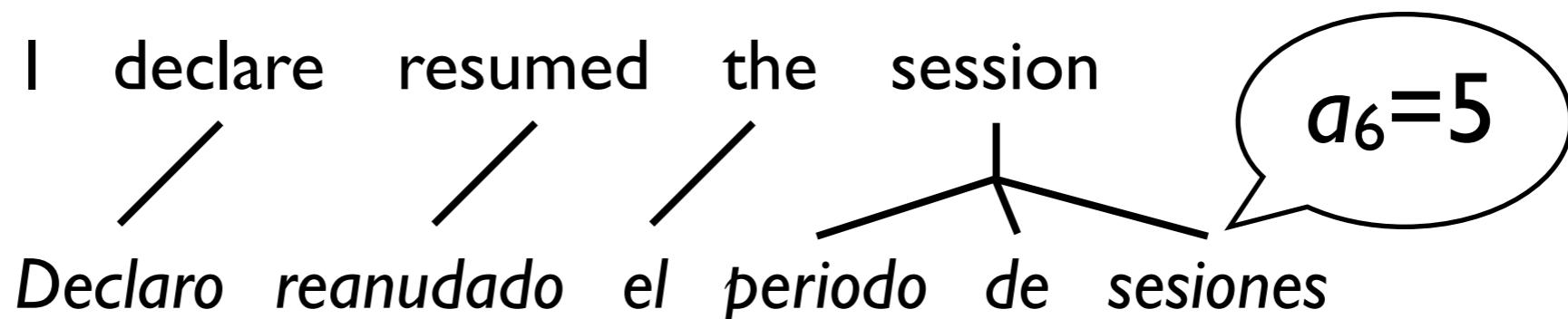
- Probabilistic models naturally impose competition
- Assume that foreign words are generated independently
- Assume a hidden alignment vector  $a$  encoding which English word generates each foreign word



$$P(f, a | e) = \prod_{j=1}^J P(a_j = i | I, J) P(f_j | e_i)$$

# IBM Model I (Brown '93)

- Probabilistic models naturally impose competition
- Assume that foreign words are generated independently
- Assume a hidden alignment vector  $a$  encoding which English word generates each foreign word



$$\begin{aligned}
 P(f, a | e) &= \prod_{j=1}^J P(a_j = i | I, J) P(f_j | e_i) \\
 &= \frac{1}{I+1} P(f_j | e_i)
 \end{aligned}$$

# Estimating Model I Parameters

---

$$P(f|e)$$

# Estimating Model I Parameters

---

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood

# Estimating Model I Parameters

---

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood
- E-step computes expected alignments (posteriors)

# Estimating Model I Parameters

---

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood
- E-step computes expected alignments (posteriors)

$$P(a_j = i | \mathbf{e}, \mathbf{f}) = \frac{\frac{1}{I+1} P(f_j | e_i)}{\sum_{i'} \frac{1}{I+1} P(f_j | e_{i'})}$$

# Estimating Model I Parameters

---

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood
- E-step computes expected alignments (posteriors)

$$P(a_j = i | \mathbf{e}, \mathbf{f}) = \frac{\frac{1}{I+1} P(f_j | e_i)}{\sum_{i'} \frac{1}{I+1} P(f_j | e_{i'})}$$

- M-step computes ratios of expected counts

# Estimating Model I Parameters

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood
- E-step computes expected alignments (posteriors)

$$P(a_j = i | \mathbf{e}, \mathbf{f}) = \frac{\frac{1}{I+1} P(f_j | e_i)}{\sum_{i'} \frac{1}{I+1} P(f_j | e_{i'})}$$

- M-step computes ratios of expected counts

$$P(f|e) = \frac{\text{sum of posteriors for } f \text{ aligned to } e}{\text{sum of posteriors of any } f' \text{ aligned to } e}$$

# Estimating Model I Parameters

- Free parameters in the model:  $P(f|e)$
- Goal is to maximize the data likelihood
- E-step computes expected alignments (posteriors)

$$P(a_j = i | \mathbf{e}, \mathbf{f}) = \frac{\frac{1}{I+1} P(f_j | e_i)}{\sum_{i'} \frac{1}{I+1} P(f_j | e_{i'})}$$

- M-step computes ratios of expected counts

$$P(f|e) = \frac{\text{sum of posteriors for } f \text{ aligned to } e}{\text{sum of posteriors of any } f' \text{ aligned to } e}$$

- Repeat e- and m-step many times (like 5 or 10)

# Aligning Words Under the Model

- **Viterbi:** For every  $j$ , select  $i$  that maximizes

$$P(a_j = i | \mathbf{e}, \mathbf{f})$$

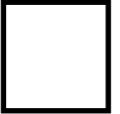
*Gives competition among explanations*

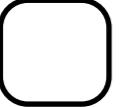
- **Posterior:** Align every  $(i,j)$  that has

$$P(a_j = i | \mathbf{e}, \mathbf{f}) > \tau$$

*Gives control over how many alignment links to posit*

# Evaluation: Alignment Error Rate

 = Sure

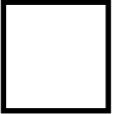
 = Possible

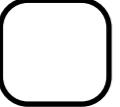
 = Predicted

en  
1978  
,  
on  
a  
enregistré  
1,122,000  
divorces  
sur  
le  
continent

in 1978 Americans divorced 1,122,000 times

# Evaluation: Alignment Error Rate

 = Sure

 = Possible

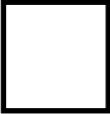
 = Predicted

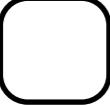
$$AER(A, S, P) = \left( 1 - \frac{|A \cap S| + |A \cap P|}{|A| + |S|} \right)$$

in 1978 Americans divorced 1,122,000 times.

en 1978 , on a enregistré 1,122,000 divorces sur le continent .

# Evaluation: Alignment Error Rate

 = Sure

 = Possible

 = Predicted

$$AER(A, S, P) = \left( 1 - \frac{|A \cap S| + |A \cap P|}{|A| + |S|} \right)$$

$$= \left( 1 - \frac{3 + 3}{3 + 4} \right) = \frac{1}{7}$$

en  
1978  
,  
on  
a  
enregistré  
1,122,000  
divorces  
sur  
le  
continent

in 1978 Americans divorced 1,122,000 times

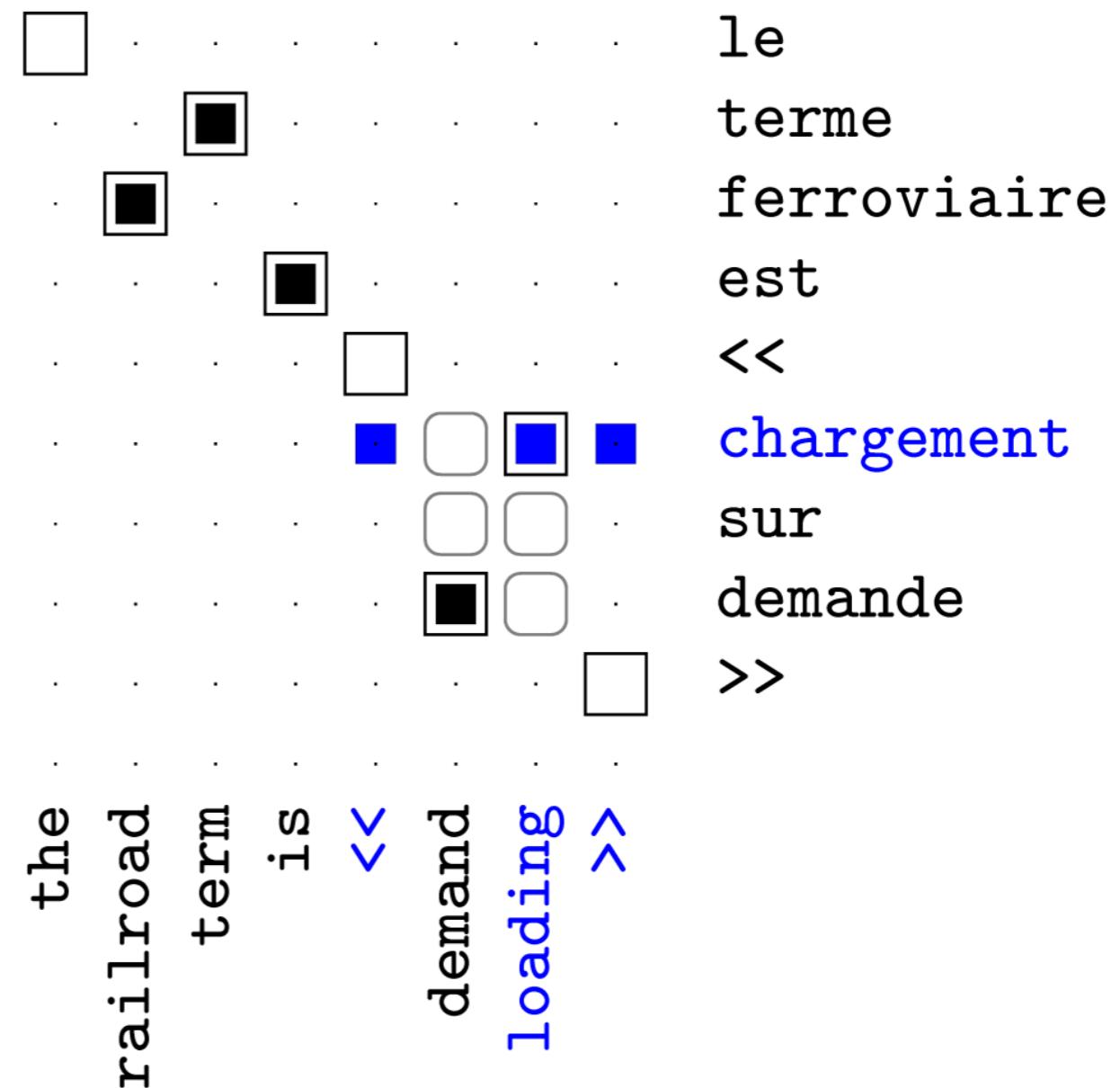
# Problems with IBM Model I

---

- Too many alignments to rare words (garbage collection)
- Alignments jump around all over the sentence

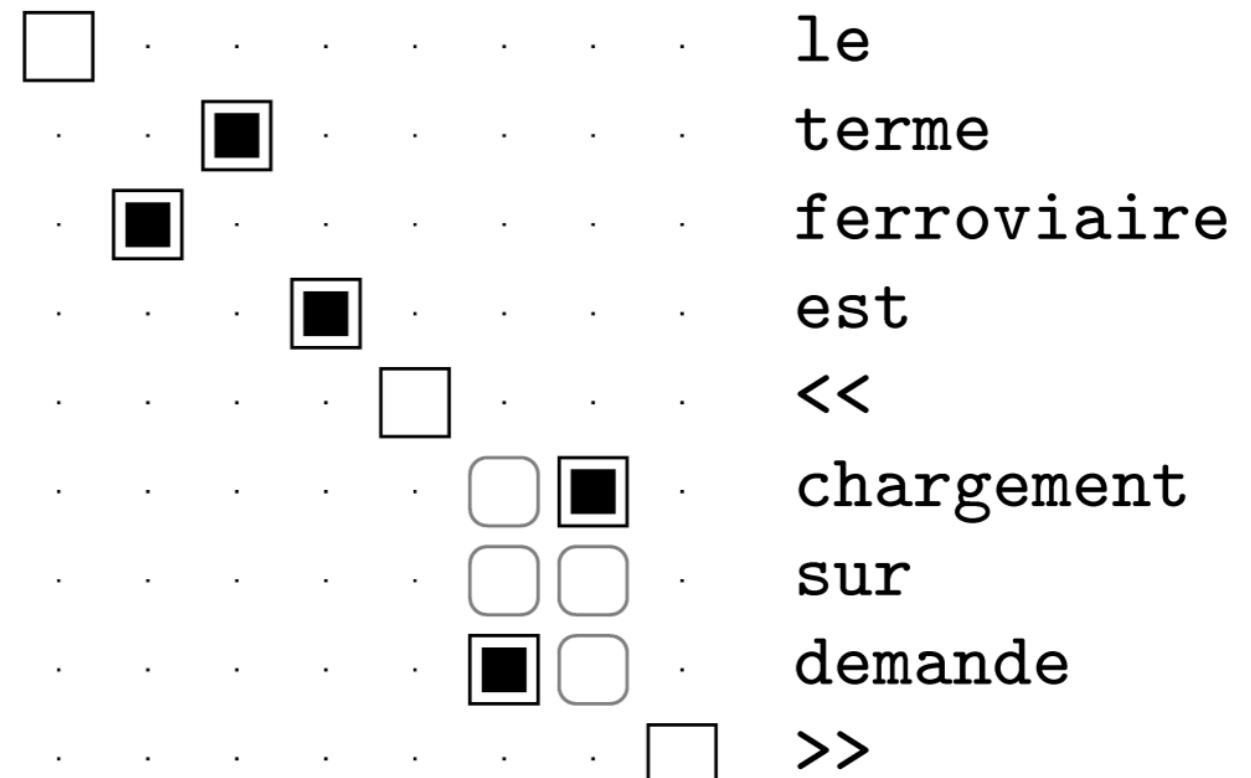
# Problems with IBM Model I

- Too many alignments to rare words (garbage collection)
- Alignments jump around all over the sentence



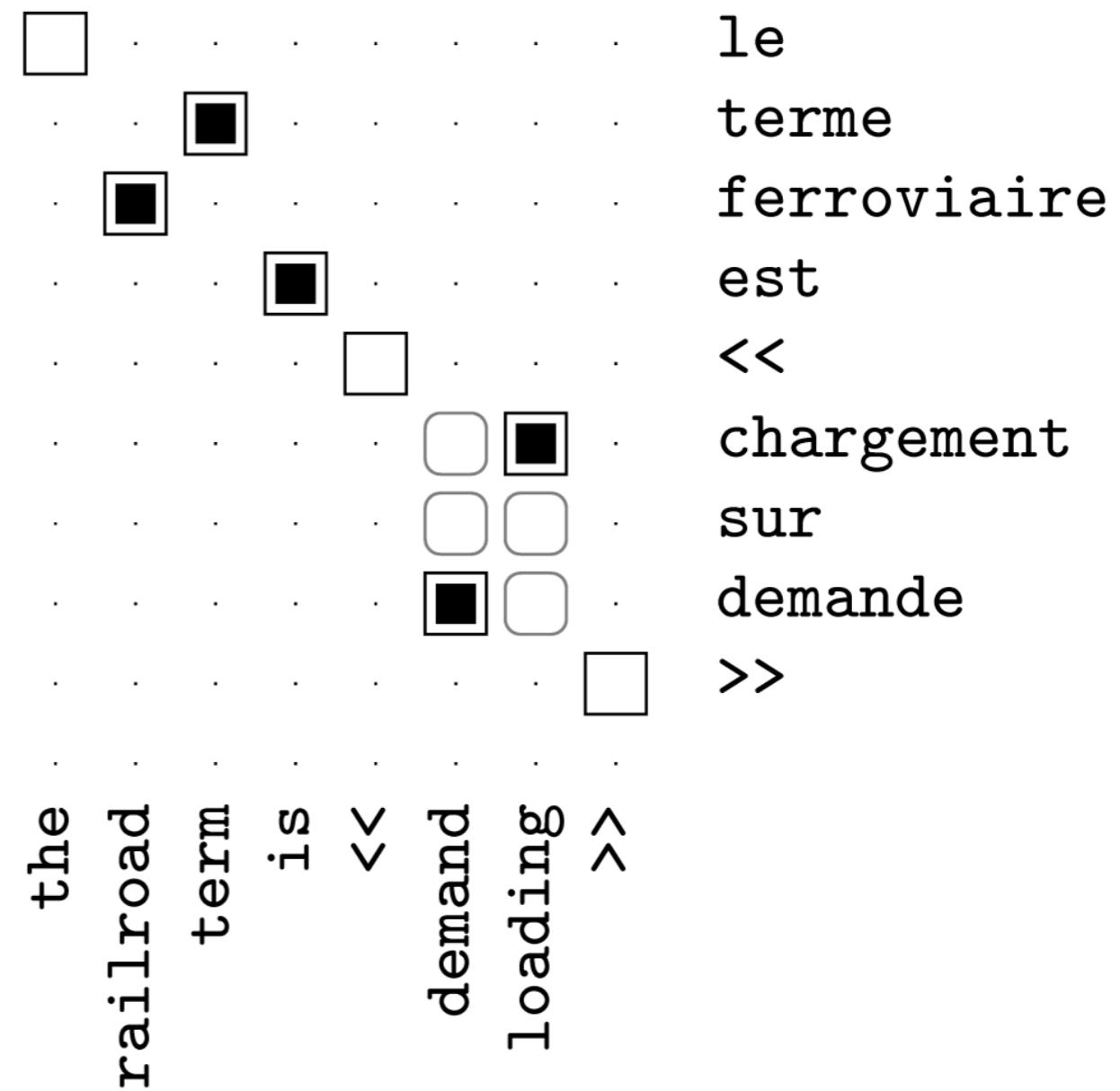
# Intersected IBM Model I

the railroad term is << demand loading >>



# Intersected IBM Model I

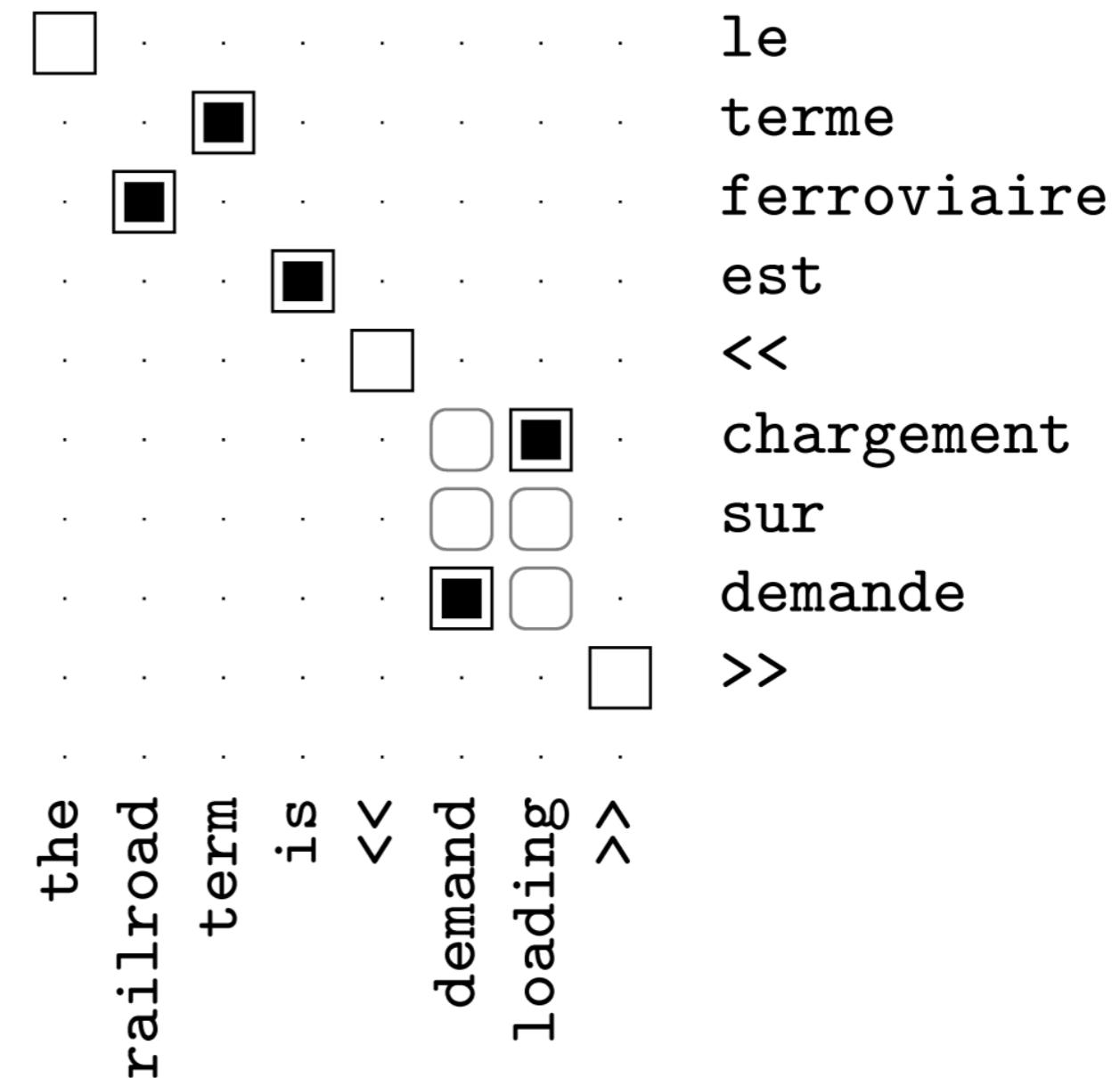
- Train Model I in both directions, align with each, then intersect the output (Och and Ney, '03)
- Result is one-to-one with Viterbi alignments
- Second model filters the first, eliminating mistakes



# Intersected IBM Model I

- Train Model I in both directions, align with each, then intersect the output (Och and Ney, '03)
- Result is one-to-one with Viterbi alignments
- Second model filters the first, eliminating mistakes

Model	P/R	AER
Model 1 E→F	82/58	30.6
Model 1 F→E	85/58	28.7
Model 1 AND	96/46	34.8



# Joint Training for IBM Model I

- We can intersect model predictions during training as well
- Modified alignment posterior:  $P_{e \rightarrow f}(a_j = i | \mathbf{e}, \mathbf{f}) \cdot P_{f \rightarrow e}(a_i = j | \mathbf{e}, \mathbf{f})$
- Models are forced to agree as they select parameters
- Same precision benefits, but higher recall from more agreement

Model	P/R	AER
Model 1 E→F	82/58	30.6
Model 1 F→E	85/58	28.7
Model 1 AND	96/46	34.8
Model 1 INT	93/69	19.5

# IBM Model 2

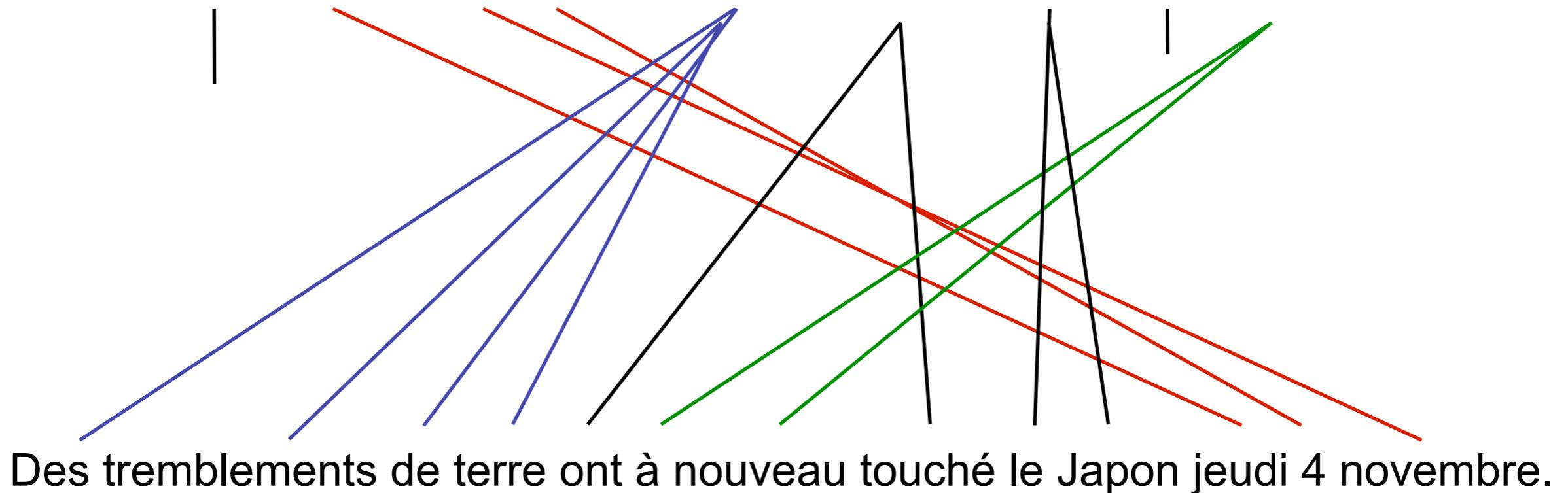
- Words at the beginning of sentences should align
- Words at the end of sentences should align
- Alignment probability depends on position

$$\begin{aligned} P(f, a | e) &= \prod_{j=1}^J P(a_j = i | I, J) \cdot P(f_j | e_i) \\ &\propto \exp\left(-\alpha \left|a_i - i \frac{I}{J}\right|\right) \cdot P(f_j | e_i) \end{aligned}$$

# Phrase Movement

*Absolute position distortion isn't quite right*

On Tuesday Nov. 4, earthquakes rocked Japan once again



# IBM Models 1/2

---

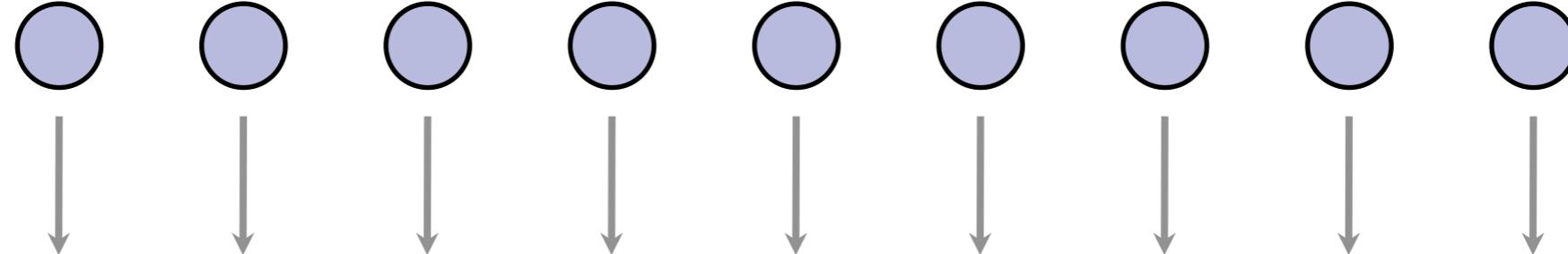
E: Thank you , I shall do so gladly .

F: Gracias , lo haré de muy buen grado .

# IBM Models 1/2

E: Thank you , I shall do so gladly .

A:

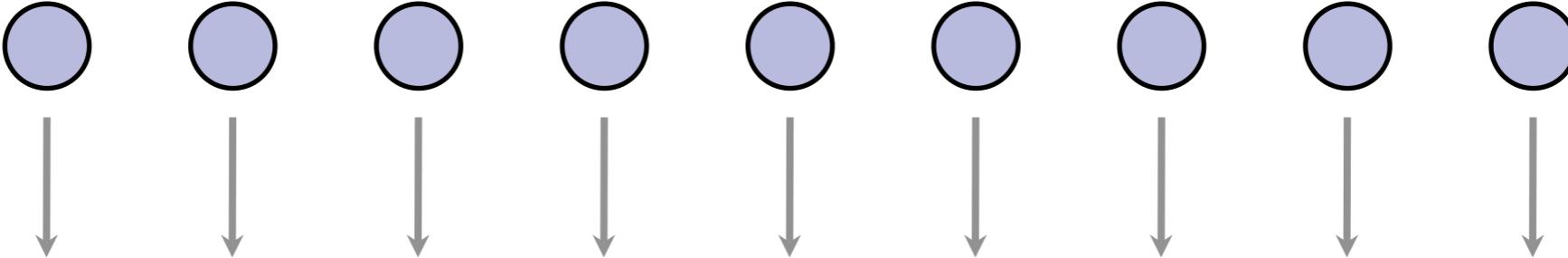


F: Gracias , lo haré de muy buen grado .

# IBM Models 1/2

1      2      3      4      5      6      7      8      9

**E:**      Thank you , I shall do so gladly .

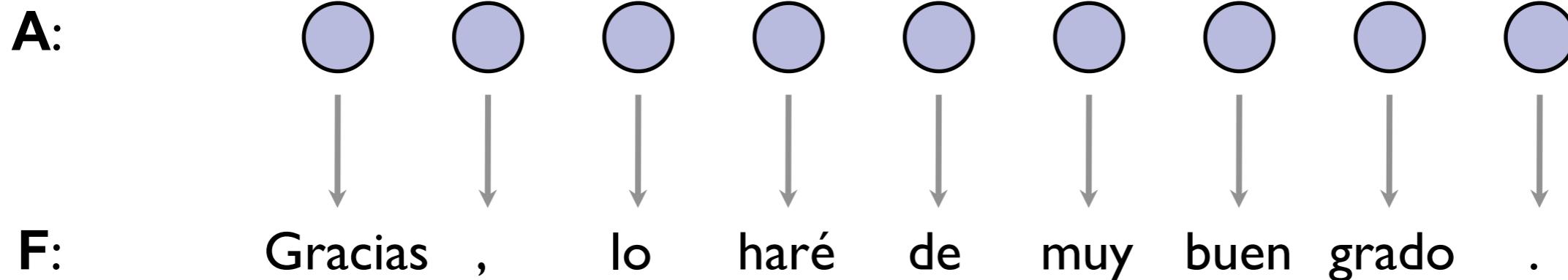
**A:**      

**F:**      Gracias , lo haré de muy buen grado .

# IBM Models 1/2

1      2      3      4      5      6      7      8      9

E: Thank you , I shall do so gladly .

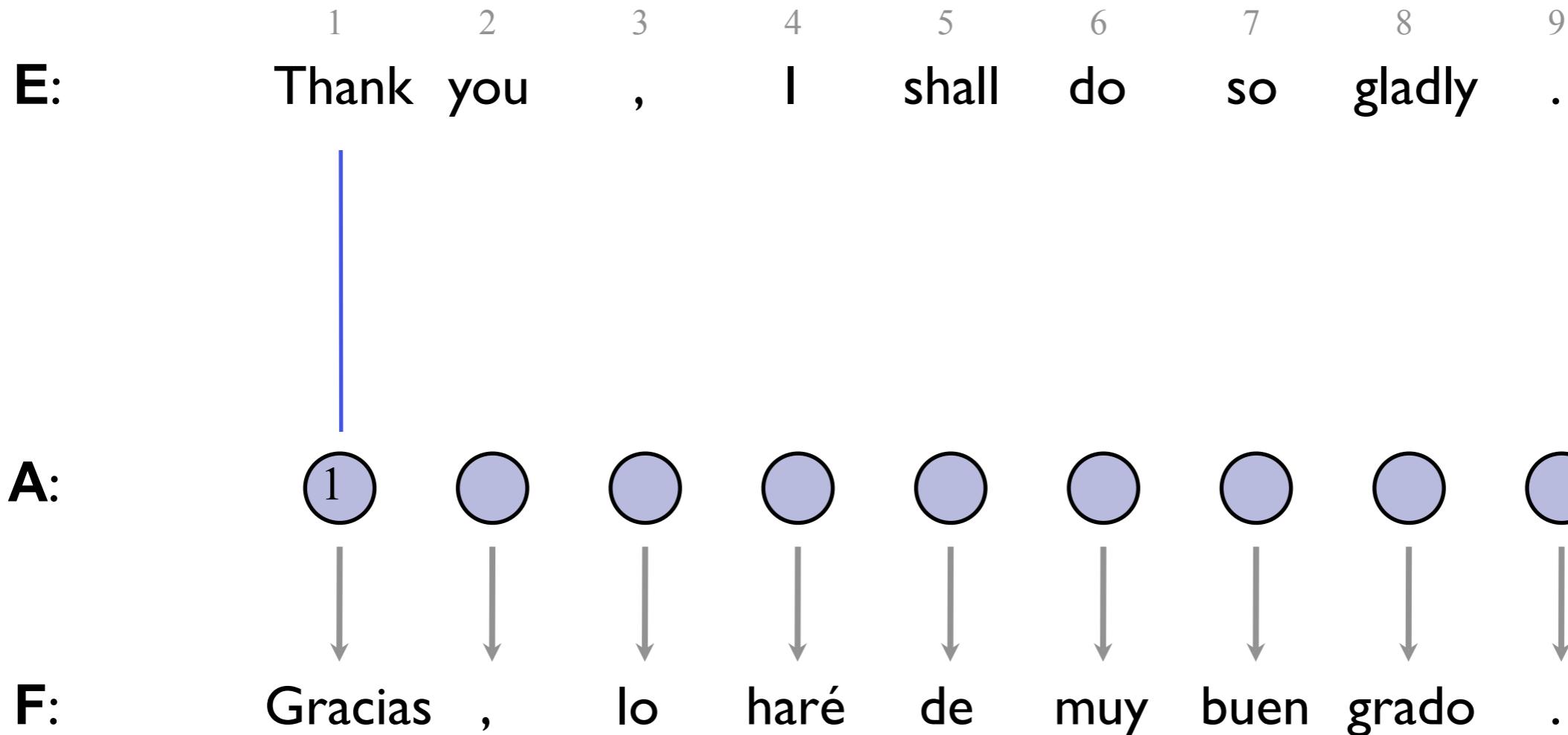


## Model Parameters

*Emissions:  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$*

*Transitions:  $P(A_2 = 3 | I, J)$*

# IBM Models 1/2

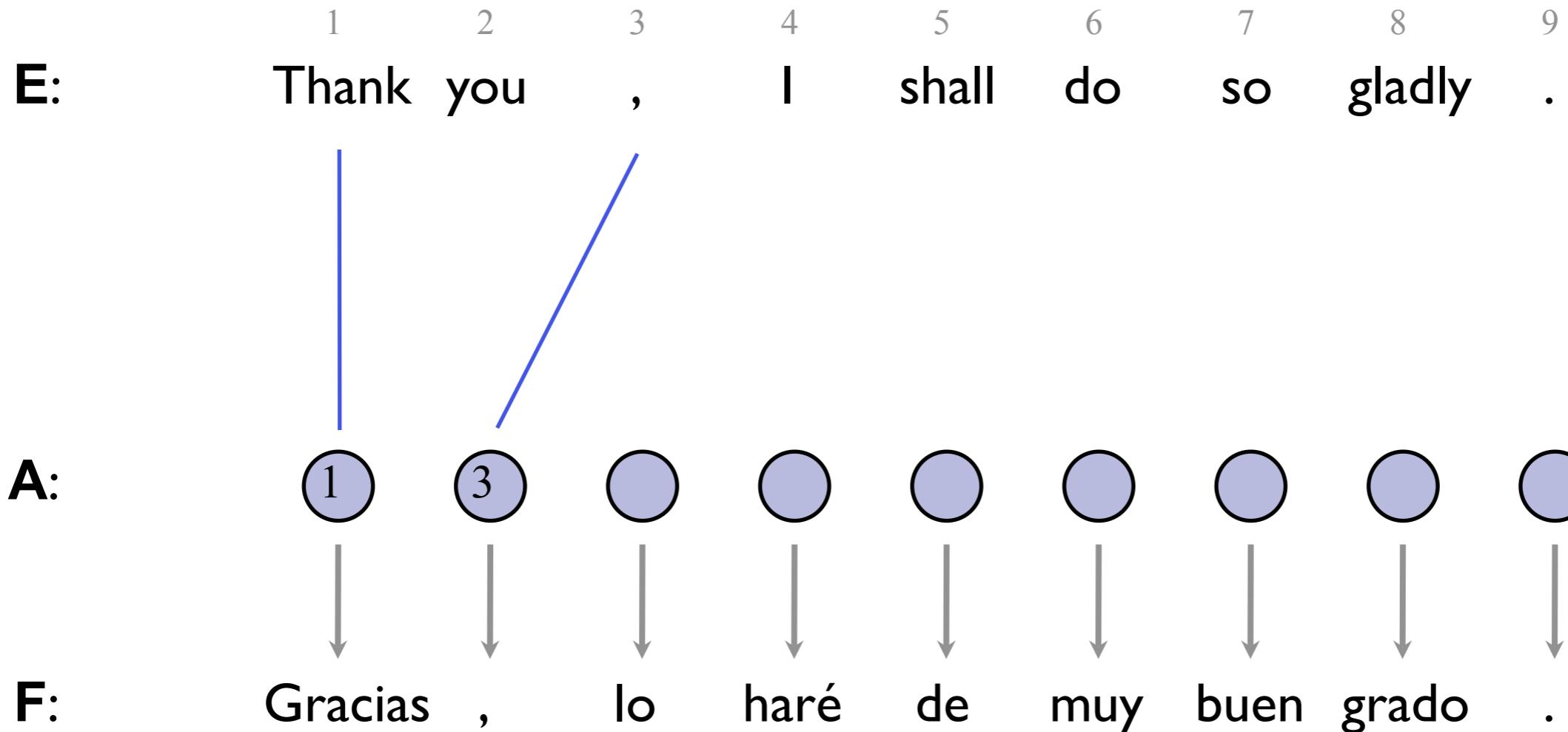


## Model Parameters

*Emissions:*  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$

*Transitions:*  $P(A_2 = 3 | I, J)$

# IBM Models 1/2

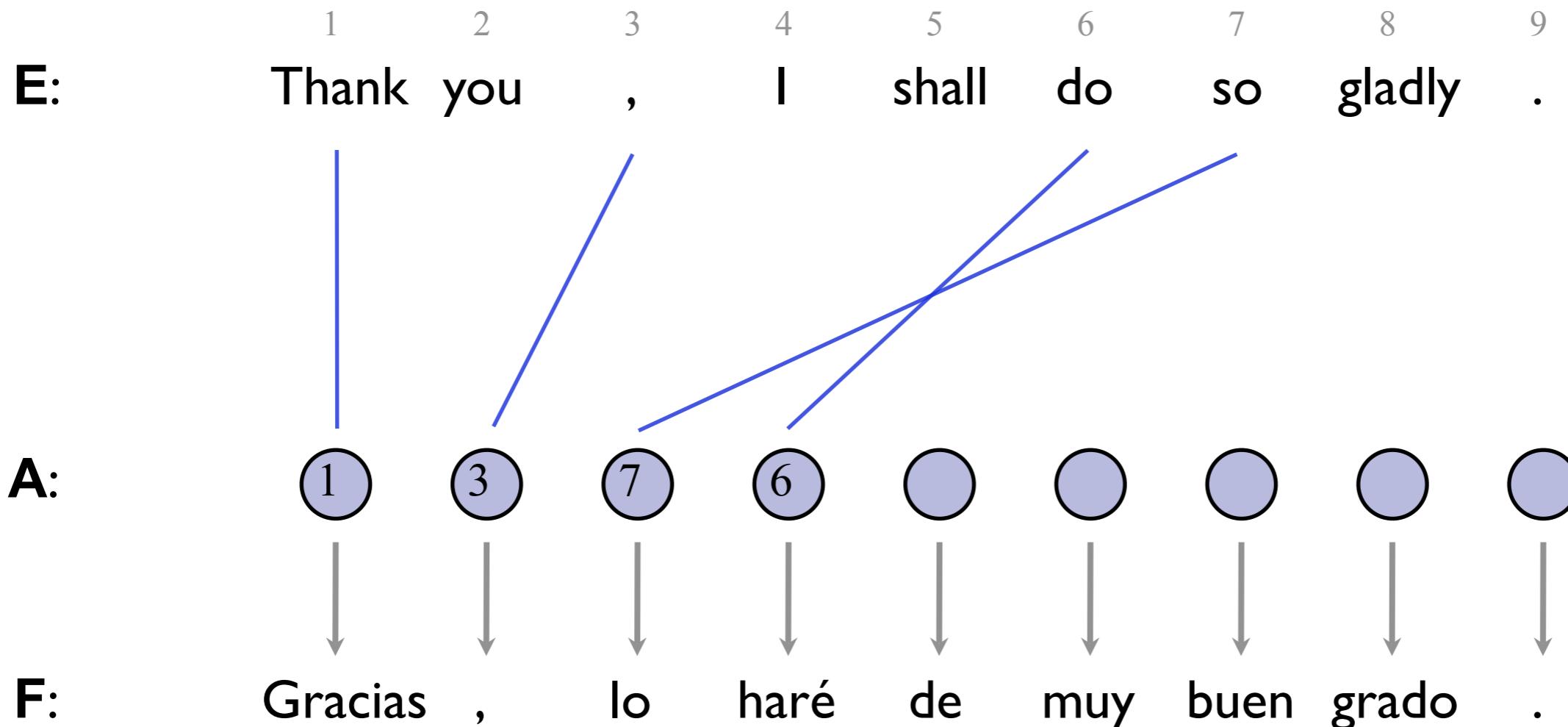


## Model Parameters

*Emissions:*  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$

*Transitions:*  $P(A_2 = 3 | I, J)$

# IBM Models 1/2

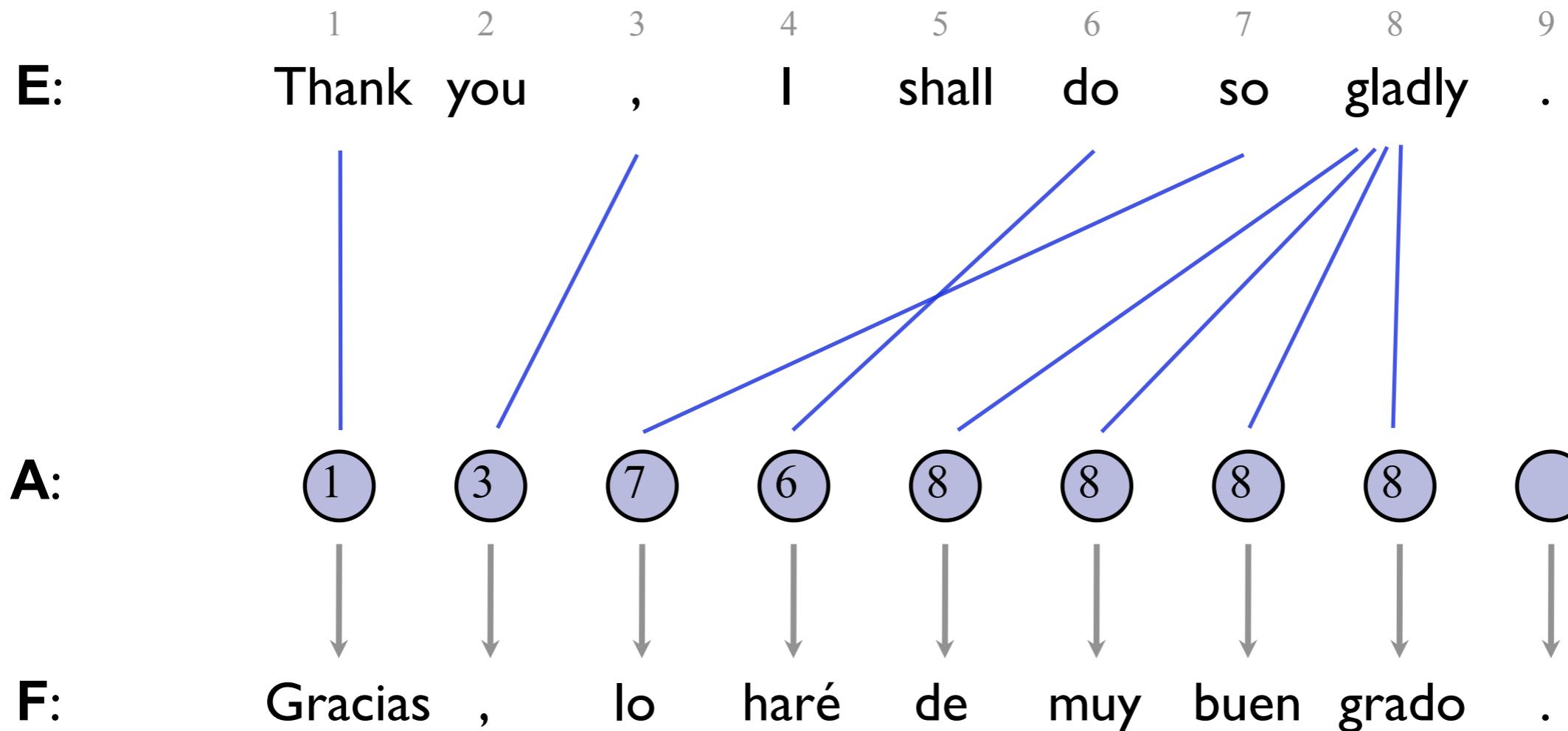


## Model Parameters

*Emissions:  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$*

*Transitions:  $P(A_2 = 3 | I, J)$*

# IBM Models 1/2

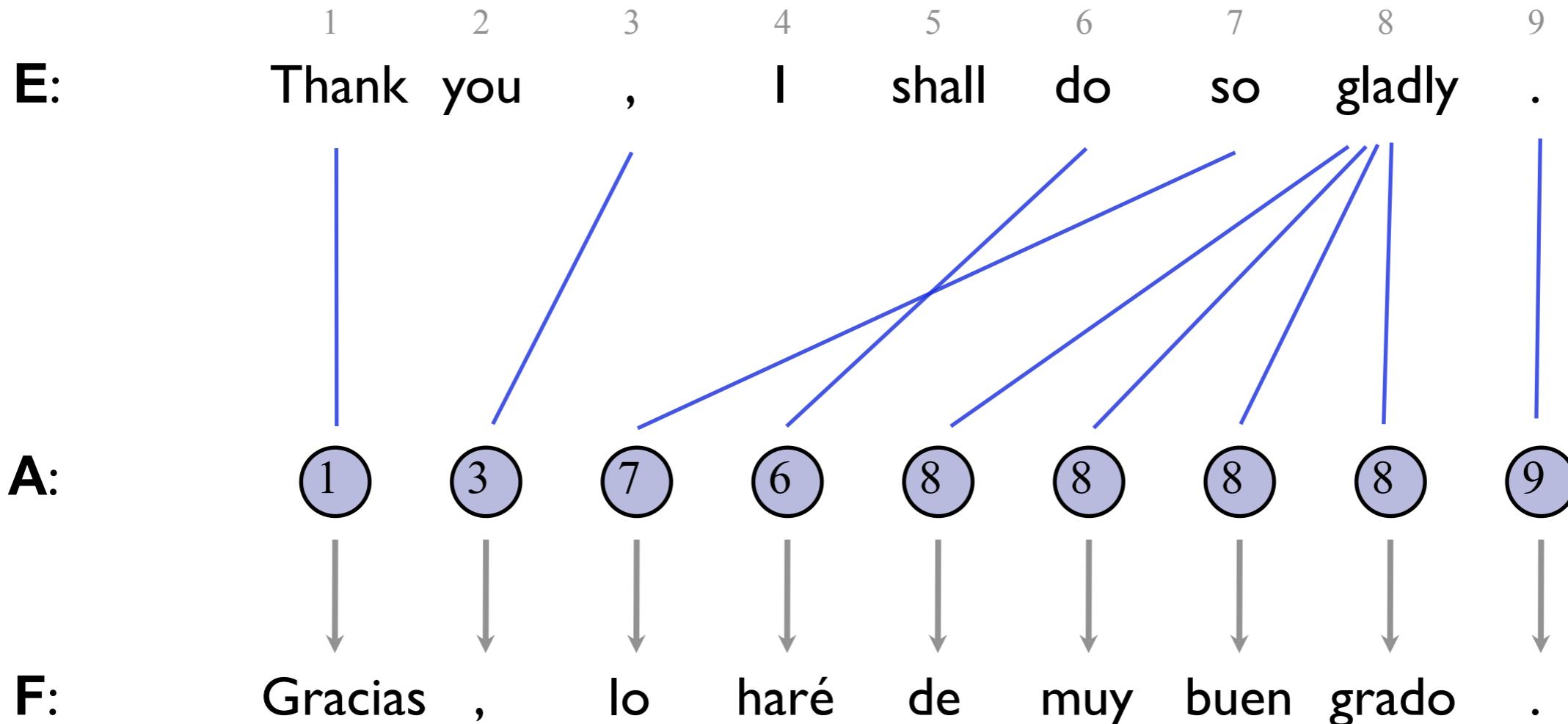


## Model Parameters

*Emissions:*  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$

*Transitions:*  $P(A_2 = 3 | I, J)$

# IBM Models 1/2



## Model Parameters

*Emissions:*  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$

*Transitions:*  $P(A_2 = 3 | I, J)$

# The HMM Model

1            2            3            4            5            6            7            8            9

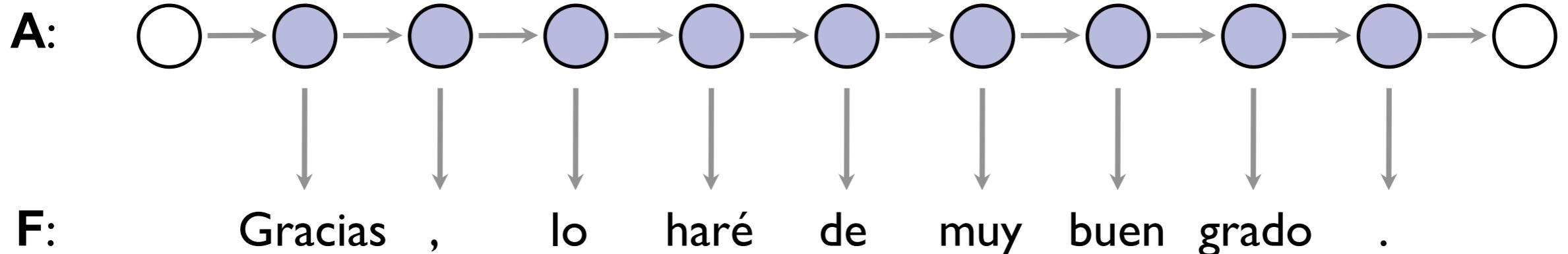
**E:**        Thank you , I shall do so gladly .

**F:**        Gracias , lo haré de muy buen grado .

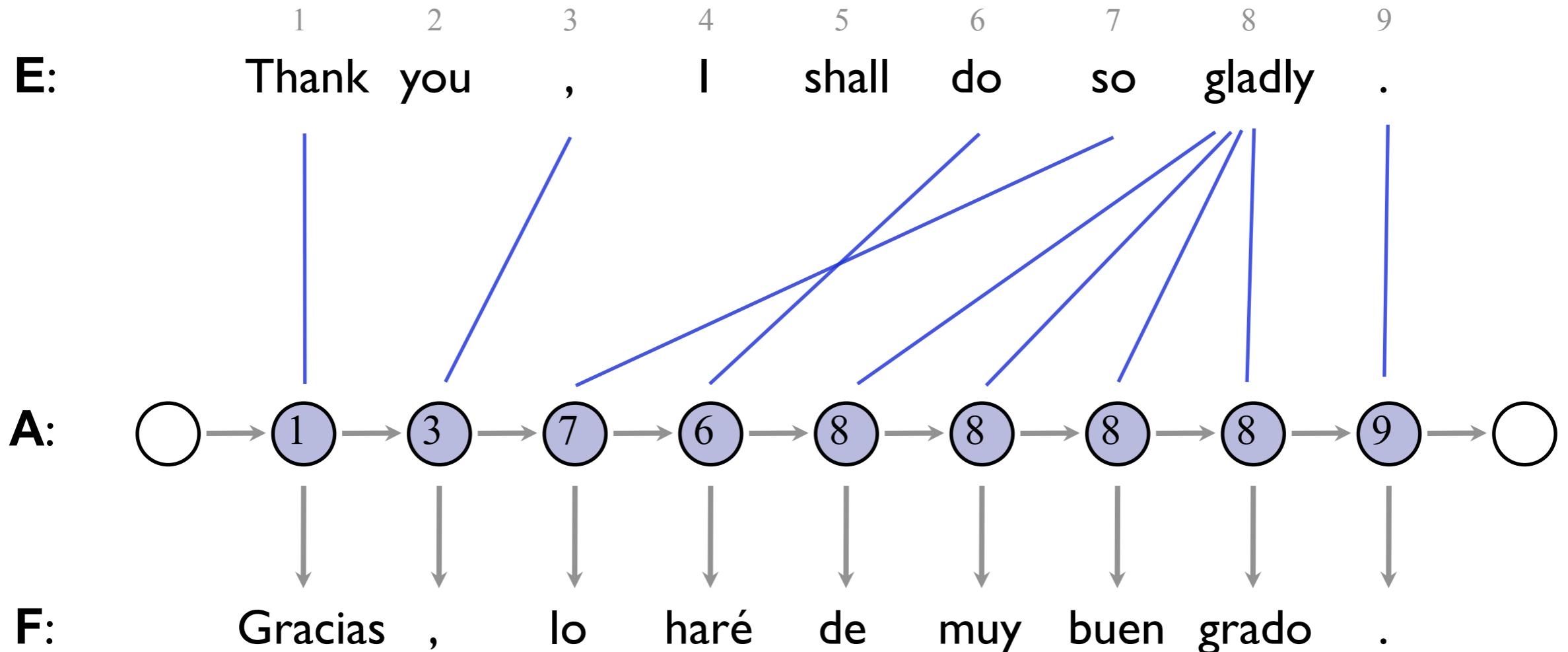
# The HMM Model

1      2      3      4      5      6      7      8      9

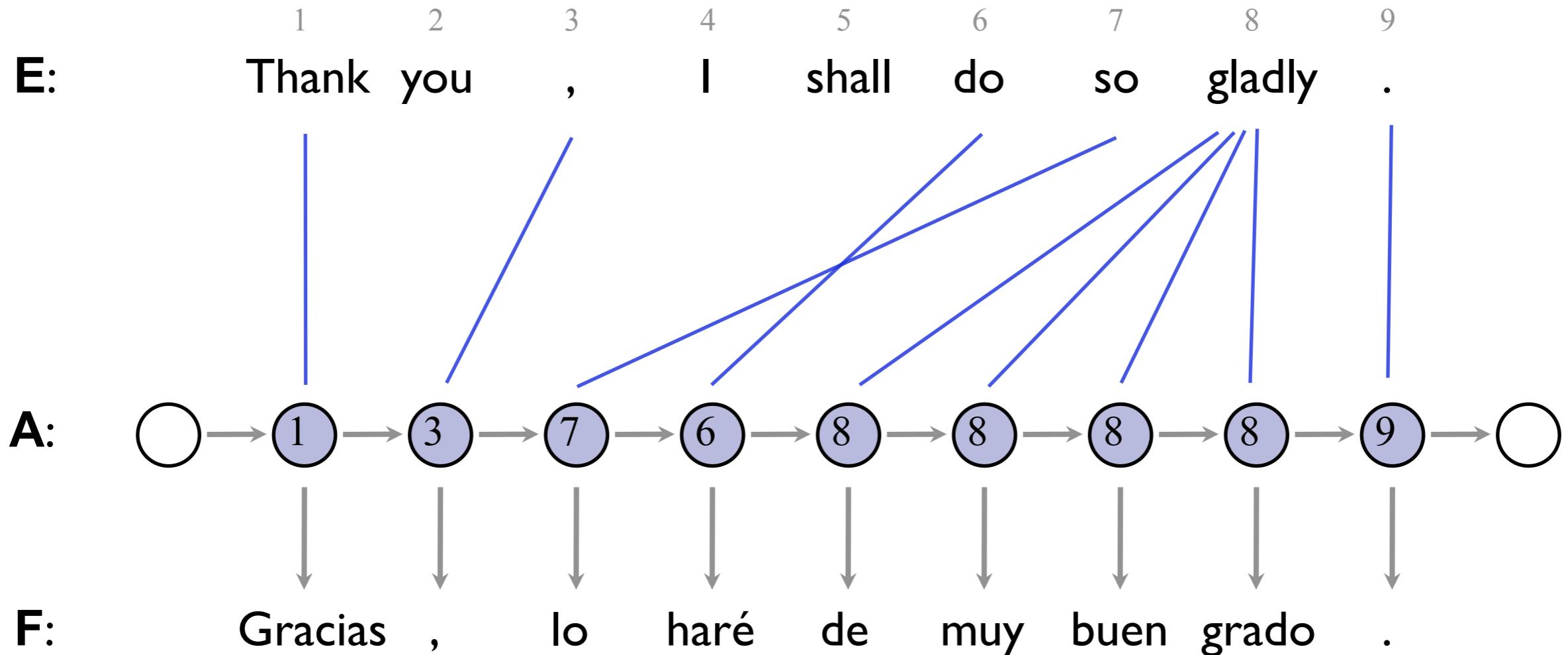
**E:**      Thank you , I shall do so gladly .



# The HMM Model



# The HMM Model



## Model Parameters

*Emissions:*  $P(F_1 = \text{Gracias} | E_{A1} = \text{Thank})$

*Transitions:*  $P(A_2 = 3 | A_1 = 1)$

# The HMM Model

---

- Model 2 preferred global monotonicity
- We want local monotonicity (small jumps)
- HMM model (Vogel et al 96)

$$P(f, a | e) = \prod_j P(a_j | a_{j-1}) P(f_j | e_i)$$

- Re-estimate using the forward-backward algorithm
- Handling nulls requires some care

# The HMM Model

- Model 2 preferred global monotonicity
- We want local monotonicity (small jumps)
- HMM model (Vogel et al 96)

<b>f</b>	$t(f   e)$
nationale	0.469
national	0.418
nationaux	0.054
nationales	0.029

$$P(f, a | e) = \prod_j P(a_j | a_{j-1}) P(f_j | e_i)$$

- Re-estimate using the forward-backward algorithm
- Handling nulls requires some care

# The HMM Model

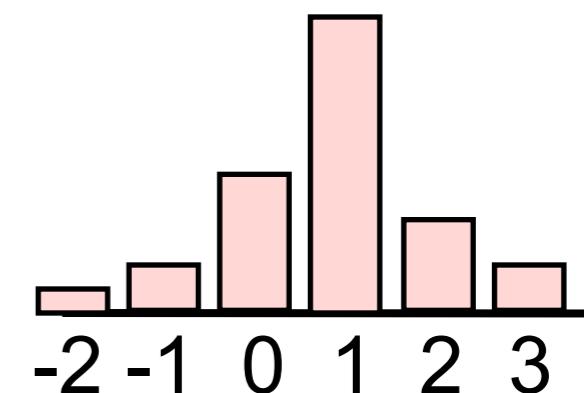
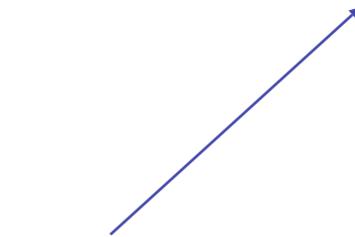
- Model 2 preferred global monotonicity

- We want local monotonicity (small jumps)
- HMM model (Vogel et al 96)

f	$t(f   e)$
nationale	0.469
national	0.418
nationaux	0.054
nationales	0.029

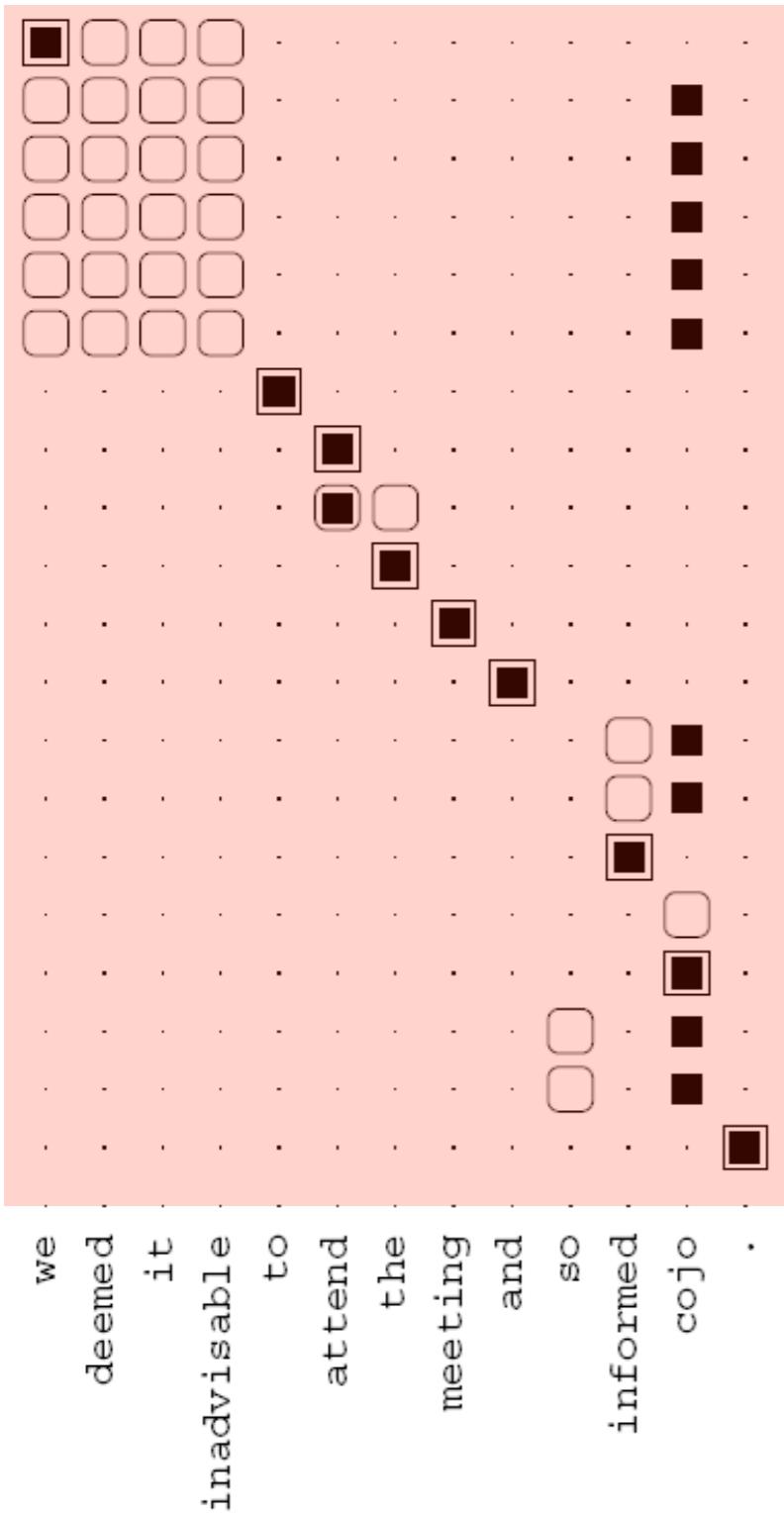
$$P(f, a | e) = \prod_j P(a_j | a_{j-1}) P(f_j | e_i)$$

$$P(a_j - a_{j-1})$$

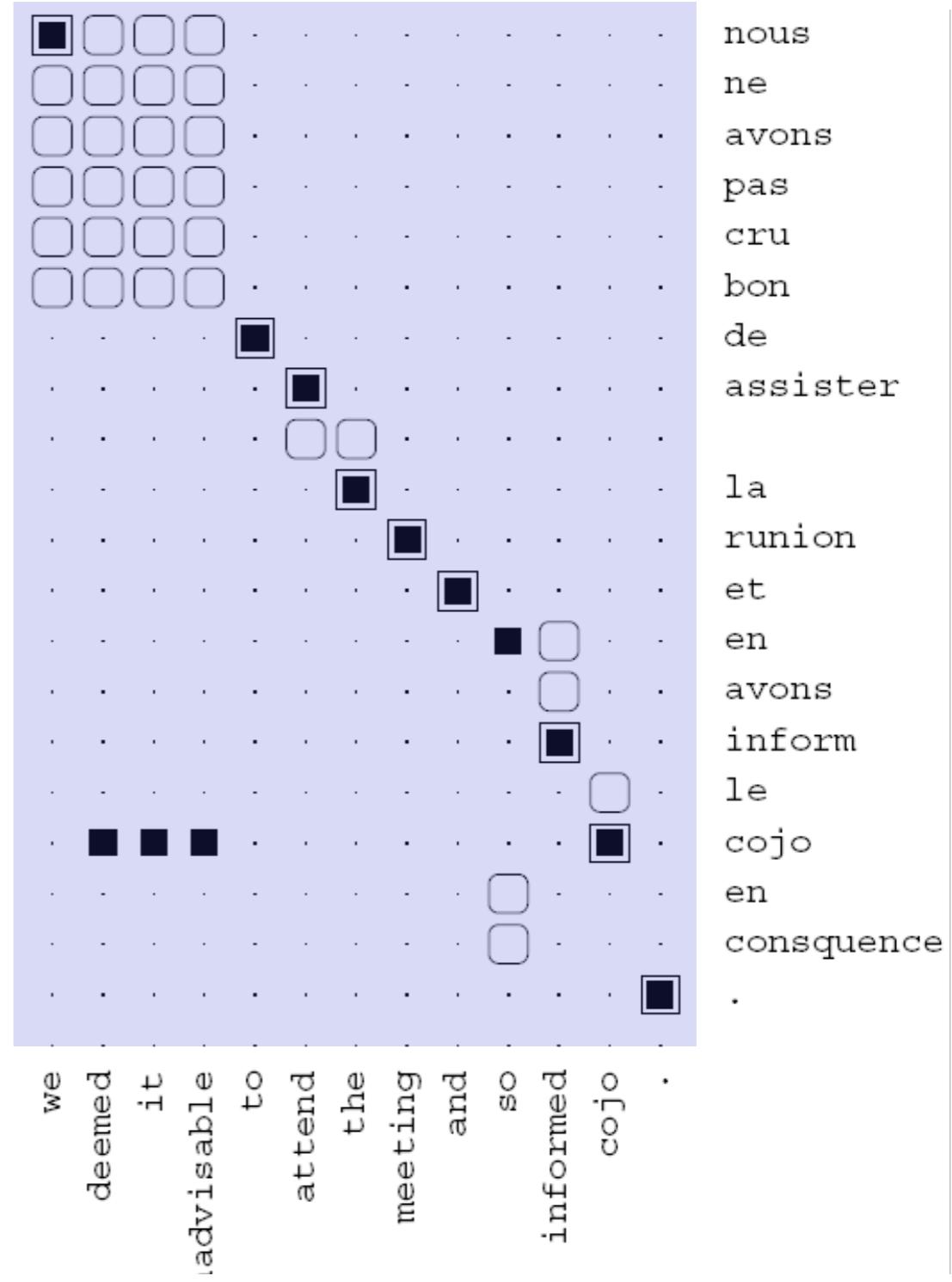


- Re-estimate using the forward-backward algorithm
- Handling nulls requires some care

# HMM Examples



nous  
ne  
avons  
pas  
cru  
bon  
de  
assister  
  
la  
runion  
et  
en  
avons  
inform  
le  
cojo  
en  
consequence



nous  
ne  
avons  
pas  
cru  
bon  
de  
assister  
  
la  
runion  
et  
en  
avons  
inform  
le  
cojo  
en  
consequence

# AER for HMMs

Model	AER
Model I INT	19.5
HMM E→F	11.4
HMM F→E	10.8
HMM AND	7.1
HMM INT	4.7
GIZA M4 AND	6.9

# Estimating Rule Parameters from Words

## Word Aligned Sentence Pair

Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

muy

buen

grado

.

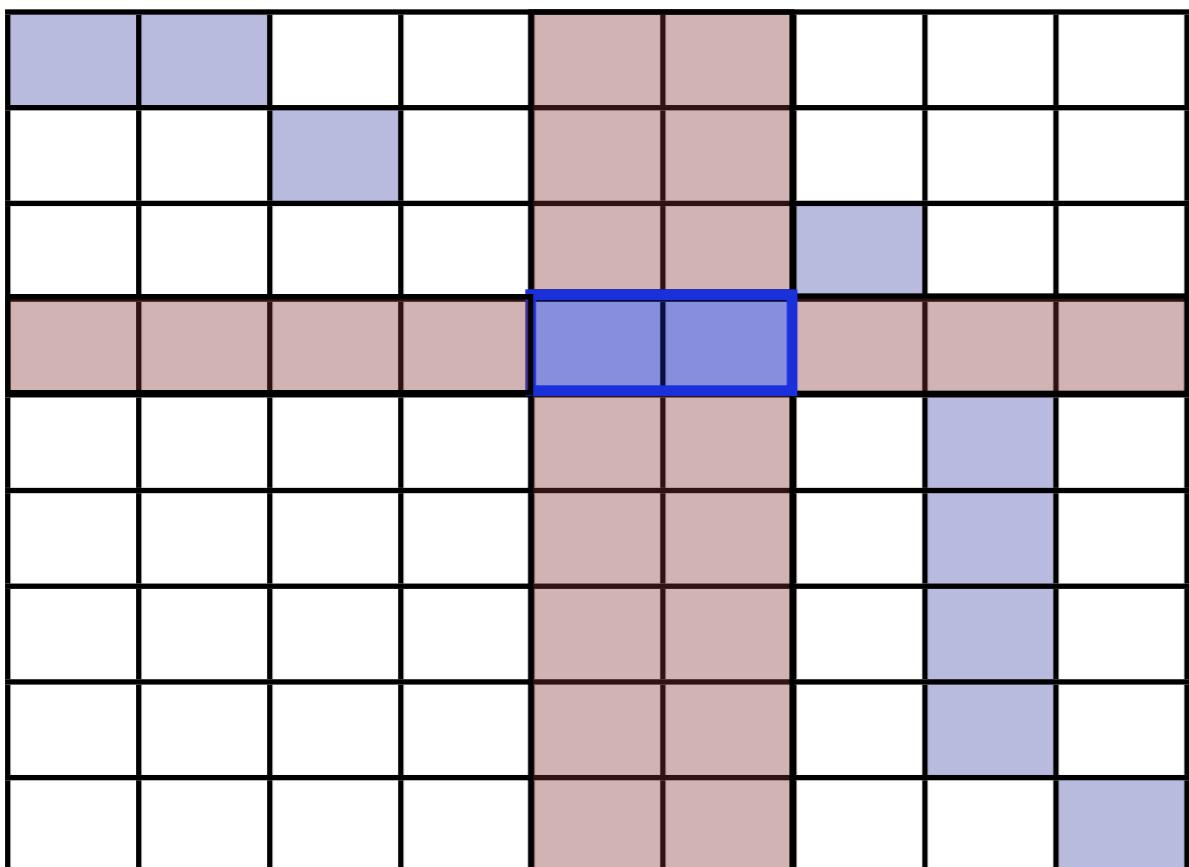
## Grammar Rules



# Estimating Rule Parameters from Words

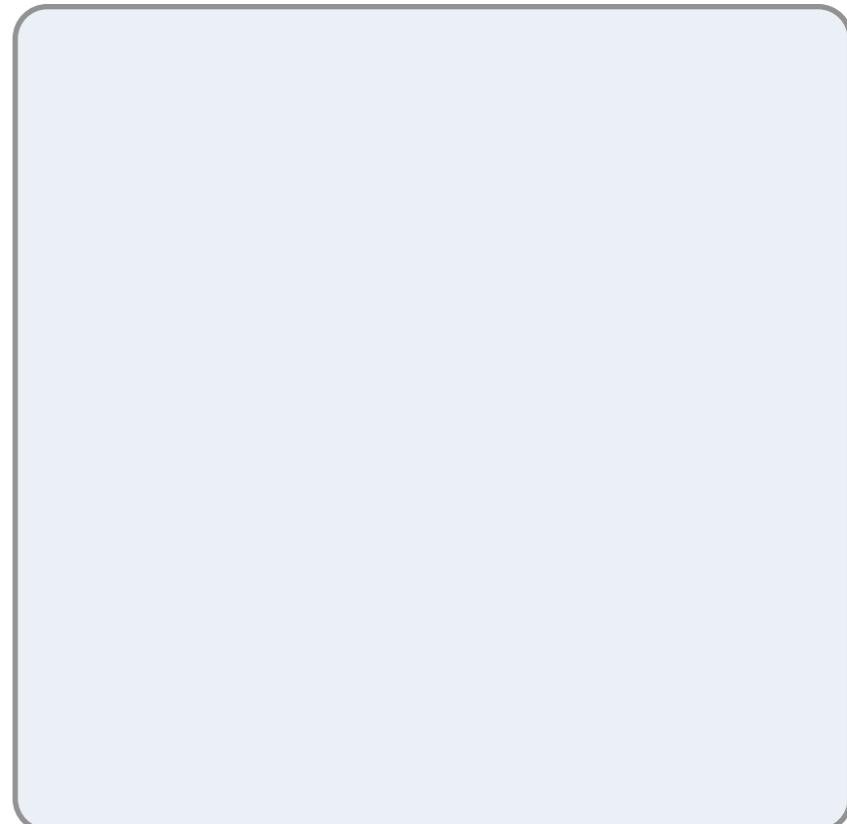
## Word Aligned Sentence Pair

Thank you , I will do it gladly .



Gracias  
,  
lo  
haré  
de  
muy  
buen  
grado  
.

## Grammar Rules



# Estimating Rule Parameters from Words

## Word Aligned Sentence Pair

Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

muy

buen

grado

.

## Grammar Rules

⟨haré ;  
will do⟩

# Estimating Rule Parameters from Words

## Word Aligned Sentence Pair

Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

muy

buen

grado

.

## Grammar Rules

⟨haré ;  
will do⟩

# Estimating Rule Parameters from Words

## Word Aligned Sentence Pair

Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

muy

buen

grado

.

## Grammar Rules

⟨haré ;  
will do⟩

⟨lo X de ... grado ;  
X it gladly⟩

# Estimating Rule Parameters from Words

## Word Aligned Sentence Pair

Thank you , I will do it gladly .


Gracias  
,

lo  
haré  
de  
muy  
buen  
grado  
.

## Grammar Rules

⟨haré ;  
will do⟩

⟨lo X de ... grado ;  
X it gladly⟩

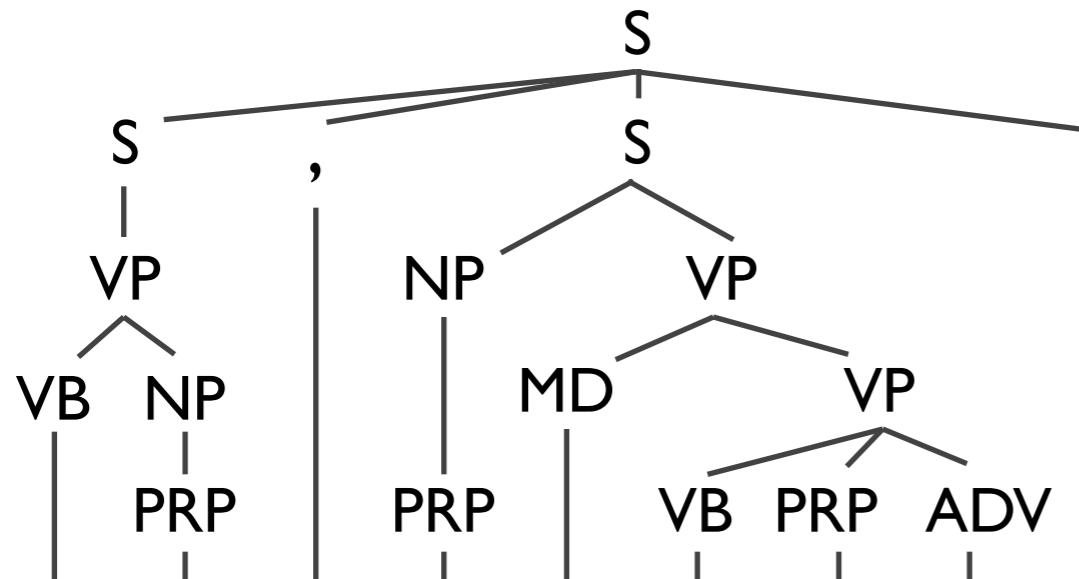
## Model Parameters

Relative frequency counts

$$P(f|e) =$$

$$\frac{c(\text{ lo } X \text{ de muy buen grado ; } X \text{ it gladly })}{c(* ; X \text{ it gladly })}$$

# Learning Grammars for Translation



Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

muy

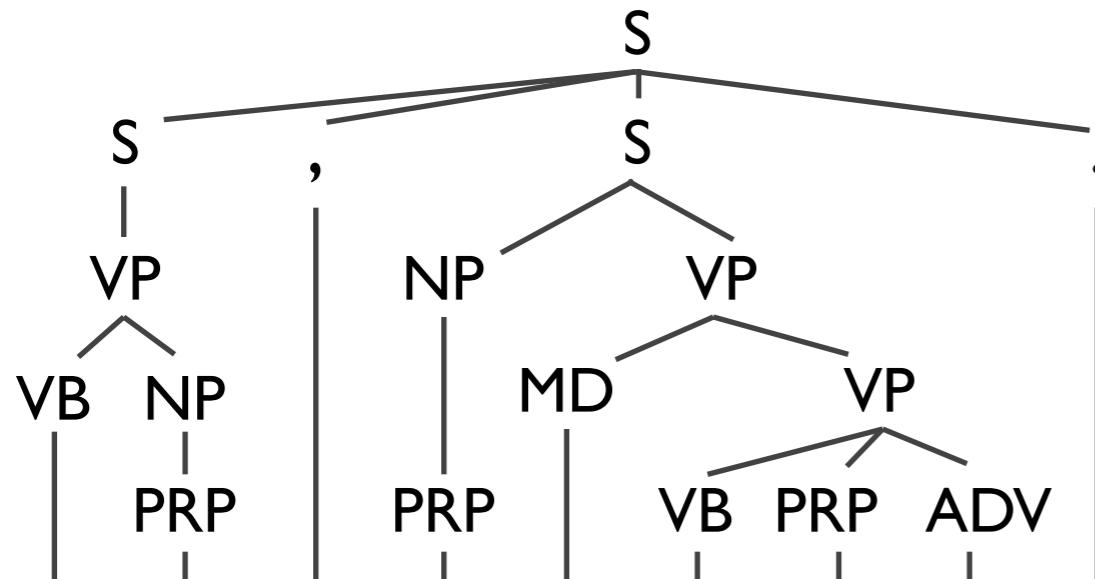
buen

grado

.

## Grammar Rules

# Learning Grammars for Translation



Thank you , I will do it gladly .


Gracias  
,

lo

haré

de

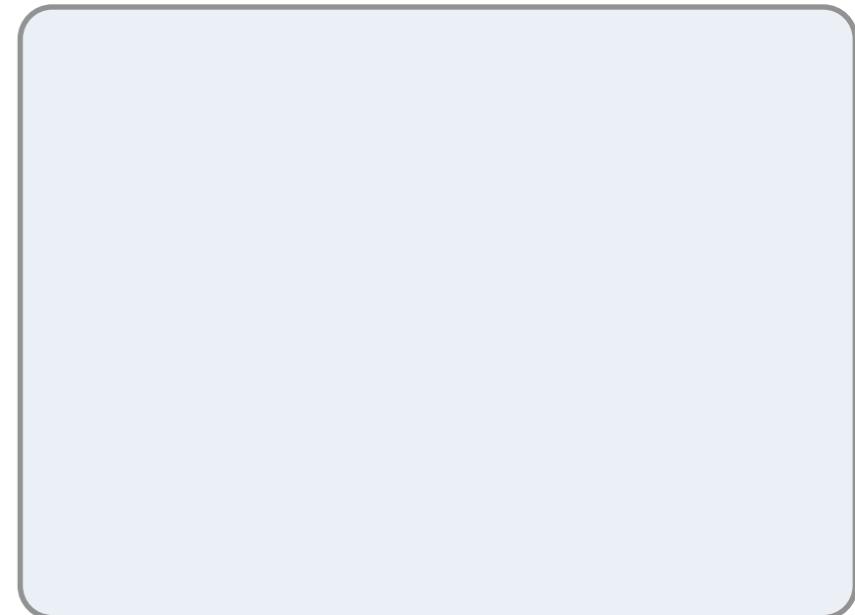
muy

buen

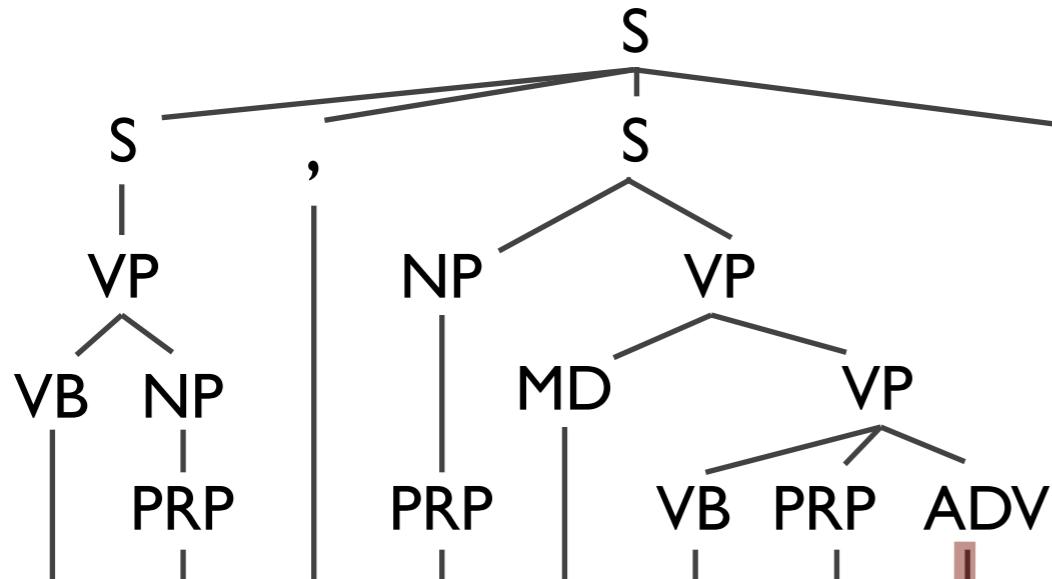
grado

.

## Grammar Rules



# Learning Grammars for Translation



Thank you , I will do it gladly .


Gracias

,  
lo

haré

de

muy

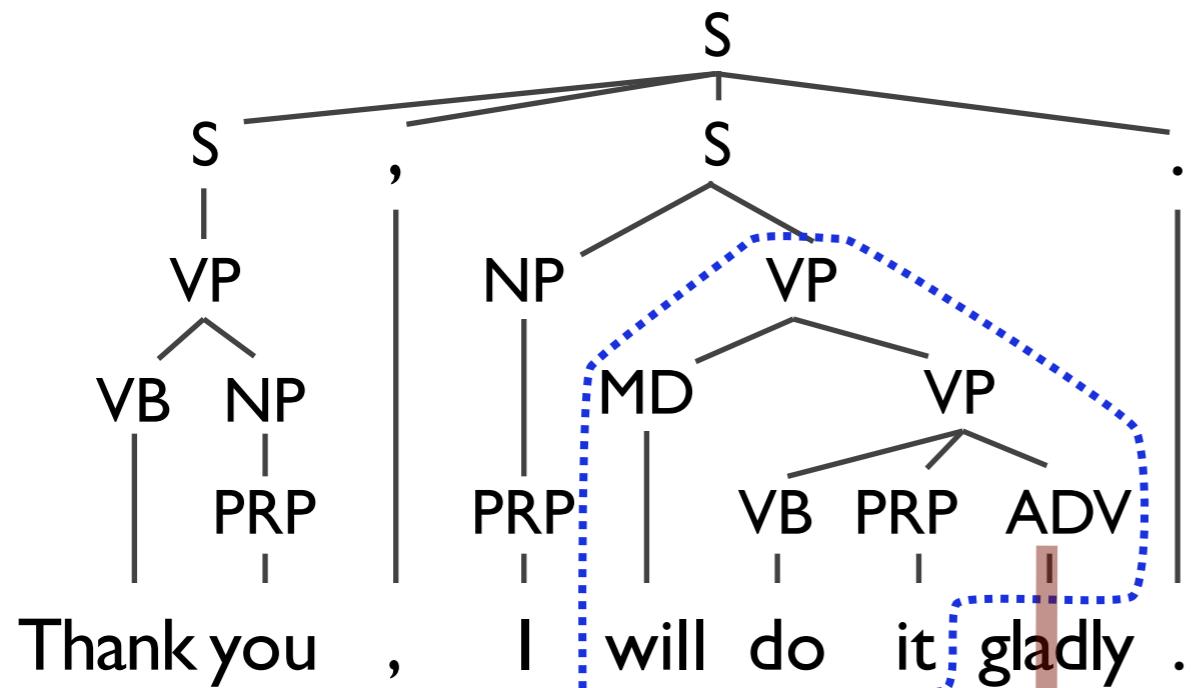
buen

grado

ADV

## Grammar Rules

# Learning Grammars for Translation



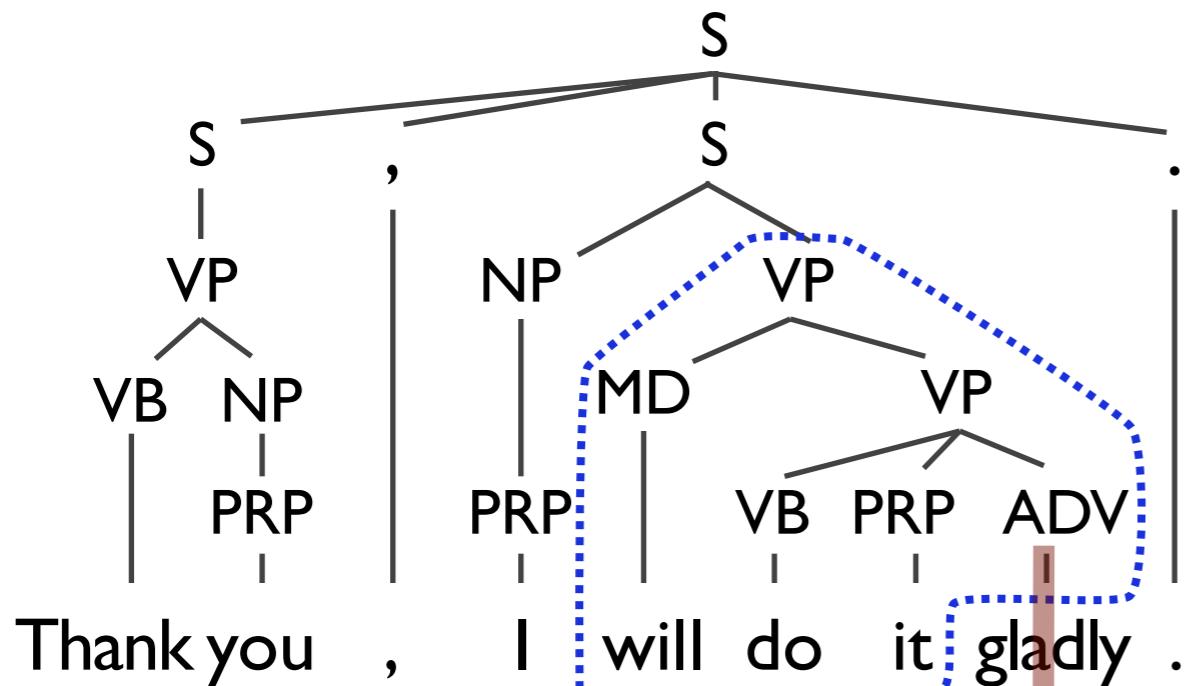

Gracias

,  
lo  
haré  
de  
muy  
buen  
grado

ADV

## Grammar Rules

# Learning Grammars for Translation




Gracias

,  
lo  
haré  
de  
muy  
buen  
grado

ADV

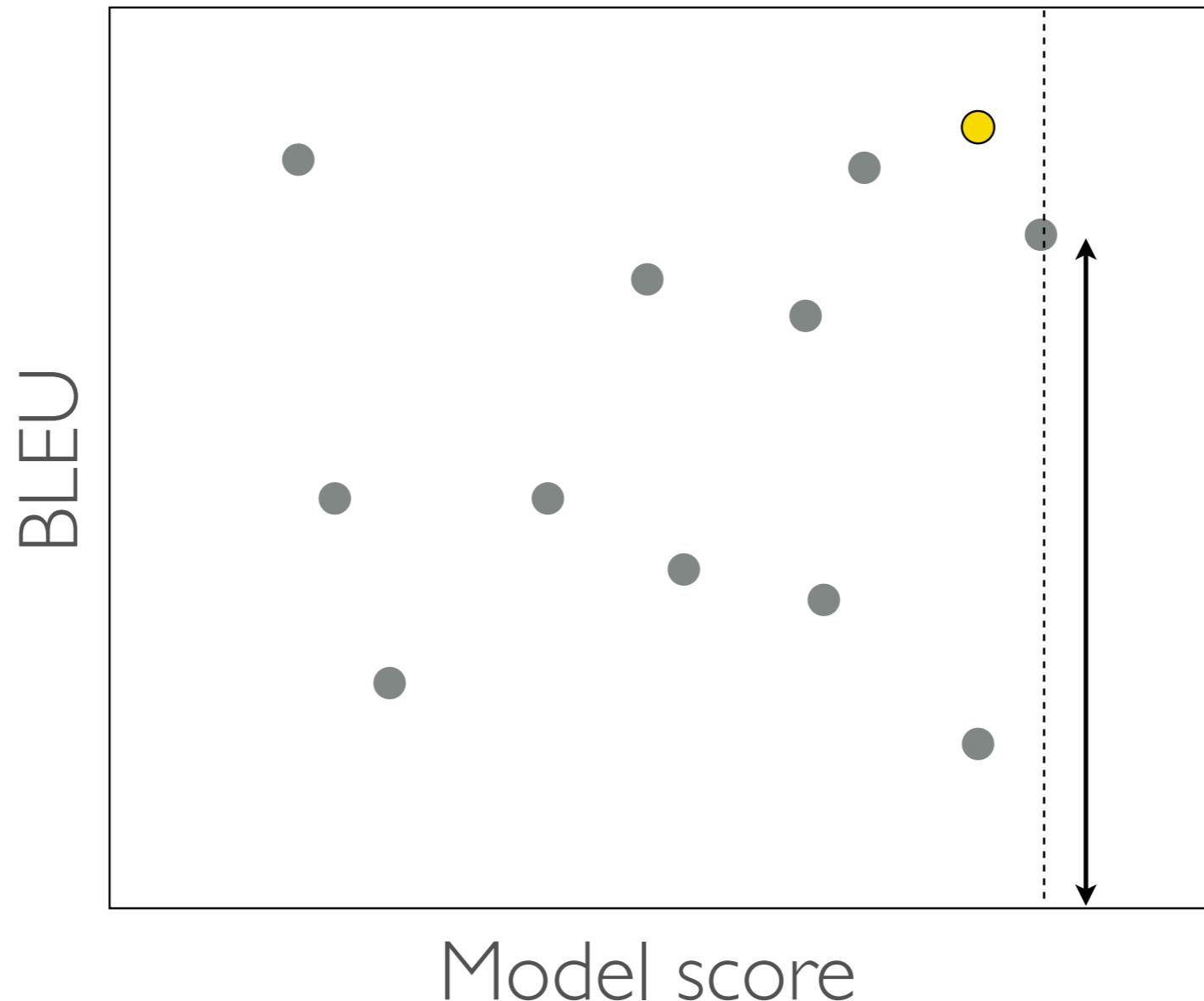
## Grammar Rules

VP →  
 <lo haré ADV ;  
 will do it ADV>

# Estimating the Log-Linear Model

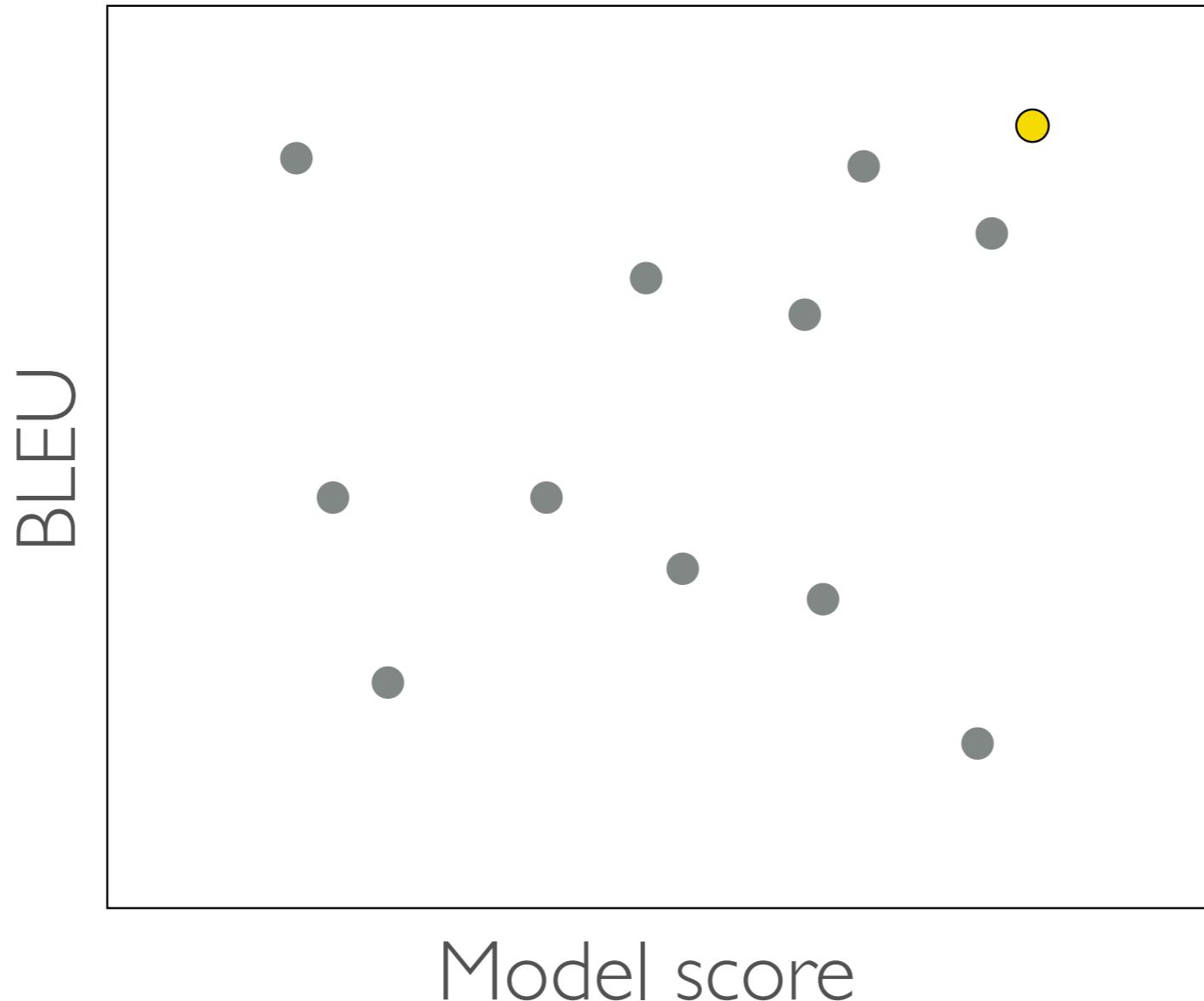
- We have all the features needed to translate:  
 $P(f|e)$ ,  $P(e|f)$ ,  $P(e)$ , ...
- Now we need weights for these features
- Typically called the *tuning* stage in an MT pipeline
- Discriminative training for structured problems:
  - Need an output scoring function (BLEU)
  - Choose an optimization method

# Minimum Error Rate Training (Och '02)



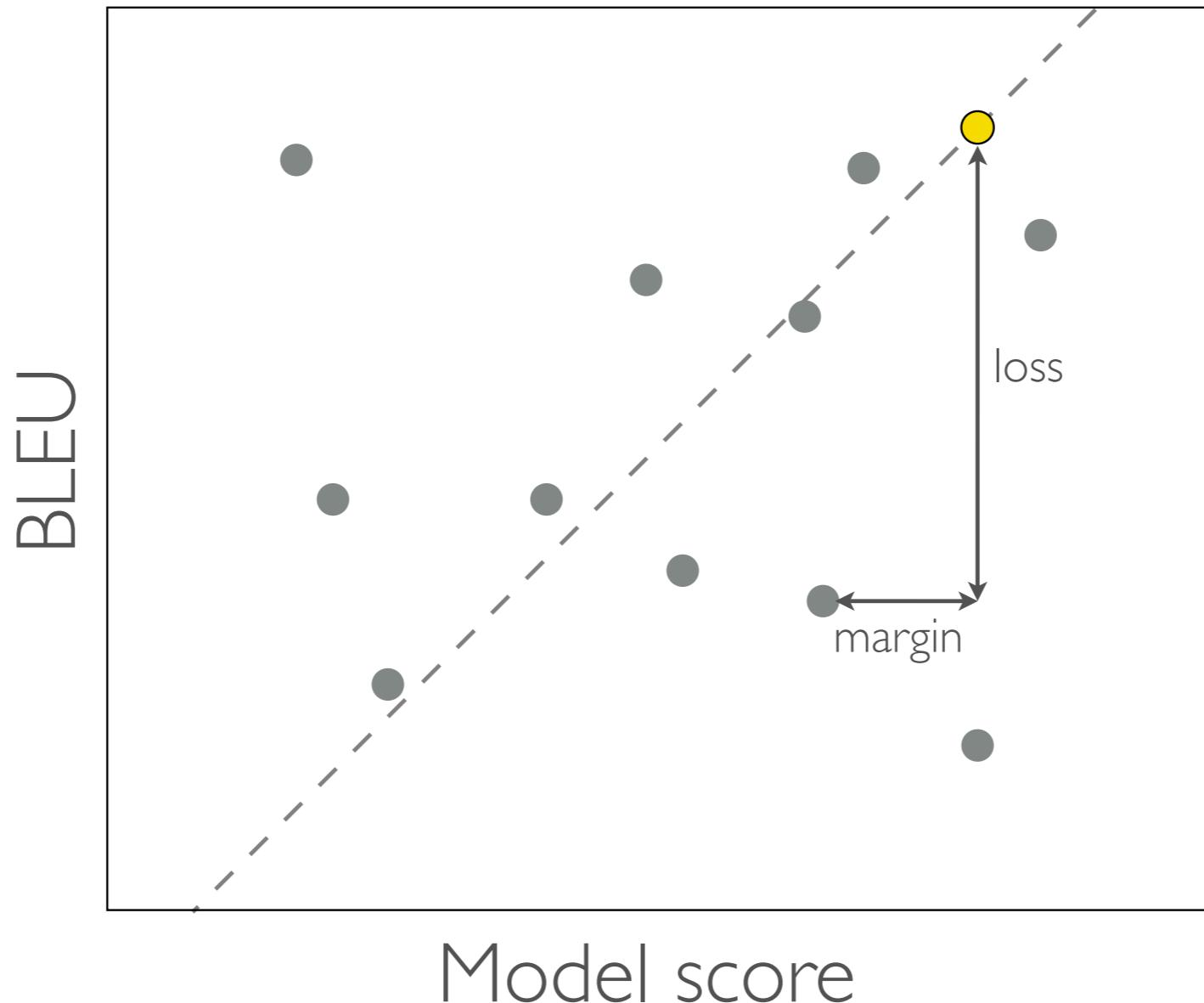
*Maximize the BLEU score of the highest scoring translation*

# Minimum Error Rate Training (Och '02)



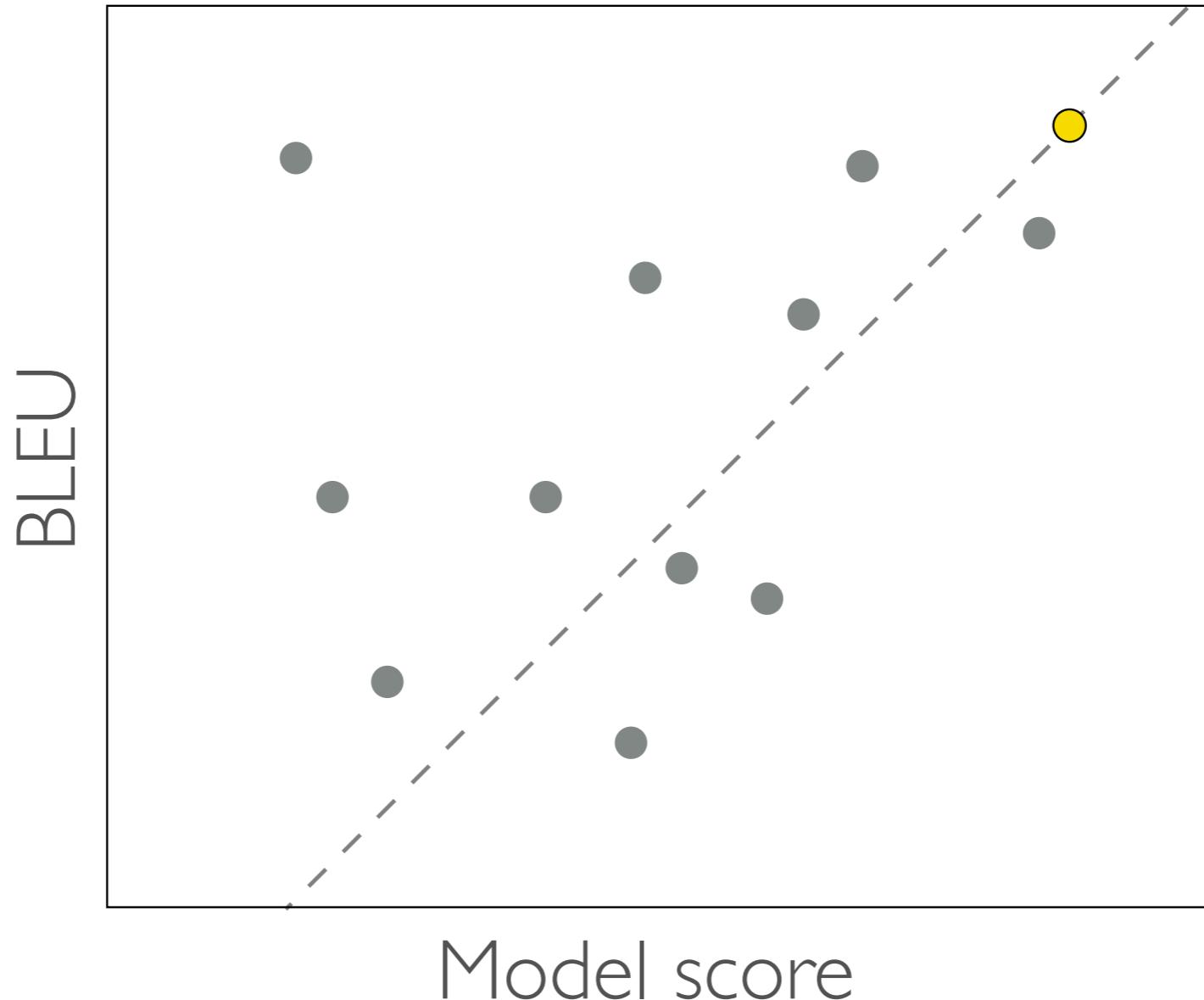
*Maximize the BLEU score of the highest scoring translation*

# Max Margin Training (Chiang et al '08)



*Make the margin larger than the loss*

# Max Margin Training (Chiang et al '08)



*Make the margin larger than the loss*

# Current Research on MT Estimation

---

- Add linguistic knowledge to the pipeline (syntax, disambiguation models, etc.)
- Use synchronous grammars and phrase models for alignment (instead of words)
- Condition on more context when translating a word or phrase
- Add lots of features to the log-linear model